

08-10-1998

FORM PTO-1595

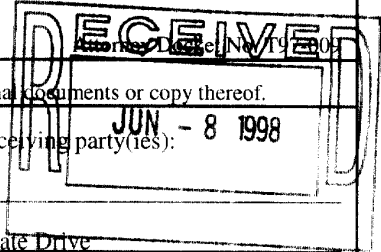
U.S. DEPARTMENT OF COMMERCE  
Patent and Trademark Office

RE 8-8-98



100790074

IEET



To the Honorable Commissioner of Patents and Trademarks. Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Ning Zhang, M. Catherine Amaral and Jin-Long Chen

Additional name(s) of conveying party(ies) attached?  Yes  No

2. Name and address of receiving party(ies):

Name: Tularik, Inc.

Address: Two Corporate Drive

South San Francisco, CA 94080

3. Nature of conveyance:

Assignment  Security Agreement  Merger  Change of Name

Other: \_\_\_\_\_

Additional name(s) & address(es) attached?  Yes  No

Execution Date: February 25, 1998

4. Application number(s) or patent number(s): 08/937,466 filed on September 25, 1997

If this document is being filed together with a new application, the filing date of the application is:

A. Patent Application No.(s) 08/937,466

B. Patent No.(s)

Additional numbers attached?  Yes  No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Richard Aron Osman

Address: Science & Technology Law Group

75 Denise Drive

Hillsborough, CA 94010

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.4): \$40.00

Enclosed

Authorized to be charged to deposit account, if necessary

8. Deposit account number:

19-0750

(Attach duplicate copy of this page if paying by deposit account)

DO NOT USE THIS SPACE

9. Statement and signature:

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Richard Aron Osman, Ph.D.

Name of Person Signing

Signature

June 2, 1998

Date

Total number of pages including cover sheet: 3

Do not detach this portion

Mail documents to be recorded with required cover sheet information to:

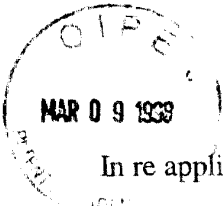
Commissioner of Patents and Trademarks  
Box Assignments  
Washington, D.C. 20231

Public burden reporting for this sample cover sheet is estimated to average about 30 minutes per document to be recorded, including time for reviewing the document and gathering the data needed, and completing and reviewing the sample cover sheet. Send comments regarding this burden estimate to the U.S. Patent and Trademark Office, Office of Information Systems, PK2-1000C, Washington, D.C. 20231, and to the Office of Management and Budget, Paperwork Reduction Project (0651-0011), Washington, D.C. 20503.

PATENT  
REEL: 9365 FRAME: 0133

03-19-1998

Sector #  
Box 509



IN THE U

100665242

TRADEMARK OFFICE

In re application of: Zhang et al.

Group Art Unit: 1804

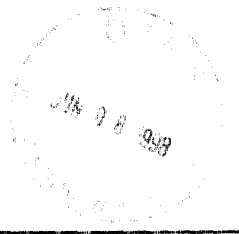
Serial No. 08/937,466

Examiner: N/A

Filed: September 25, 1997

Attorney Docket No. T97-009

For: *UCP3 Genes*



MRD 3-9-98

Certification under 37 CFR 1.10 (if applicable)  
I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail in an envelope addressed to the Assistant Commissioner of Patents, Washington, DC 20231 on March 8, 1998 service under 37 CFR 1.10

Signed   
Richard Osman

THIS FILE DID NOT RECEIVE THE FOLLOWING  
UNPAID ITEMS: *Sequence sheet*  
*Cover sheet*  
*Patent*

RESPONSE TO NOTICE TO FILE MISSING PARTS

The Assistant Commissioner for Patents  
Washington, DC 20231

Dear Commissioner:

In response to the Notice to File Missing Parts mailed January 5, 1998, enclosed please find:

Declaration of Inventorship (1p); Small Entity Declaration (1p); Power of Attorney (1p); Assignment (1p) and Recordation sheet; copy of Notice (1p); check no.1299 for \$500. (395+65+40); Preliminary Amendment (1p); Sequence listing (6p); CRF diskette; return postcard.

In adherence with 37 CFR 1.821-1.825, this response is accompanied by a diskette containing SEQ ID NOS 01-06 in computer readable form and a paper copy of the sequence information. The computer readable sequence listing was prepared through the use of the software program "PatentIn" provided by the Patent and Trademark Office. The paper copy and computer readable copy of the sequence listing are the same and introduce no new matter. The sequence data of the enclosed Sequence Listing are all contained in the specification as filed.

Applicants hereby petition for any necessary extension of time pursuant to 37 CFR 1.136(a). The Commissioner is hereby authorized to charge any other fees or credit any overcharges associated with this communication to our Deposit Account No. 19-0750 (order no. T97-009).

Respectfully submitted,  
SCIENCE & TECHNOLOGY LAW GROUP

Richard Aron Osman, Ph.D., Reg. No. 36,627  
Telephone: (650) 343-4341  
Fax: (650)343-4342

**ASSIGNMENT**


WHEREAS we, Ning Zhang, M. Catherine Amaral and Jin-Long Chen, all of South San Francisco, CA, have invented certain new and useful improvements, *UCP3 Games*, and having executed an application for United States patent disclosing and identifying the invention, said application filed on September 25, 1997, and having U.S. Serial No. 08/937,466; and

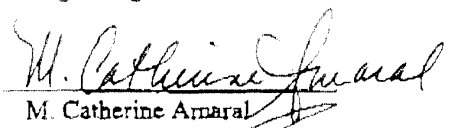
Whereas, Tularik Inc., a corporation of the State of California and having its principal place of business at Two Corporate Drive, South San Francisco, CA (hereinafter referred to as assignee) is desirous of acquiring the entire right, title, and interest in and to said invention, said application and the Letters Patent to be obtained therefor;

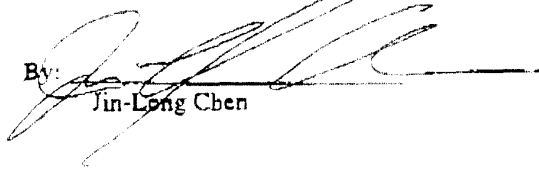
Now, therefore, for and in consideration of One Dollar and other good and valuable considerations, to us in hand paid, the receipt and sufficiency whereof are hereby acknowledged, we have sold, assigned, and set over and by these presents do hereby sell, assign, and set over unto the said assignee and said assignee's legal representatives, successors and assigns, the entire right, title, and interest (a) in and to said application and said invention; (b) in and to all rights to apply for foreign patents on said invention; (c) in and to any and all applications filed and any and all patents granted on said invention in the United States or any foreign country, including each and every application filed and each and every patent granted on any application which is a division, substitution, continuation or nonprovisional 37CFR1.53(b) application of any of said applications; and (d) in and to each and every reissue or extensions of any of said patents; and we do hereby authorize and request the Commissioner of Patents and Trademarks to issue said Letters Patent to the above-mentioned assignee agreeably with the terms of this assignment.

We hereby authorize the above-mentioned assignee or its legal representative to insert in this instrument the filing date and serial number of our said application or any other information that may be necessary or desirable in order to comply with the rules of the United States Patent and Trademark Office for recordation of this document.

Upon said consideration, we convey to said assignee the right to make application in its own behalf for protection of said invention in countries foreign to the United States and where expedient to claim under the International Convention or other international arrangement for any such application the date of the said United States application (or other application if any there be) in priority to other applications; and we do hereby covenant and agree with the said assignee that we will not execute any writing or do any act whatsoever conflicting with these presents, and that we will at any time upon request, without further or additional consideration, but at the expense of the said assignee, execute such additional assignments and other writings and do such additional acts as said assignee may deem necessary or desirable to perfect the assignee's enjoyment of this grant, and render all necessary assistance in making application for and obtaining original, divisional, renewal, reissued or extended Letters Patent of the United States or of any and all foreign countries on said invention, and in enforcing any rights or chose in action accruing as a result of such application or patents, by giving testimony in any proceedings or transactions involving such applications or patents, and by executing preliminary statements and other affidavits, it being understood that the foregoing covenant and agreement shall bind, and inure to the benefit of the assigns and legal representatives of both parties.

Date: 2/25/98 By:   
Ning Zhang

Date: 2/25/98 By:   
M. Catherine Amaral

Date: 2-25-98 By:   
Jin-Long Chen

B

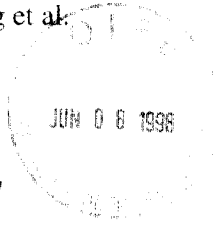
IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Zhang et al

Serial No. 08/937,466

Filed: September 25, 1997

For: *UCP3 Genes*



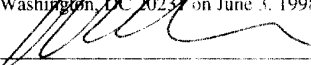
Group Art Unit: 1804

**Document ID No: 100665242**

Attorney Docket No. T97-009

Certification under 37 CFR 1.8  
I hereby certify that this correspondence is being deposited with the  
United States Postal Service as First Class Mail in an envelope  
addressed to the Assistant Commissioner of Patents, Assignment  
Division, Washington, DC 20231 on June 3, 1998

Signed

  
Richard Osman

RESPONSE TO NOTICE OF NON-RECORDATION

U.S. Patent and Trademark Office  
Dear Commissioner:


In response to the Notice of Non-Recordation of Document mailed May 26, 1998, applicants hereby resubmit the enclosed:

- Assignment document and recordation cover sheet

Pursuant to 37 CFR 3.51, this Assignment document along with the original transmittal (cover sheet) is being resubmitted with the appropriate corrections/new cover sheet within 30 days from the date of the Notice, and the document includes a stamp with the official date of receipt. Please record the enclosed resubmitted document maintaining the original date of filing.

Please note that the \$40.00 recordation fee for this assignment has already been paid (our check No. 1299 dated March 5, 1998 in the amount of \$500.00 (395 + 65 +40)). Accordingly no further fees should be required.

Respectfully submitted,  
SCIENCE & TECHNOLOGY LAW GROUP

  
Richard Aron Osman, Ph.D., Reg. No. 36,627  
Telephone: (650) 343-4341  
Fax: (650)343-4342