| Form PTO-1595   | U.S. Department of Commerce   |
|---|---|
| 1-3-92 RECC (   | DB-11-1998 IEET A Petent and Trademark Office   |
| AND O A BOOK S  |   |
| To the Assistant Commissioner to Setents: Please  | 100790091 thereof.  |
| 1. Name of conveying party(ies):  CVI/BETA VENTURES, INC., also known as  AMERICAN NITINOL CORPORATION  Additional name(s) of conveying party(ies) attached?  [] Yes [x] No | 2. Name and address of receiving party(ies):  Name: ROBERT B. ZIDER Internal Address: Building 1, Suite 235 Street Address: 3000 Sand Hill Road City: Menlo Park State: CA ZIP: 94025   |
|   | Additional name(s) & address(es) attached?  [XI Yes [] No   |
| 3. Nature of conveyance:  |   |
| [X] ASSIGNMENT  |   |
| Execution Date: June 1, 1997  |   |
| 4. Application number(s) or patent number(s):   | Attorney Docket No. <u>16982-0012</u>   |
| If this document is being filed together with a new $\epsilon$ p  | plication, the execution date of the application is:  |
| A. Patent Application No.: (Filing Dates)   | B. U.S. Patent Nos.<br>4,895,438<br>4,758,285<br>4,757,978  |
| Additional n  | umbers attached? [] Yes [x] No  |
| Name and address of party to whom correspondence concerning document should be mailed:  | 6. Total number of applications and patents involved:   |
| Name: John W. Hanley, Jr.<br>Heller Ehrman White & McAuliffe  | 3   |
| Street Address: 701 Fifth Avenue, Suite 6100  |   |
| City: Seattle State: WA Zip: 98104-7098   |   |
|   | 7. Total fee (37 CFR 3.41) \$ 120.00  |
|   | [X] Enclosed [] Authorized to be charged to deposit account   |
|   | 8. Deposit Account Number:  |
| DO  | NOT USE THIS SPACE  |
| 9. Statement and signature.  To the best of my knowledge and belief, the foregoing original document.  CVI/BETA VENTURES, INC., also  | information is true and correct and any attached copy is a true copy of the   |
| known as AMERICAN NITINOL CORPORATION Name of Person Signing  | Signature Date  |
| ridino ot i olauri olgiling /   | Total number of pages including cover sheet, attachments and document: [4]  |
| ОмВ No. 0651-0011 (ехр. 4/94)   |   |
|   | not detach this portion<br>required cover sheet information to:   |
| 8/10/1998 DNGUYEN 00000021 4895438 Assistant  | Commissioner for Patents  |
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| per document to be recorded, including<br>data needed, and completing and revie<br>regarding this burden estimate to the L<br>Systems, PK2-1000C, Washington, E.            | cover sheet is estimated to average about 30 minutes time for reviewing the document and gathering the wing the sample cover sheet. Send comments I.S. Patent and Trademark Office, Office of Information C. 20231, and to the Office of Management and |
| Budget, Paperwork Reduction Project (   | 0651-0011), Washington, D.C. 20503.   |

PATENT

## Additional name and address of receiving party:

Name: John F. Krumme

Internal Address: Building 1, Suite 235 Street Address: 3000 Sand Hill Road

City: Menlo Park State: CA ZIP: 94025

PATENT REEL: 9367 FRAME: 0009

Attorney Docket No.

## ASSIGNMENT

THIS ASSIGNMENT, by CVI/BETA Ventures, Inc., a California corporation also known as "American Nitinol Corporation" and having an address at Building 1, Suite 235, 3000 Sand Hill Road, Menlo Park, California 94025 (hereinafter referred to as the "Assignor"), witnesseth

WHEREAS, Assignor holds all right, title or interest in certain new and useful improvements with respect to eyeglass frames set forth in certain Letters Patent of the United States as herein described and all corresponding foreign patent applications and patents:

| TITLE  | PATENT NO. OR FILING DATE | INVENTORS      |
|--|---------------------------|----------------|
| Eyeglass Frame Including Shape-Memory Elements | 4,895,438                 | Zider, Krumme  |
| Shape-Memory Alloy<br>Resetting Method         | 4,758,285                 | Hodgson, Zider |
| Shape-Memory Alloy<br>Resetting Apparatus      | 4,757,978                 | Hodgson        |

WHEREAS, the Assignor wishes to assign, transfer and set over to the Assignees (as hereinafter identified) all of its interest in the foregoing inventions; and

WHEREAS, Robert B. Zider and John F. Krumme, each a California resident having an address at Building 1, Suite 235, 3000 Sand Hill Road, Menlo Park, California 94025 (hereinafter referred to as the "Assignees"), are desirous of acquiring the entire right, title and interest in and to said inventions and Letters Patent of the United States, and in and to any Letters Patent or Patents, United States or foreign, to be obtained therefor and thereon.

NOW, THEREFORE, in consideration of One Dollar (\$1.00) and other good and sufficient consideration, the receipt of which is hereby acknowledged, the Assignor has sold, assigned, transferred, and set over, and by these presents do sell, assign, transfer, and set over, unto Robert B. Zider (as to an undivided 86.4% interest) and John F. Krumme (as to an undivided 13.6% interest), and the successors, legal representatives,

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PATENT REEL: 9367 FRAME: 0010 and assigns of each the entire right, title and interest in and to the above-mentioned inventions and Letters Patent, and any and all Letters Patent or Patents of the United States of America and all foreign countries that may be granted therefor and thereon, and in and to any and all reissues and extensions of said Letters Patents, and all rights under the International Convention for the Protection of Industrial Property, the same to be held and enjoyed by the Assignees, in the percentage interests hereinabove stated, for each's own use and behoof and the use and behoof of his successors, legal representatives, and assigns, to the full end of the term or terms for which Letters Patents may be granted as fully and entirely as the same would have been held and enjoyed by the Assignor had this sale and assignment not been made

AND for the same consideration, the Assignor hereby covenants and agrees to and with the Assignees, their successors, legal representatives, and assigns, that, at the time of execution and delivery of these presents, the Assignor is the sole and lawful owner of the entire right, title and interest in and to the inventions and Letters Patent above-mentioned, and that the same are unencumbered, and that the Assignor has good and full right and lawful authority to sell and convey the same in the manner herein set forth;

AND for the same consideration, the Assignor hereby covenants and agrees to and with the Assignees, their successors, legal representatives, and assigns that the Assignor will, whenever counsel of the Assignees, or the counsel of their successors, legal representatives, and assigns, shall advise that any proceeding in connection with said inventions or Letters Patent, or any proceeding in connection with Letters Patent for said inventions in any country, including interference proceedings, is lawful and desirable, or that any division, continuation or continuation-in-part of any application for Letters Patent, or any reissue or extension of any Letters Patent to be obtained thereon, is lawful and desirable, sign all papers and documents, take all lawful oaths, and do all acts necessary or required to be done for the procurement, maintenance, enforcement, and defense of Letters Patent for said inventions, without charge to the Assignees, their successors, legal representatives, and assigns, but at the cost and expense of the Assignees, their successors, legal representatives, and assigns.

CVI/BETA Ventures, Inc., a California corporation

Date: June 1, 1997

Robert B. Zider, President

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RECORDED: 08/04/1998