

08-07-1998

FORM PTO-1595

(Rev. 6-93)

OMB No. 0651-0011 (exp. 4/94)

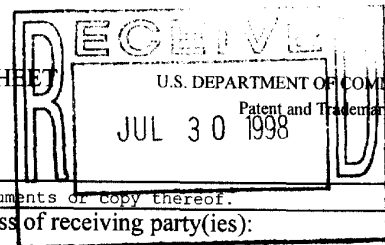
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R SHEET



To the Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Shu-Jen CHEN
Jacky KUO
Jiunn-Hsien LIN
Chih-Ching HSU

2. Name and address of receiving party(ies):

UNITED SEMICONDUCTOR CIRCUIT CORP.
No. 3, Li-Hsin Rd. 2
Science-Based Industrial Park
Hsinchu
TAIWAN, R.O.C.

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

Additional name(s) & address(es) attached? ☐ Yes ☒ No

3. Nature of conveyance:

- ☐ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☒ Other: Correction of Address of Assignee on Assignment

Execution Date: 24 February 1998

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No.(s)

B. Patent No.(s)

09/048,924

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Michael D. Schumann
Address: Merchant, Gould, Smith, Edell,
Welter & Schmidt, P.A.
3100 Norwest Center
90 South Seventh Street
Minneapolis, MN 55402-4131

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41): \$40.00

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Michael D. Schumann

Name of Person Signing

Signature

27 July 1998

Date

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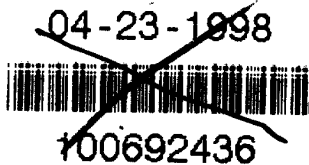
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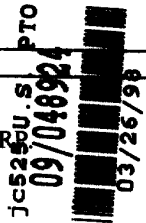
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U.S. DEPARTMENT OF COMMERCE
Patent and Trademark Office



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Jacky KUO
Jiunn-Hsien LIN
Chih-Ching HSU

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No. 3, Li-Hsin Rd. 1
Science-Based Industrial Park
Hsinchu
TAIWAN, R.O.C.

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☐ Security Agreement ☐ Change of Name
☐ Other:

Execution Date: 24 February 1998

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: 26 March 1998

A. Patent Application No.(s)

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

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Address: Merchant, Gould, Smith, Edell,
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3100 Norwest Center
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Minneapolis, MN 55402-4131

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9. Statement and signature:

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Michael D. Schumann
Name of Person Signing

Signature

26 March 1998

Date

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PATENT
REEL: 9363 FRAME: 0171

ASSIGNMENT

This assignment agreement is applicable to an invention entitled (Invention Title) METHOD TO GROW SELF-ALIGNED SILICON ON A POLY-GATE, SOURCE AND DRAIN REGION

The PATENT RIGHTS referred to in this agreement are:

(check one) ☒ a patent application for this invention, executed by the ASSIGNOR(S) concurrently with this assignment.

☐ U.S. patent application Serial No. _____, filed _____

☐ a U.S. patent application based on PCT International Application No. _____ filed on (date) _____ (U.S. patent application Serial No. _____, if known).

☐ U.S. patent No. _____, issued _____.

The PATENT RIGHTS also include all divisions reissues, continuations and extensions of the patents and patent applications identified above.

The PATENT RIGHTS assigned under this agreement are:

(check one) ☒ U.S. patent rights only.

☐ Worldwide patent rights. In this case, the assignee shall have the right to claim the benefit of the filing date of any U.S. or foreign patent application for this invention.

The ASSIGNOR(S) referred to in this agreement is (or are) the inventor(s) whose signatures appear on page 2 of this Assignment and any Supplemental Sheet(s).

The ASSIGNEE referred to in this agreement is:

(Name of Assignee) UNITED SEMICONDUCTOR CIRCUIT CORP.

(Address) NO. 3, 'LI-HSIN RD. 1', SCIENCE-BASED INDUSTRIAL PARK,

HSINCHU, TAIWAN R.O.C.

LI-HSIN RD. 2

The ASSIGNEE is:

(check one) ☐ An individual.

☐ A Partnership.

☒ A Corporation of TAIWAN R.O.C. (specify state or country)

☐ (other) _____

The ASSIGNOR(S), in consideration of \$10.00 paid by the ASSIGNEE, and other good and valuable consideration, receipt of which is acknowledged, hereby assign(s) the following rights to the ASSIGNEE, its successors and assigns:

the full and exclusive right to the invention;

the entire right, title and interest in and to the PATENT RIGHTS;

the right to sue and recover for any past infringement; and

the right to claim priority under 35 USC 119, 35 USC 120, or any other applicable provisions, based on any earlier patent applications for this invention.

PATENT
REEL: 9363 FRAME: 0172

THIS IS PAGE 2 OF AN ASSIGNMENT FROM THE INVENTOR(S) TO

ASSIGNEE: UNIT: SEMICONDUCTOR CIRCUIT CORP.

INVENTION TITLE: METHOD TO GROW SELF-ALIGNED SILICON ON A POLY-GATE,
SOURCE AND DRAIN REGION

As to all U.S. patent applications assigned under this agreement, the ASSIGNOR(S) hereby authorize(s) and request(s) the Commissioner of Patents and Trademark to issue all Letters Patent to the ASSIGNEE as the assignee of the entire right, title and interest, for the sole use and enjoyment of said ASSIGNEE, its successors and assigns.

Further, the ASSIGNOR(S) agree(s) to communicate to said ASSIGNEE, or its representatives, any fact known to the ASSIGNOR(S) with respect to said invention, and testify in any legal proceeding, sign all lawful papers, execute all divisional, continuation, substitute, renewal, reexamination and reissue applications, execute all necessary assignment papers to cause and all Letter Patent to be issued to said ASSIGNEE, make all rightful oaths and generally do everything necessary or desirable to aid said ASSIGNEE, its successors and assigns, to obtain and enforce proper protection for said invention.

The ASSIGNOR(S) authorize(s) the attorneys and agents who have the power of attorney in this application to check any appropriate boxes and to insert the Serial Number and filing date in this document after it has been executed.

<u>SHU-JEN CHEN</u>	<u>SHU-JEN CHEN</u>	<u>Feb./24/1998</u>
Name of sole or first inventor	Signature	Date
<u>JACKY KUO</u>	<u>Jacky Kuo</u>	<u>Feb/24/1998</u>
Name of second inventor, if any	Signature	Date
<u>JUINN-HSIEN LIN</u>	<u>Lin Juinn-Hsien</u>	<u>Feb/24/1998</u>
Name of third inventor, if any	Signature	Date
<u>CHIH-CHING HSU</u>	<u>Chih-ching Hsu</u>	<u>Feb/24/1998.</u>
Name of fourth inventor, if any	Signature	Date
_____ Name of fifth inventor, if any	_____ Signature	_____ Date
_____ Name of sixth inventor, if any	_____ Signature	_____ Date
_____ Name of seventh inventor, if any	_____ Signature	_____ Date
_____ Name of eighth inventor, if any	_____ Signature	_____ Date

RECORDED: 67/27/1998

PATENT
REEL: 9363 FRAME: 0173