

MRD 08/05/98

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5 August 1998

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SHEET

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Attorney Docket No.: Y0998-173 (728-134)

1. Name of conveying party(ies):

- (1) Arunava Gupta
(2) Rajiv V. Joshi

Additional name(s) of conveying party(ies) attached?

☐ yes

☒ no

2. Name and address of receiving party(ies):

Name: International Business Machines Corporation

Internal Address:

Street Address:

City: Armonk

Country/State/Zip: NY, 10504

Additional names and addresses attached? ☐ yes ☒ no

3. Nature of Conveyance

☒ Assignment

☐ Merger

☐ Security Agreement

☐ Change of Name

☐ Other

Execution Date: 8/4/98

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is 8/4/98

A. Patent Application No.(s)

N/A

09/129827

B. Patent No.(s):

N/A

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Frank Chau, Esq.

Internal Address: Dilworth & Barrese

Street Address: 333 Earle Ovington Boulevard

City: Uniondale State: NY ZIP: 11553

6. Total number of applications and patents involved:
[1]

7. Total fee (37 C.F.R. 3.41) \$ 40.00

☐ Enclosed

☒ Authorized to be charged to deposit account

8. Deposit account number: 50-0510/IBM(Yorktown Heights)
(Attach duplicate copy of this page if paying by deposit account)

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Frank Chau, Esq.

Name of Person Signing

Reg. No. 34,136

Signature

5 August 1998

Date

Total number of pages including cover sheet, attachments and document [3]

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PATENT

REEL: 9378 FRAME: 0966

Assignment

Whereas, we

INVENTOR
AND CITY

(1) **ARUNAVA GUPTA** of Valley Cottage
County of Rockland and State of New York

INVENTOR
AND CITY

(2) **RAJIV V. JOSHI** of Yorktown Heights
County of Westchester and State of New York

have invented certain improvements in

TITLE

NON-VOLATILE MAGNETIC MEMORY CELL AND DEVICES

DATES THAT
INVENTORS
SIGNED THE
DECLARATION

and executed, respectively, a United States patent application
therefor on (1) *Aug. 4*, 1998; and (2) *Aug. 4*, 1998.

Whereas, INTERNATIONAL BUSINESS MACHINES CORPORATION, a
corporation of New York, having a place of business at Armonk, New York 10504,
(hereinafter called IBM), desires to acquire the entire right, title and
interest in the said application and invention, and to any United States and
foreign patents to be obtained therefor;

Now therefore, for a valuable consideration, receipt
whereof is hereby acknowledged, we, the above named, hereby sell, assign, and
transfer to IBM, its successors and assigns, the entire right, title and
interest in the said application and invention therein disclosed for the United
States and foreign countries, and all rights of priority resulting from the
filing of said United States application, and we request the Commissioner of
Patents to issue any Letters Patent granted upon the inventions set forth in
said applications to IBM, its successors and assigns; and we hereby agree that
IBM may apply for foreign Letters Patent on said invention and we will execute
all papers necessary in connection with the United States and foreign
applications when called upon to do so by IBM.

Signed and sealed

CITY AND
DATE

(1) at *Yorktown Heights*
on *August 4*, 1998, *Arunava Gupta* SIGNATURE
Arunava Gupta

CITY AND
DATE

(2) at *Yorktown Heights*
on *August 4*, 1998, *Rajiv V. Joshi* SIGNATURE
Rajiv V. Joshi

State of *New York*) ss.:
County of *Westchester*)

On this *4th* day of *August* 1998, before me personally came **Arunava Gupta** to me personally known, and known to me to be the person described in and who executed the foregoing assignment, and he acknowledged to me that he executed the same as his free act and deed.

Emily S. Forbes

Notary

State of *New York*) ss.:
County of *Westchester*)

EMILY S. FORBES
Notary Public, State of New York
No. 01FO4935185
Qualified in Westchester County
Commission Expires July 25, ~~19~~ *2000*

On this *4th* day of *August* 1998, before me personally came **Rajiv V. Joshi** to me personally known, and known to me to be the person described in and who executed the foregoing assignment, and he acknowledged to me that he executed the same as his free act and deed.

Emily S. Forbes

Notary

EMILY S. FORBES
Notary Public, State of New York
No. 01FO4935185
Qualified in Westchester County
Commission Expires July 25, ~~19~~ *2000*