

08-12-1998

HEET

U.S. DEPARTMENT OF COMMERCE
Patent and Trademark Office

mrs 8-5-98



100791206

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Edwin Arthur Chandross
Arturo Hale
Valerie Jean Kuck
Edward John Laskowski
Christi Kay Madsen
Ronald Edward Scott
Joseph Shmulovich

Additional name(s) of conveying party(ies) attached? yes no

2. Name and address of receiving party(ies)

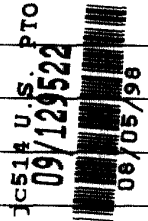
Name: Lucent Technologies Inc.

Internal Address: _____

Street Address: 600 Mountain Avenue
P. O. Box 636

City: Murray Hill State: NJ ZIP 07974 -
0636

Additional name(s) & address(es) attached? yes no



3. Nature of conveyance:

Assignment Merger
 Security Agreement Change of Name
 Other _____

Execution Date: 7/30/98, 7/31/98, 8/04/98

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: _____

A. Patent Application No.(s)

B. Patent No (s)

Additional numbers attached? yes no

09/129522

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: **Docket Administrator - Room 3C-512**
Lucent Technologies Inc.

Internal Address: _____

Street Address 600 Mountain Avenue
P. O. Box 636
City Murray Hill State NJ ZIP 07974 -
0636

6. Total number of applications and patents involved:

7. Total fee (37 CFR 3.41) \$ 40.00

Enclosed
 Authorized to be charged to deposit account

8. Deposit account number:

12 - 2325

(Attach duplicate copy of this page if paying by deposit account)

08/11/1998 DNGUYEN 00000157 122325 09129522

01 FC:581 40.00 CH

DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Peter V. D. Wilde

Name of Person Signing

Signature

AUG 05 1998

Date

Total number of pages including cover sheet, attachments, and document:

Mail documents to be recorded with required cover sheet information to:

Commissioner of Patents & Trademarks, Box Assignments
Washington, D.C. 20231

REEL: 9369 FRAME: 0275

ASSIGNMENT AND AGREEMENT

For value received, we, Edwin Arthur Chandross, of Murray Hill, in the County of Union and State of New Jersey, Arturo Hale, of New York, in the County of New York and State of New York, Valerie Jeanne Kuck, of Upper Montclair, in the County of Essex and State of New Jersey, Edward John Laskowski, of Scotch Plains, in the County of Union and State of New Jersey, Christi Kay Madsen, of South Plainfield, in the County of Middlesex and State of New Jersey, Ronald Edward Scotti, of White House Station, in the County of Hunterdon and State of New Jersey, and Joseph Shmulovich, of Murray Hill, in the County of Union and State of New Jersey, hereby sell, assign and transfer to Lucent Technologies Inc., a corporation of the State of Delaware, having an office at 600 Mountain Avenue, Murray Hill, New Jersey 07974-0636, USA, and its successors, assigns and legal representatives, the entire right, title and interest, for the United States of America, in and to certain inventions related to TUNABLE DIRECTIONAL OPTICAL WAVEGUIDE COUPLERS described in an application for Letters Patent of the United States, executed by us of even date herewith, and all the rights and privileges in said application and under any and all Letters Patent that may be granted in the United States for said inventions; and we also concurrently hereby sell, assign and transfer to Lucent Technologies Inc. the entire right, title and interest in and to said inventions for all countries foreign to the United States, including all rights of priority arising from the application aforesaid, and all the rights and privileges under any and all forms of protection, including Letters Patent, that may be granted in said countries foreign to the United States for said inventions.

We authorize Lucent Technologies Inc. to make application for such protection in its own name and maintain such protection in any and all countries foreign to the United States, and to invoke and claim for any application for patent or other form of protection for said inventions, without further authorization from us, any and all benefits, including the right of priority provided by any and all treaties, conventions, or agreements.

We hereby consent that a copy of this assignment shall be deemed a full legal and formal equivalent of any document which may be required in any country in proof of the right of Lucent Technologies Inc. to apply for patent or other form of protection for said inventions and to claim the aforesaid benefit of the right of priority.

Chandross et al Case 86-9-14-15-5-8-32

We request that any and all patents for said inventions be issued to Lucent Technologies Inc. in the United States and in all countries foreign to the United States, or to such nominees as Lucent Technologies Inc. may designate.

We agree that, when requested, we shall, without charge to Lucent Technologies Inc. but at its expense, sign all papers, and do all acts which may be necessary, desirable or convenient in connection with said applications, patents, or other forms of protection.

Edwin Arthur Chandross

Edwin Arthur Chandross

Date: 31 July 1998

United States of America)
State of New Jersey) is:
County of Union)

On this 31st day of July, 19 98, before me personally came Edwin Arthur Chandross, to me known to be the individual described in and who executed the foregoing instrument, and acknowledged execution of the same.

Sheila R. Cole

Notary Public
SHEILA R. COLE
A NOTARY PUBLIC OF NEW JERSEY
My Commission Expires 10/18/2000

Chandross et al Case 86-9-14-15-5-8-32

Arturo Hale

Arturo Hale

Date: 7-31/98

United States of America

State of New Jersey) ss.

County of Union)

On this 31st day of July, 19 98, before me personally came Arturo Hale, to me known to be the individual described in and who executed the foregoing instrument, and acknowledged execution of the same.

Sheila R. Cole

Notary Public

SHEILA R. COLE
A NOTARY PUBLIC OF NEW JERSEY
My Commission Expires 10/18/2000

Chandross et al Case 86-9-14-15-5-8-32

Valerie Jeanne Kuck

Valerie Jeanne Kuck

Date: 7/30/98

United States of America

State of New Jersey) ss.

County of Union)

On this 30th day of July, 1998, before me personally came Valerie Jeanne Kuck, to me known to be the individual described in and who executed the foregoing instrument, and acknowledged execution of the same.

Sheila R. Cole

Notary Public

SHEILA R. COLE
NOTARY PUBLIC OF NEW JERSEY
My Commission Expires 10/18/2000

Chandross et al Case 86-9-14-15-5-8-32

Edward John Laskowski

Edward John Laskowski

Date: 8/4/98

United States of America)

State of New Jersey) ss.:

County of Union)

On this 4th day of August, 19 98, before me personally came Edward John Laskowski, to me known to be the individual described in and who executed the foregoing instrument, and acknowledged execution of the same.

Sheila R. Cole

Notary Public

SHEILA R. COLE

NOTARY PUBLIC OF NEW JERSEY

My Commission Expires 10/18/2000

PATENT

REEL: 9369 FRAME: 0280

Chandross et al Case 86-9-14-15-5-8-32

Christi Kay Madsen

Christi Kay Madsen

Date: 7-30-98

United States of America)

State of New Jersey) ss

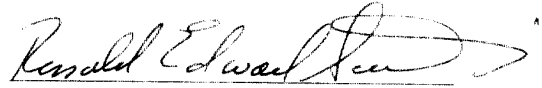
County of Union)

On this 30th day of July, 1998, before me personally came Christi Kay Madsen, to me known to be the individual described in and who executed the foregoing instrument, and acknowledged execution of the same.

Sheila R. Cole

Notary Public

SHEILA R. COLE
NOTARY PUBLIC OF NEW JERSEY
My Commission Expires 10/18/2000



Ronald Edward Scotti

Date: 7/31/98

United States of America)
State of New Jersey) ss.
County of Union)

On this 31st day of July, 19 98, before me personally came Ronald Edward Scotti, to me known to be the individual described in and who executed the foregoing instrument, and acknowledged execution of the same.



Notary Public

SHEILA R. COLE
NOTARY PUBLIC OF NEW JERSEY
My Commission Expires 10/18/2000

Chandross et al Case 86-9-14-15-5-8-32

J. Shmulovich

Joseph Shmulovich

Date: 7/30/98

United States of America)
State of *New Jersey*) ss.
County of *Union*)

On this 30th day of July, 19 98, before me personally came Joseph Shmulovich, to me known to be the individual described in and who executed the foregoing instrument, and acknowledged execution of the same

Sheila R. Cole

Notary Public
SHEILA R. COLE
A NOTARY PUBLIC OF NEW JERSEY
My Commission Expires 10/18/2000