

FORM PTO-1519A
Expires 06/3/99
OMB 0651-0027

10-29-1998



100804157

U.S. Department of Commerce
Patent and Trademark Office
PATENT

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**RECORDATION FORM COVER SHEET
PATENTS ONLY**

5/22/98

TO: The Commissioner of Patents and Trademarks: Please record the attached original document(s) or copy(ies).

Submission Type



New

MRD 5-22-98



Resubmission (Non-Recordation)

Document ID#



Correction of PTO Error

Reel #

Frame #



Corrective Document

Reel #

Frame #

Conveyance Type



Assignment



Security Agreement



License



Change of Name



Merger



Other

U.S. Government

(For Use ONLY by U.S. Government Agencies)



Departmental File



Secret File

Conveying Party(ies)



Mark if additional names of conveying parties attached

Name (line 1)

Donde, Arik

Execution Date
Month Day Year

11061997

Name (line 2)

Execution Date
Month Day Year

11051997

Second Party

Name (line 1)

Levinstein, Hyman J.

Name (line 2)

Receiving Party



Mark if additional names of receiving parties attached

Name (line 1)

Applied Materials, Inc.

Name (line 2)

Legal Affairs Department

Address (line 1)

3050 Bowers Avenue

Address (line 2)

Mail Stop 2061

Address (line 3)

Santa Clara

CA

State/Country

95054

Zip Code

If document to be recorded is an assignment and the receiving party is not domiciled in the United States, an appointment of a domestic representative is attached. (Designation must be a separate document from Assignment.)

Domestic Representative Name and Address

Enter for the first Receiving Party only.

Name

Address (line 1)

Address (line 2)

Address (line 3)

Address (line 4)

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Public burden reporting for this collection of information is estimated to average approximately 30 minutes per Cover Sheet to be recorded, including time for reviewing the document and gathering the data needed to complete the Cover Sheet. Send comments regarding this burden estimate to the U.S. Patent and Trademark Office, Chief Information Officer, Washington, D.C. 20231 and to the Office of Information and Regulatory Affairs, Office of Management and Budget, Paperwork Reduction Project (0651-0027), Washington, D.C. 20503. See OMB Information Collection Budget Package 0651-0027, Patent and Trademark Assignment Practice. DO NOT SEND REQUESTS TO RECORD ASSIGNMENT DOCUMENTS TO THIS ADDRESS.

Mail documents to be recorded with required cover sheet(s) information to
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Correspondent Name and Address

Area Code and Telephone Number

MAY 7 2 1999
Name

Address (line 1)

Address (line 2)

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Address (line 4)

Pages

Enter the total number of pages of the attached conveyance document including any attachments.

#

Application Number(s) or Patent Number(s)

☐

Mark if additional numbers attached

Enter either the Patent Application Number or the Patent Number (DO NOT ENTER BOTH numbers for the same property).

Patent Application Number(s)

Patent Number(s)

If this document is being filed together with a new Patent Application, enter the date the patent application was signed by the first named executing inventor.

Month Day Year

Patent Cooperation Treaty (PCT)

Enter PCT application number

only if a U.S. Application Number has not been assigned.

PCT

PCT

PCT

PCT

PCT

PCT

Number of Properties

Enter the total number of properties involved.

#

Fee Amount

Fee Amount for Properties Listed (37 CFR 3.41): \$

Method of Payment:

Deposit Account

(Enter for payment by deposit account or if additional fees can be charged to the account.)

Enclosed ☐

Deposit Account ☒

Deposit Account Number:

#

Authorization to charge additional fees:

Yes

☒

No

☐

Statement and Signature

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document. Charges to deposit account are authorized, as indicated herein.

Ashok K. Janah

Name of Person Signing

Signature

Date

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CONTINUATION
PATENTS ONLY

U.S. Department of Commerce
Patent and Trademark Office
PATENT

Conveying Party(ies)

☒ Mark if additional names of conveying parties attached

Enter additional Conveying Parties

Name (line 1) Wu, Robert W.

Execution Date
Month Day Year
11 12 1997

Name (line 2)

Name (line 1) Hegedus, Andreas

Execution Date
Month Day Year
11 07 1997

Name (line 2)

Name (line 1) Weldon, Edwin C.

Execution Date
Month Day Year
11 07 1997

Name (line 2)

Receiving Party(ies)

☐ Mark if additional names of receiving parties attached

Enter additional Receiving Party(ies)

Name (line 1)

Name (line 2)

Address (line 1)

Address (line 2)

Address (line 3)

City

State/Country

Zip Code

Name (line 1)

Name (line 2)

Address (line 1)

Address (line 2)

Address (line 3)

City

State/Country

Zip Code

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Application Number(s) or Patent Number(s)

☐ Mark if additional numbers attached

Enter either the Patent Application Number or the Patent Number (DO NOT ENTER BOTH numbers for the same property).

Patent Application Number(s)

Patent Number(s)

PATENT

REEL: 9372 FRAME: 0467

RECORDATION FORM COVER SHEET
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U.S. Department of Commerce
Patent and Trademark Office
PATENT

Conveying Party(ies)

☐ Mark if additional names of conveying parties attached

Enter additional Conveying Parties

Execution Date
Month Day Year

Name (line 1) Shamouilian, Shamouil

11051997

Name (line 2)

Execution Date
Month Day Year

Name (line 1) Clinton, Jon T.

11051997

Name (line 2)

Execution Date
Month Day Year

Name (line 1) Bedi, Surinder S.

11051997

Name (line 2)

Receiving Party(ies)

☐ Mark if additional names of receiving parties attached

Enter additional Receiving Party(ies)

Name (line 1)

☐ If document to be recorded is an assignment and the receiving party is not domiciled in the United States, an appointment of a domestic representative is attached. (Designation must be a separate document from Assignment.)

Name (line 2)

Address (line 1)

Address (line 2)

Address (line 3)

City

State/Country

Zip Code

Name (line 1)

Name (line 2)

Address (line 1)

Address (line 2)

Address (line 3)

City

State/Country

Zip Code

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Application Number(s) or Patent Number(s)

☐ Mark if additional numbers attached

Enter either the Patent Application Number or the Patent Number (DO NOT ENTER BOTH numbers for the same property).

Patent Application Number(s)

Patent Number(s)

PATENT

REEL: 9372 FRAME: 0468

12-03-1997



100582267

RECEIVED
NOV 26 1997

To the Honorable Commissioner of Patents and Trademarks

3 original documents or copy thereof

1. Name of conveying party(ies)

Arik Donde
Hyman J. Levinstein
Robert W. Wu
Andreas Hegedus

Edwin C. Weldon
Shamouil Shamouilian
Jon T. Clinton
Surinder S. Bedi

2. Name and address of receiving party(ies)

Name: Applied Materials, Inc.
Internal Address: Legal Affairs Department

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:

☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other _____

Street Address: 3050 Bowers Avenue

Mail Stop 2061

City: Santa Clara State: CA ZIP: 95054

Additional name(s) & address(es) attached? ☐ Yes ☒ No

Execution Date: November 12, 1997

4. Application number(s) or patent number(s): 08/755,716

If this document is being filed together with a new application, the execution date of the application is: _____

A. Patent Application No.(s)

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Patent Counsel
Legal Affairs Department
APPLIED MATERIALS, INC.
Address: P.O. Box 450A
Santa Clara, California 95052

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41)..... \$ 40.00

☐ Enclosed
☒ Authorized to be charged to deposit account

8. Please charge any additional fees or credit any overpayments to our Deposit account number.

01-1651

(Attach duplicate copy of this page if paying by deposit account)

12/02/1997 VERDUM 00000129 MAR:011651 08755716
01 FC:561 40.00 CH

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Ashok K. Janah, Reg. No. 37,487
Name of Person Signing

Signature

11/25/97
Date

Total number of pages including cover sheet, attachments, and document: 7

OMB No. 0651-0011 (exp 4/94)

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Washington, D.C. 20231

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PATENT
REEL: 9372 FRAME: 0469

ASSIGNMENT FOR APPLICATION FOR PATENT

WHEREAS:

Names and Addresses of Inventors:

- | | |
|---|--|
| 1) Arik Donde
733 Stendhall Lane
Cupertino, California 95014 | 5) Edwin C. Weldon
23613 Skyview Terrace
Los Gatos, California 95030 |
| 2) Hyman J. Levinstein
132 Robbins Avenue
Berkeley Heights, New Jersey
07922 | 6) Shamouil Shamouilian
6536 Little Falls Drive
San Jose, California 95120 |
| 3) Robert W. Wu
3112 Paseo Granada
Pleasanton, California 94566 | 7) Jon T. Clinton
3200 Payne Avenue, #406
San Jose, California 95117 |
| 4) Andreas Hegedus
889 Noe Street
San Francisco, California 94114 | 8) Surinder S. Bedi
5438 Dekker Terrace
Fremont, California 94555 |

(hereinafter referred to as Assignors), have invented a certain invention entitled:

"PUNCTURE RESISTANT ELECTROSTATIC CHUCK"

for which application for Letters Patent in the United States was filed on **November 25, 1996**, under Serial No. **08/755,716**; and

WHEREAS, Applied Materials, Inc., a corporation of the State of Delaware, having a place of business at 3050 Bowers Avenue, Santa Clara, California 95054 (hereinafter referred to as Assignee), is desirous of acquiring the entire right, title, and interest in and to said application (hereinafter referred to as Application), and the invention disclosed therein (hereinafter referred to as Invention), and in and to all embodiments of the Invention, heretofore conceived, made or discovered by said Assignors, and in and to any and all patents, inventor's certificates, and other forms of protection (hereinafter referred to as Patents) thereon granted in any and all countries and groups of countries.

NOW, THEREFORE, in consideration of good and valuable consideration acknowledged by said Assignors to have been received in full from said Assignee:

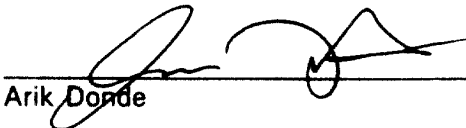
1. Said Assignors hereby sell, assign, transfer, and convey to Assignee the full and exclusive right, title, and interest (a) in and to said Application and said Invention; (b) in and to all rights to apply for patents on said Invention in any and all countries pursuant to the International Convention for the Protection of Industrial Property or otherwise; (c) in and to any and all Applications filed and any and all Patents granted on said Invention in any and all countries and groups of countries, including each and every Application filed and each and every Patent granted on any application which is a division, substitution, or continuation of said Application; and (d) in and to each and every reissue or extension of any of said Patents.

2. Said Assignors hereby covenant and agree to cooperate with said Assignee to enable said Assignee to enjoy to the fullest extent the right, title, and interest to said Invention herein conveyed in any and all countries and groups of countries. Such cooperation by said Assignors shall include prompt production of pertinent facts and documents, giving testimony, execution of petitions, oaths, specifications, declarations or other papers, and other assistance all to the extent deemed necessary or desirable by said Assignee (a) for perfecting in said Assignee the right, title, and interest herein conveyed; (b) for prosecuting any of said applications; (c) for filing and prosecuting substitute, divisional, continuing or additional applications covering said Invention; (d) for filing and prosecuting applications for reissuance of any of said Patents; (e) for interference or other priority proceedings involving said Invention; and (f) for legal proceedings involving said Invention and any application therefor and any Patents granted thereon, including without limitation opposition proceedings, cancellation proceedings, priority contests, public use proceedings, infringement actions, and court actions; provided, however, that the expense incurred by said Assignors in providing such cooperation shall be paid for by said Assignee.

3. The term and covenants of this agreement shall inure to the benefit of said Assignee, its successors, assigns and other legal representatives, and shall be binding upon said Assignors, their respective heirs, legal representatives, and assigns.

4. Said Assignors hereby warrant and represent that they have not entered and will not enter into any assignment, contract, or understanding in conflict herewith.

IN WITNESS WHEREOF, the said Assignors have executed and delivered this instrument to said Assignee on the dates indicated below.

- 1) 11-C, 1997 
Arik Donde
- 2) _____, 1997
Hyman J. Levinstein
- 3) _____, 1997
Robert W. Wu
- 4) _____, 1997
Andreas Hegedus
- 5) _____, 1997
Edwin C. Weldon
- 6) _____, 1997
Shamouil Shamouilian
- 7) _____, 1997
Jon T. Clinton
- 8) _____, 1997
Surinder S. Bedi

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WHEREAS:

Names and Addresses of Inventors:

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|---|--|
| 1) Arik Donde
733 Stendhall Lane
Cupertino, California 95014 | 5) Edwin C. Weldon
23613 Skyview Terrace
Los Gatos, California 95030 |
| 2) Hyman J. Levinstein
132 Robbins Avenue
Berkeley Heights, New Jersey
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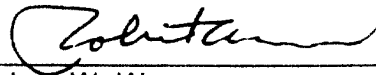
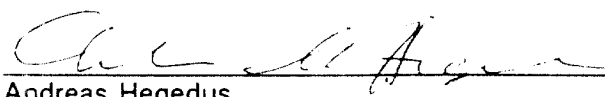
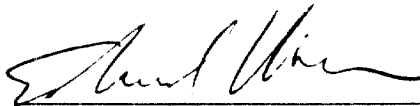
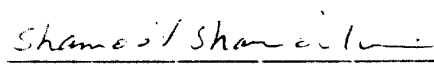
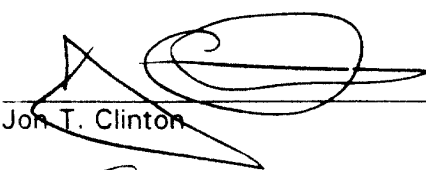

1. Said Assignors hereby sell, assign, transfer, and convey to Assignee the full and exclusive right, title, and interest (a) in and to said Application and said Invention; (b) in and to all rights to apply for patents on said Invention in any and all countries pursuant to the International Convention for the Protection of Industrial Property or otherwise; (c) in and to any and all Applications filed and any and all Patents granted on said Invention in any and all countries and groups of countries, including each and every Application filed and each and every Patent granted on any application which is a division, substitution, or continuation of said Application; and (d) in and to each and every reissue or extension of any of said Patents.

2. Said Assignors hereby covenant and agree to cooperate with said Assignee to enable said Assignee to enjoy to the fullest extent the right, title, and interest to said Invention herein conveyed in any and all countries and groups of countries. Such cooperation by said Assignors shall include prompt production of pertinent facts and documents, giving testimony, execution of petitions, oaths, specifications, declarations or other papers, and other assistance all to the extent deemed necessary or desirable by said Assignee (a) for perfecting in said Assignee the right, title, and interest herein conveyed; (b) for prosecuting any of said applications; (c) for filing and prosecuting substitute, divisional, continuing or additional applications covering said Invention; (d) for filing and prosecuting applications for reissuance of any of said Patents; (e) for interference or other priority proceedings involving said Invention; and (f) for legal proceedings involving said Invention and any application therefor and any Patents granted thereon, including without limitation opposition proceedings, cancellation proceedings, priority contests, public use proceedings, infringement actions, and court actions; provided, however, that the expense incurred by said Assignors in providing such cooperation shall be paid for by said Assignee.

3. The term and covenants of this agreement shall inure to the benefit of said Assignee, its successors, assigns and other legal representatives, and shall be binding upon said Assignors, their respective heirs, legal representatives, and assigns.

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- 1) _____, 1997
Arik Donde
- 2) Nov 5, 1997 
Hyman J. Levinstein
- 3) Nov. 12, 1997 
Robert W. Wu
- 4) Nov 7, 1997 
Andreas Hegedus
- 5) Nov 7, 1997 
Edwin C. Weldon
- 6) 11/5, 1997 
Shamouil Shamouilian
- 7) Nov 5, 1997 
Jon T. Clinton
- 8) Nov 5, 1997 
Surinder S. Bedi

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: DONDE, ET AL. Serial No.: 09/015,802 Filed: January 29, 1998 For: PUNCTURE RESISTANT ELECTROSTATIC CHUCK	Group Art Unit: 2836 Attention: Margaret LaSalle, Paralegal, Assignment Division, Office of Public Records September 10, 1998 San Francisco, California
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TRANSMITTAL LETTER

Box Assignments
Assistant Commissioner for Patents
Washington, D.C. 20231

Dear Ms. LaSalle:

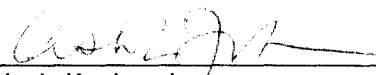
As requested in your letter dated August 13, 1998, the following documents are enclosed for the above-identified patent application:

1. Original cover letter;
2. Legible original assignment (from prior application 08/755,716); and
3. Post card for return.

If any fee is due, payment of the recording fee is in the manner set forth in the originally filed cover letter.

Respectfully submitted,

JANAH & ASSOCIATES
A PROFESSIONAL CORPORATION

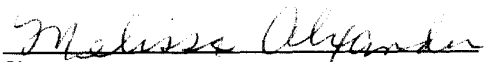
By: 
Ashok K. Janah
Reg. No. 37,487

JANAH & ASSOCIATES
A PROFESSIONAL CORPORATION
650 Delancey Street, Suite 106
San Francisco, California 94107-2001
(415) 538-1555

Encls.

CERTIFICATE OF MAILING

I hereby certify that this correspondence and all documents listed herein are being deposited with the United States Postal Service as first class mail in an envelope addressed to: Box Assignments, Assistant Commissioner for Patents, Washington, D.C. 20231, on 9-14-98,
by Melissa Alexander


Signature