

08-12-1998



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To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

**University of Utah**Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:

☒ Assignment☐ Merger☐ Security Agreement☐ Change of Name☐ OtherExecution Date: **July 10, 1998**

2. Name and address of receiving party(ies)

Name: **University of Utah Research Foundation**

Internal Address:

Street Address: **210 Park Building****Salt Lake City, Utah 84112**Additional Name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: **May 6, 1998**

A. Patent Application No.(s)

**09/073,881**

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: **Alan J. Howarth**Internal Address: **THORPE, NORTH & WESTERN, L.L.P.**Street Address: **P.O. Box 1219****Suite 200**City: **Sandy** State: **Utah** ZIP: **84091-1219**6. Total number of applications and patents involved: **1**7. Total fee (37 CFR 3.41).....\$ **\$40.00**☒ Enclosed☒ Authorized to be charged to deposit account

8. Deposit account number:

**20-0100 (Deficiencies only)**

(Attach duplicate copy of this page if paying by deposit account)

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9. Statement and signature.

*To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.***Alan J. Howarth**

Name of Person Signing

Signature

**July 31, 1998**

Date

Total number of pages including cover sheet, attachments, and document: **3**

Mail documents to be recorded with required cover sheet information to:  
Commissioner of Patents & Trademarks, Box Assignments

PATENT

REEL: 9371 FRAME: 0111

WHEN RECORDED RETURN TO:

ATTORNEY DOCKET NO. T4903(U-2383)

Alan J. Howarth  
THORPE, NORTH & WESTERN, L.L.P.  
P.O. Box 1219  
Sandy, Utah 84091-1219

**ASSIGNMENT**

WHEREAS, the University of Utah, the mailing address of which is 421 Wakara Way, Suite 170, Salt Lake City, Utah 84108, has assigned to it a certain product and process entitled COMMON NEURAL PROGENITOR FOR THE CNS AND PNS, for which a continuation-in-part application for United States Letters Patent was filed on May 6, 1998, and received Serial No. 09/073,881.

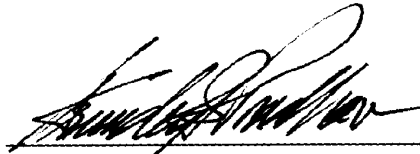
WHEREAS, the University of Utah Research Foundation, a nonprofit corporation, organized and existing under the State of Utah, located at 210 Park Building, Salt Lake City, Utah 84112, is desirous of acquiring the entire right, title and interest in the same;

NOW, THEREFORE, in consideration of one dollar (\$1.00), the receipt and sufficiency whereof is hereby acknowledged, and other good and valuable consideration, the University of Utah, by these presents does sell, assign and transfer unto said University of Utah Research Foundation, the full and exclusive right to the said invention and in and to said application and any and all non-provisional applications, divisions and continuations, substitutes and reissues of said application and the entire right, title and interest in, to and under any and all Letters Patent of the United States and foreign countries that may issue or be granted on said invention.

The Commissioner of Patents is hereby authorized and requested to issue said Letters Patent to said University of Utah Research Foundation, as the assignee of the entire right, title and interest in and to the same, for its sole use and behoof, and for the use and behoof of its legal representatives,

to the full end of the term for which said Letters Patent may be granted, as fully and entirely as the same would have been held by the University of Utah had this assignment and sale not been made.

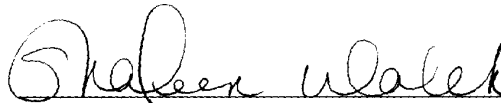
Executed this 10<sup>th</sup> day of JULY, 1998, at Salt Lake City, Utah.



Arundeeep S. Pradhan, Associate Director  
University of Utah  
Technology Transfer Office

STATE OF UTAH     )  
                              : ss  
County of Salt Lake    )

Before me personally appeared said Arundeeep S. Pradhan and acknowledged the foregoing instrument to be his free act and deed this 10<sup>th</sup> day of JULY, 1998.



Notary Public

My commission expires:

August 1, 2000

Residing at: SLC, UT

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