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1. Name of conveying party(ies):

KENJI SATO

Additional name(s) of conveying party(ies) attached?

yes ☒ no ☒

2. Name and address of receiving party(ies)?

Name:

NEC CORPORATION
7-1, Shiba 5-chome, Minato-ku
Tokyo, JAPAN

Internal Address:

Street Address:

City

State

Zip

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yes ☒ no ☒

3. Nature of Conveyance:

☒ Assignment

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Execution Date: July 27, 1998

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: 07/27/98

A. Patent Application No(s)

B. Patent No(s)

09/124768

Additional numbers attached? Yes ☒ No ☒

5. Name and address of party to whom correspondence concerning document should be mailed:

SUGHRUE, MION, ZINN, MACPEAK & SEAS
2100 Pennsylvania Avenue, N.W.
Suite 800
Washington, D.C. 20037-3202

6. Total number of applications and patents involved: 1

7. Total Fee (37 CFR 3.41): \$ 40.00

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July 30, 1998

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PATENT
REEL: 9362 FRAME: 0017

Assignment

Whereas, ~~I/we~~, KENJI SATO of
Tokyo, Japan

hereinafter called assignor(s), have invented certain improvements in

DISTRIBUTED FEEDBACK SEMICONDUCTOR LASER

and executed an application for Letters Patent of the United States of America therefor on
July 27, 1998 ; and

Whereas, NEC Corporation
7-1, Shiba 5-chome, Minato-ku, Tokyo, Japan

(assignee), desires to acquire the entire right, title, and interest in the application and invention, and to
any United States patents to be obtained therefor;

Now therefore, for valuable consideration, receipt whereof is hereby acknowledged,

~~I/we~~, the above named assignor(s), hereby sell, assign and transfer to the above named assignee, its
successors and assigns, the entire right, title and interest in the application and the invention disclosed
therein for the United States of America, including the right to claim priority under 35 U.S.C. §119, and
~~I/we~~ request the Commissioner of Patents to issue any Letters Patent granted upon the invention set forth
in the application to the assignee, its successors and assigns; and ~~I/we~~ will execute without further
consideration all papers deemed necessary by the assignee in connection with the United States application
when called upon to do so by the assignee.

~~I/we~~ hereby authorize and request my attorneys SUGHRUE, MION, ZINN, MACPEAK & SEAS of
2100 Pennsylvania Avenue, N.W., Washington, D.C. 20037-3202 to insert here in parentheses (Application
number _____, filed _____) the filing date and application
number of said application when known.

Date: July 27, 1998 s/ Kenji Sato 
KENJI SATO

Date: s/ _____

Date: s/ _____

Date: s/ _____

Date: s/ _____

(Legalization not required for recording but is prima facie evidence of execution under 35 U.S.C. §261)

8/92 Witness : Masumi Tanaka

Patent PATENT