

RECC

08-17-1998



HEET

U.S. DEPARTMENT OF COMMERCE  
Patent and Trademark Office

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To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

MRD 8-7-98

JCS55 U.S. PTO  
09/130751  
08/07/98

1. Name of conveying party(ies):  
  
Gary J. Ballas, Jack T. Mastumoto, and  
Alex B. Fife  
  
Additional name(s) of conveying party(ies) attached?  Yes  No

2. Name and address of receiving party(ies)  
  
Name: General Electric Company  
  
Internal Address:  
  
Street Address: One River Road  
  
City: Schenectady State: NY ZIP: 12345  
  
Additional name(s) & address(es) attached?  Yes  No

3. Nature of conveyance:  
  
 Assignment  Merger  
 Security Agreement  Change of Name  
 Other  
  
Execution Date: August 6, 1998, August 5, 1998, and August 5, 1998 respectively

4. Application number(s) or patent number(s):  
  
If this document is being filed together with a new application, the execution date of the application is: 08/06/1998, August 5, 1998, and August 5, 1998, respectively.  
  
A. Patent Application No.(s)  
09/130751  
  
B. Patent No.(s)  
  
Additional numbers attached?  Yes  No

5. Name and address of party to whom correspondence concerning document should be mailed:  
  
Name: John S. Beulick  
  
Internal Address: Armstrong, Teasdale, Schlafly & Davis  
  
Street Address: One Metropolitan Square  
  
City: St Louis State: MO ZIP: 63102

6. Total number of applications and patents involved: -1-  
  
7. Total fee (37 CFR 3.41)..... \$ 40.00  
  
 Enclosed  
 Authorized to be charged to deposit account  
  
8. Deposit account number:  
01-2384  
  
(Attach duplicate copy of this page if paying by deposit account)

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9. Statement and signature.  
*To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.*  
  
John S. Beulick \_\_\_\_\_  
Name of Person Signing  
  
[Signature] \_\_\_\_\_  
Signature  
  
August 1998 \_\_\_\_\_  
Date  
  
Total number of pages including cover sheet, attachments, and document: -3-

09/130751  
0000010 012304  
08/14/1998 T10H11  
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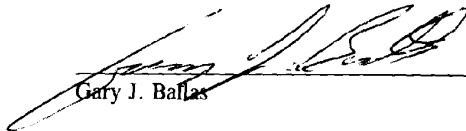
Mail documents to be recorded with required cover sheet information to:  
Commissioner of Patents & Trademarks, Box Assignments  
Washington, D.C. 20231

PATENT  
REEL: 9379 FRAME: 0091

ASSIGNMENT	Attorney's Docket No. <b>24-AT-5868</b>
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In consideration of One Dollar and other good and valuable consideration, of which we acknowledge receipt, we, GARY J. BALLAS, of 10109 NE 12<sup>th</sup> Street, #18, Bellevue, WA 98004, Jack T. Matsumoto, of 862 W. McKinley Avenue, Sunnyvale, CA 94086, and Alex B. Fife, of 6555 Little Falls Drive, San Jose, CA 95120, sell and assign to General Electric Company, a New York Corporation, its successors and assigns the entire right, title and interest in and to the improvements in MACHINED STUB TUBE IN A BOTTOM HEAD OF A BOILING WATER REACTOR, invented by us, as described in the United States provisional application filed August 29, 1997, S.N. 60/057,595; as described in the application for United States patent executed concurrently herewith; and any and all applications for patent and patents therefor in any and all countries, including all divisions, reissues, continuations and extensions thereof, and all rights of priority resulting from the filing of said United States provisional application, and authorize and request any official whose duty it is to issue patents, to issue any patent on said improvements or resulting therefrom to said General Electric Company, or its successors or assigns and agree that on request and without further consideration, but at the expense of said Company, we will communicate to said Company or its representatives or nominees any facts known to us respecting said improvements and testify in any legal proceeding, sign all lawful papers, execute all divisional, continuing and reissue applications, make all rightful oaths and generally do everything possible to aid said Company, its successors, assigns, and nominees to obtain and enforce proper patent protection for said improvements in all countries.

08-06, 1998

  
 \_\_\_\_\_  
 Gary J. Ballas

\_\_\_\_\_, 19\_\_

\_\_\_\_\_  
 Jack T. Matsumoto

\_\_\_\_\_, 19\_\_

\_\_\_\_\_  
 Alex B. Fife



ASSIGNMENT	Attorney's Docket No. <b>24-AT-5868</b>
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In consideration of One Dollar and other good and valuable consideration, of which we acknowledge receipt, we, GARY J. BALLAS, of 10109 NE 12<sup>th</sup> Street, #18, Bellevue, WA 98004, Jack T. Matsumoto, of 862 W. McKinley Avenue, Sunnyvale, CA 94086, and Alex B. Fife, of 6555 Little Falls Drive, San Jose, CA 95120, sell and assign to General Electric Company, a New York Corporation, its successors and assigns the entire right, title and interest in and to the improvements in MACHINED STUB TUBE IN A BOTTOM HEAD OF A BOILING WATER REACTOR, invented by us, as described in the United States provisional application filed August 29, 1997, S.N. 60/057,595; as described in the application for United States patent executed concurrently herewith; and any and all applications for patent and patents therefor in any and all countries, including all divisions, reissues, continuations and extensions thereof, and all rights of priority resulting from the filing of said United States provisional application, and authorize and request any official whose duty it is to issue patents, to issue any patent on said improvements or resulting therefrom to said General Electric Company, or its successors or assigns and agree that on request and without further consideration, but at the expense of said Company, we will communicate to said Company or its representatives or nominees any facts known to us respecting said improvements and testify in any legal proceeding, sign all lawful papers, execute all divisional, continuing and reissue applications, make all rightful oaths and generally do everything possible to aid said Company, its successors, assigns, and nominees to obtain and enforce proper patent protection for said improvements in all countries.

\_\_\_\_\_, 19\_\_

5 Aug., 19 98

5 August, 19 98

\_\_\_\_\_  
Gary J. Ballas

Jack T. Matsumoto  
Jack T. Matsumoto

Alex B. Fife  
Alex B. Fife

**CALIFORNIA ALL-PURPOSE ACKNOWLEDGMENT**

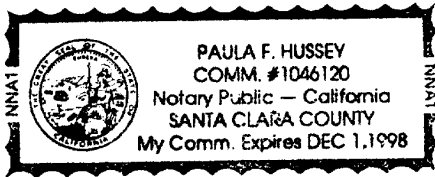
State of California

County of Santa Clara

On August 5, 1998 before me, Paula F. Hussey, Notary Public

personally appeared Jack T. Matsumoto and Alex B. Fife

personally known to me – OR –  proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) ~~is/are~~ subscribed to the within instrument and acknowledged to me that ~~he/she/they~~ executed the same in ~~his/her/their~~ authorized capacity(ies), and that by ~~his/her/their~~ signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.



WITNESS my hand and official seal.

Paula F. Hussey  
Signature of Notary Public

**OPTIONAL**

*Though the information below is not required by law, it may prove valuable to persons relying on the document and could prevent fraudulent removal and reattachment of this form to another document.*

**Description of Attached Document**

Title or Type of Document: Patent Assignment # 24-AT-5868

Document Date: 8/5/98 Number of Pages: 2

Signer(s) Other Than Named Above: Gary J. Ballas

**Capacity(ies) Claimed by Signer(s)**

Signer's Name: Jack T. Matsumoto

- Individual
- Corporate Officer  
Title(s): \_\_\_\_\_
- Partner —  Limited  General
- Attorney-in-Fact
- Trustee
- Guardian or Conservator
- Other: \_\_\_\_\_

Signer Is Representing:  
\_\_\_\_\_  
\_\_\_\_\_

RIGHT THUMBPRINT OF SIGNER  
Top of thumb here

Signer's Name: Alex B. Fife

- Individual
- Corporate Officer  
Title(s): \_\_\_\_\_
- Partner —  Limited  General
- Attorney-in-Fact
- Trustee
- Guardian or Conservator
- Other: \_\_\_\_\_

Signer Is Representing:  
\_\_\_\_\_  
\_\_\_\_\_

RIGHT THUMBPRINT OF SIGNER  
Top of thumb here