

MD
7-31-98

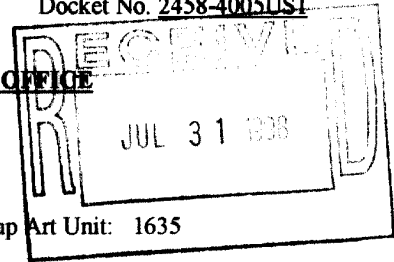
11-02-1998



100805928

Docket No. 2458-4005US1

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE



Applicant(s) : Ruano, G. et al.

Serial No. : 08/987,966

Group Art Unit: 1635

Filed : December 10, 1997

Examiner: To Be Assigned

For : GENOME ANTHOLOGIES FOR HARVESTING GENE VARIANTS

RECORDATION FORM COVER SHEET PURSUANT TO 37 C.F.R. § 3.31

Hon. Commissioner of Patents and Trademarks
BOX ASSIGNMENTS
Washington, D.C. 20231

Sir:

Please record the attached original documents or copy thereof.

1. Name of conveying party/parties:

Frank H. Ruddle

Name and address of receiving party/parties:

Name Yale University

Internal Address: 451 College Street

Street Address: 451 College Street

City New Haven State Connecticut ZIP 06520

☐ Additional names and addresses attached.

3. Name of conveyance: ☒ Assignment ☐ Merger ☐ Security Agreement
☐ Change of Name ☐ Other
Execution Date: 6-18-98

4. Application Number(s) or Patent Number(s):

☐ This document is being filed together with a new application which was executed on _____

☒ Patent Application No.(s) 08/987,966

407660_1

PATENT
REEL: 9374 FRAME 0075

40.00 BP
00000102 08/31/98
TTU011
08/04/1998 TTU011
FC:581

40E
NO SPEC
Fee

Docket No. 2458-4005US1

☐ Patent No.(s) _____

5. Address all future communications to:

MORGAN & FINNEGAN, L.L.P.
345 Park Avenue
New York, New York 10154

6. Total number of applications and patents involved: One

7. Total fee (37 CFR §3.41): \$40.00 property x 1 property(ies) = \$ 40.00

☒ A check in the amount of \$ 40.00 to cover the recordation fee is enclosed.

☐ Charge fee to Deposit Account No. 13-4500. Order No. 2458-4005US1.

☒ The Commissioner is hereby authorized to charge any additional fees which may be required for this recordation, or credit any overpayment to Deposit Account No. 13-4500. Order No. 2458-4005US1.

8. To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Respectfully submitted,

MORGAN & FINNEGAN, L.L.P.

Dated: July 27, 1998

By: Darryl H Steensma
Darryl H. Steensma
Registration No. P-43,155

CORRESPONDENCE ADDRESS:

Total number of pages comprising this cover sheet 2

MORGAN & FINNEGAN, L.L.P.
345 Park Avenue
New York, New York 10154
(212) 758-4800
(212) 751-6849 Facsimile

FORM: REC-PAT.NY
Rev. 11/13/97

PATENT

Docket No. 2458-4005US1

ASSIGNMENT OF APPLICATION FOR PATENT

WHEREAS:

Frank H. Ruddle, 341 Willow Street, New Haven, Connecticut 06511 USA

(full name(s) and post office address(s) of inventor(s) (including country))

(hereinafter referred to as ASSIGNOR(S)), has made a discovery or invention entitled:

GENOME ANTHOLOGIES FOR HARVESTING GENE VARIANTS

(title of discovery or invention)

[] for which application for Letters Patent of the United States has been executed on even date herewith,

[X] for which application for Letters Patent of the United States has been filed as a provisional application on December 10, 1996 under Serial No. 60/032,645 and as a regular application on December 10, 1997, under Serial No. 08/987,966, and

WHEREAS:

Yale University, 451 College Street, New Haven, Connecticut 06520

(name and address of assignee)

(hereinafter referred to as ASSIGNEE), is desirous of acquiring the entire interest in, to and under said invention and in, to and under Letters Patent or similar legal protection to be obtained therefor in the United States and in any and all foreign countries.

NOW, THEREFORE, TO ALL WHOM IT MAY CONCERN:

Be it known that in consideration of the payment by ASSIGNEE to ASSIGNOR of the sum of _____ Dollar (\$ _____), the receipt of which is hereby acknowledged, and for other good and valuable consideration, ASSIGNORS hereby sells, assigns and transfers to ASSIGNEE, its successors, legal representatives and assigns, the full and exclusive right, title and interest to said discovery or invention in the United States and its territorial possessions and in all foreign countries and to all Letters Patent or similar legal protection in the United States and its territorial possessions and in any and all foreign countries to be obtained for said invention by said application or any continuation, division, renewal, substitute or reissue thereof or any legal equivalent thereof in a foreign country for the full term or terms for which the same may be granted.

I, SAID ASSIGNOR, hereby authorize and request the Commissioner of Patents and Trademarks of the United States of America and any Official of any country or countries foreign to the United States of America whose duty it is to issue Letters Patent on applications as aforesaid, to issue all such Letters Patent for said discovery or invention to the ASSIGNEE, as assignee of the entire right, title and interest in, to and under the same, for the sole use and

behalf of the ASSIGNEE, its successors, legal representatives and assigns, in accordance with the terms of this instrument.

I, SAID, ASSIGNOR, hereby covenant that I have full right to convey the entire right, title and interest herein sold, assigned, transferred and set over;

AND I, SAID ASSIGNOR hereby further covenant and agree that the ASSIGNEE, its successors, legal representatives, or assigns, may apply for foreign Letters Patent on said discovery or invention and claim the benefits of the International Convention, and that I will, at any time, when called upon to do so by the ASSIGNEE, its successors, legal representatives, or assigns, communicate to the ASSIGNEE, its successors, legal representatives, or assigns, as the case may be, any facts known to me respecting said discovery or invention, and execute and deliver and all lawful papers that may be necessary or desirable to perfect the title to the said discovery or invention, the said applications and the said Letters Patent in the ASSIGNEE, its successors, legal representatives and assigns, and that it reissues of the said Letters Patent or disclaimers relating thereto, or divisions, continuations, or refilings of the said applications, or any thereof, shall hereafter be desired by the ASSIGNEE, its successors, legal representatives, or assigns, sign all lawful papers, make all rightful oaths, execute and deliver all such disclaimers and all divisional, continuation and reissue applications so desired, and do all lawful acts requisite for the application for such reissues and the procuring thereof and for the filing of such disclaimers and such applications, and generally do everything possible to aid the ASSIGNEE, its successors, legal representatives and assigns, to obtain and enforce proper patent protection for said invention or discover in all countries, and without further compensation but at the expense of the ASSIGNEE, its successors, legal representatives and assigns.

Assignor's signature: Frank H. Ruddle
 First Name Middle initial Last Name
 Citizenship: U.S.A. Frank H. Ruddle

IN WITNESS WHEREOF, I have hereunto set my hand and affixed my seal this 18 day of June, 1998

STATE OF)
 ss.:
 COUNTY OF)

On this 18 day of June, 1998 before me, the undersigned authority, personally appeared to me

known and known to me to be the individual who is described in and who executed the foregoing Assignment, and who duly acknowledged to me that he executed the same as his own voluntary act and deed for the uses and purposed therein specified.

Donna G. Benson
 Notary Public

Donna G. Benson
My commission expires on June 30, 2001.

FORM: ASSIGN. PAT
 Rev. 05/26/98

June 30, 2001.