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DOCKET: JA997015

ER SHEET

US Department of Commerce
Patent & Trademark Office

To the Honorable Commission
or copy thereof.

Please record the attached original documents

1. Name of conveying party(ies):

Toshio Kakihara
Atsushi Kanamaru
Takumi Satoh
Masahiko Satoh

Additional name(s) of conveying
party(ies) attached ☐ Yes ☒ No

2. Name and address of receiving party(ies):

Name: International Business
Machines Corporation

Street Address: Old Orchard Road
City: Armonk State: NY ZIP: 10504

Additional name(s) & address(es) attached?
☐ Yes ☒ No

3. Nature of conveyance:

☒ Assignment

Execution Date: 2/27/98, 3/11/98, 3/12/98, 3/12/98

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: _____

A. Patent Application No.(s)

09/035679

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom
correspondence concerning document
should be mailed:

Name: IBM Corporation
Internal
Address: Intellectual Property Law
Street
Address: 5600 Cottle Road (L2PA/0142)
San Jose, CA 95193

6. Total Number of applications and patents
involved: One

7. Total fee (37 CFR 3.41).....\$ 40.00
☐ Enclosed
☒ Authorized to be charged to
deposit account

8. Deposit Account Number: 09-0466

08/06/1998 DNGUYEN 00000005 090466 09035679

01 FC:581 40.00 CH

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

G. Marlin Knight

Date July 30, 1998

Total number of pages including cover sheet, attachments, and document: /2/

ASSIGNMENT

Docket No. JA997015

Whereas, we

INVENTOR
AND CITY

(1)	Toshio Kakiyara	of Fujisawa-shi,
County of	Kanagawa-ken	and State of Japan,
and (2)	Atsushi Kanamaru	of Sagamiyara-shi,
County of	Kanagawa-ken	and State of Japan,
and (3)	Takumi Satoh	of Sagamiyara-shi,
County of	Kanagawa-ken	and State of Japan,
and (4)	Masahiko Satoh	of Yokohama-shi,
County of	Kanagawa-ken	and State of Japan,

have invented certain improvements in

TITLE

DISK DRIVE UNIT AND ERROR RECOVERY METHOD THEREFOR

DATES THAT
INVENTORS
SIGNED THE
DECLARATION

and executed, respectively, a United States patent application therefor on

(1)	<u>Feb. 27</u>	, 1998,	(2)	<u>Mar. 11</u>	, 1998,	(3)	<u>May. 12</u>	, 1998,
(4)	<u>Mar. 12</u>	, 1998.						

Whereas, INTERNATIONAL BUSINESS MACHINES CORPORATION, a corporation of New York, having a place of business at Armonk, New York 10504, (hereinafter called IBM), desires to acquire the entire right, title and interest in the said application and invention, and to any United States and foreign patents to be obtained therefor;

Now therefore, for a valuable consideration, receipt whereof is hereby acknowledged, we, the above named, hereby sell, assign, and transfer to IBM, its successors and assigns, the entire right, title and interest in the said application and invention therein disclosed for the United States and foreign countries, and all rights of priority resulting from the filing of said United States application, and we request the Commissioner of Patents to issue any Letters Patent granted upon the inventions set forth in said application to IBM, its successors and assigns; and we hereby agree that IBM may apply for foreign Letters Patent on said invention and we will execute all papers necessary in connection with the United States and foreign applications when called upon to do so by IBM.

Signed and sealed

CITY
DATE

(1) at Fujisawa
on Feb. 27, 1998,

Toshio Kakiyara SIGNATURE
Toshio Kakiyara INVENTOR

CITY
DATE

and (2) at Fujisawa
on Mar. 11, 1998,

A. Kanamaru SIGNATURE
Atsushi Kanamaru INVENTOR

CITY
DATE

and (3) at Fujisawa
on Mar. 12, 1998,

Takumi Satoh SIGNATURE
Takumi Satoh INVENTOR

CITY
DATE

and (4) at Fujisawa
on Mar. 12, 1998,

Masahiko Satoh SIGNATURE
Masahiko Satoh INVENTOR

RECORDED: 08/04/1998

PATENT
REEL: 9366 FRAME: 0603