

04/28/98

087/1101

RE

08-17-1998

U.S. DEPARTMENT OF COMMERCE
Patent and Trademark Office

the Honorable Commissioner of Patent

ed original documents or copy thereof.

Name of conveying party(ies):

The Shareholder Services Group, Inc.

Additional name(s) of conveying party(ies) attached?

() Yes (✓) No

3. Nature of conveyance:

- () Assignment () Merger
() Security Agreement (✓) Change of Name
() Other

Execution Date: October 20, 1995

Name and Address of receiving party(ies):

Name: FIRST DATA INVESTOR SERVICES GROUP, INC.

Internal Address:

Street Address: One Exchange Place

City: Boston State: MA ZIP: 02109

Additional name(s) & address(es) attached? () Yes (✓) No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No.(s)

U.S. application of RANDALL C. HARRIS et al. Reel 7283 frames 610-613

For METHOD AND APPARATUS FOR AUTOMATED TRADE
TRANSACTIONS PROCESSING

U.S. Serial No. 08/299,377

Filed September 1, 1994

Group Art Unit 2713

B. Patent No.(s)

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on **April 24, 1998**
Date of DepositRoger N. Chauza
Registration No. 29,754
Name of Applicant, Assignee or
Registered RepresentativeSignature
Date of Signature April 24, 1998

Additional numbers attached? () Yes (✓) No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Roger N. Chauza, Esq.

Internal Address: Sidley & Austin

Street Address: 717 N. Harwood, Suite 3400

City: Dallas State: Texas ZIP: 75201

6. Total Number of applications and patents involved: 17. Total fee (37 CFR 3.4)..... \$40.00

(✓) Enclosed

() Authorized to be charged to deposit account

8. Deposit account number: _____

(Attach duplicate copy of this page if paying by deposit account)

DO NOT USE THIS SPACE

05/04/1998 SLUWNG 00000033 08299377

01. Statement and signature: 40.00 DP

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Roger N. Chauza
Name of Person Signing

Signature

April 24, 1998
DateTotal number of pages comprising cover sheet 7

OMB No. 0651-0011 (exp. 4/94)

Do not detach this portion

Mail documents to be recorded with required cover sheet information to:

Assistant Commissioner of Patents
Box AssignmentsPATENT
REEL: 9379 FRAME: 0084

The Commonwealth of Massachusetts

William Francis Galvin
Secretary of the Commonwealth
One Ashburton Place, Boston, Massachusetts 02108-1512

ARTICLES OF AMENDMENT General Laws, Chapter 156B, Section 72

FEDERAL IDENTIFICATION
NO. 04-2906753

042871943

021

We Jack P. Kutner
Thomas A. Rossi

, President/Vice President, and
Clerk/Assistant Clerk of

The Shareholder Services Group, Inc.
(EXACT Name of Corporation)

located at: One Exchange Place, Boston, Massachusetts 02109
(MASSACHUSETTS Address of Corporation)

do hereby certify that these ARTICLES OF AMENDMENT affecting Articles NUMBERED: 1

(Number those articles 1, 2, 3, 4, 5 and/or 6 being amended hereby)

of the Articles of Organization were duly adopted at a meeting held on October 9 1995, by
vote of:

1000 shares of Common Stock out of 1000 shares outstanding,
type, class & series, (if any)

shares of _____ out of _____ shares outstanding, and
type, class & series, (if any)

shares of _____ out of _____ shares outstanding,
type, class & series, (if any)

CROSS OUT being at least a majority of each type, class or series outstanding and entitled to vote
INAPPLI- thereon:-¹
CABLE being at least two-thirds of each type, class or series outstanding and entitled to vote
CLAUSE thereon and of each type, class or series of stock whose rights are adversely affected
thereby:-²

Voted: To amend the Articles of Organization by changing the name of the
corporation from The Shareholder Services Group, Inc. to First Data Investor
Services Group, Inc.

C ☐
P ☐
M ☐
R.A. ☐

¹ For amendments adopted pursuant to Chapter 156B, Section 70.

² For amendments adopted pursuant to Chapter 156B, Section 71.

Note: If the space provided under any Amendment or item on this form is insufficient, additions shall be set forth on separate 8 1/2 x 11 sheets of paper leaving a left-hand margin of at least 1 inch for binding. Additions to more than one Amendment may be continued on a single sheet so long as each Amendment requiring each such addition is clearly indicated.

P.C.

the corporation is authorized to issue, in the following:

The total presently authorized is:

WITHOUT PAR VALUE STOCKS

TYPE	NUMBER OF
COMMON:
.....
PREFERRED:
.....

WITH PAR VALUE STOCKS

TYPE	NUMBER OF	PAR VALUE
COMMON:	
.....	
PREFERRED:	
.....	

CHANGE the total authorized to:

WITHOUT PAR VALUE STOCKS

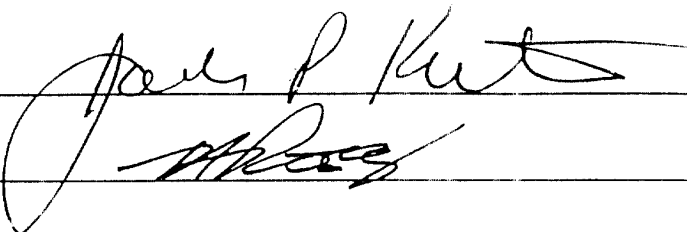
TYPE	NUMBER OF
COMMON:
.....
PREFERRED:
.....

WITH PAR VALUE STOCKS


TYPE	NUMBER OF	PAR VALUE
COMMON:	
.....	
PREFERRED:	
.....	

The foregoing amendment will become effective when these articles of amendment are filed in accordance with Chapter 156B, Section 6 of The General Laws unless these articles specify, in accordance with the vote adopting the amendment, a later effective date not more than thirty days after such filing, in which event the amendment will become effective on such later date. EFFECTIVE DATE: October 31, 1995

IN WITNESS WHEREOF AND UNDER THE PENALTIES OF PERJURY, we have hereunto signed our names this 20th day of October, in the year 19 95



President/Vice President



Clerk/Assistant Clerk

515784

5640

SECRETARY OF
THE COMMONWEALTH

THE COMMONWEALTH OF MASSACHUSETTS

1995 OCT 25 PM 3:05

ARTICLES OF AMENDMENT

REGISTRATION DIVISION

GENERAL LAWS, CHAPTER 156B SECTION 72

I hereby approve the within articles of amendment and, the filing fee in the
amount of \$ 100 having been paid, said articles are deemed to have
been filed with me this 25th day of OCTOBER
1995

EFFECTIVE
DATE

10/31/95

William Francis Galvin

William Francis Galvin
Secretary of the Commonwealth

TO BE FILLED IN BY CORPORATION

PHOTOCOPY OF ARTICLES OF AMENDMENT TO BE SENT

TO:

Thomas A. Rossi

2121 No. 117th Avenue NP30

Omaha, NE 68164

Telephone: (402) 498-2104

PATENT

REEL: 9379 FRAME: 0088

04/28/98
1586 U.S. PTO



19304-268
UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office
ASSISTANT SECRETARY AND COMMISSIONER
OF PATENTS AND TRADEMARKS
Washington, D.C. 20231

DATE: 01/20/95
TO:

N06B

BAKER & BOTTS, L.L.P.
JERRY MILLS
2001 ROSS AVENUE
DALLAS, TX 75201-2980

UNITED STATES PATENT AND TRADEMARK OFFICE
NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT BRANCH OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE U.S. PATENT AND TRADEMARK OFFICE ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT ASSIGNMENT PROCESSING SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT BRANCH, NORTH TOWER BUILDING, SUITE 10C35, WASHINGTON, D.C. 20231

ASSIGNOR: HARRIS, RANDALL C. DOC DATE: 11/02/94

ASSIGNOR: ALTOBELLI, DAVID J. DOC DATE: 10/31/94

ASSIGNOR: WILSON, R.J. MONTGOMERY, JR. DOC DATE: 10/31/94

ASSIGNOR: BLUCKE, ROBERT W. DOC DATE: 10/27/94

ASSIGNOR: WYLE, STEPHEN C. DOC DATE: 10/28/94

RECORDATION DATE: 11/08/94 NUMBER OF PAGES 004 REEL/FRAME 7253/0610

DIGEST : ASSIGNMENT OF ASSIGNORS INTEREST

ASSIGNEE:
SHAREHOLDER SERVICES GROUP, INC., THE
53 STATE STREET
BOSTON, MA 02109

FEB 12 1995



7253/0610 PAGE 0002

SERIAL NUMBER 8-299377
PATENT NUMBER

FILING DATE 09/01/94
ISSUE DATE 00/00/00

R. Coates
EXAMINER/PARALEGAL
ASSIGNMENT BRANCH
ASSIGNMENT/CERTIFICATION SERVICES DIVISION