

FORM PTO-1595 (Modified)
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08-17-1998

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U.S. DEPARTMENT OF COMMERCE
Patent and Trademark Office



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Tab settings

8-6-98

To the Honorable Commissioner of Patents and Trademarks, please receive the attached original documents or copy thereof.

1. Name of conveying party(ies):
Deborah E. Gandel, Katherine V. G. Dickinson, Christine A. Miller, Daniel P. Gilliland, Jasmine Ocegueda, Jay D. Hastings, Karin Ellison, Shelley F. Pavela, Kenneth J. Poje, Gary E. Linnert, John L. Barker

Additional names(s) of conveying party(ies) Yes No

2. Name and address of receiving party(ies):

Name: **Sun Microsystems, Incorporated**

Address: **901 San Antonio Road**

MS PAL01-521

City: **Palo Alto**

State/Prov.: **CA**

Country: **US**

ZIP: **94303**

Additional name(s) & address(es) Yes No

3. Nature of conveyance:

- Assignment Merger
- Security Agreement Change of Name
- Other

Execution Date: **June 29, 1998, June 30, 1998, July 2, 1998**

4. Application number(s) or registration numbers(s):

If this document is being filed together with a new application, the execution date of the application is: _____

Patent Application No.

Filing date

B. Patent No (s)

09/107,245

June 30, 1998

Additional numbers Yes No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: **Laura A. Majerus**

Registration No. **33,417**

Address: **Graham & James LLP**

600 Hansen Way

City: **Palo Alto**

State/Prov.: **CA**

Country: **US**

ZIP: **94304-1043**

6. Total number of applications and patents involved: **1**

7. Total fee (37 CFR 3.41):\$ **40.00**

Enclosed - Any excess or insufficiency should be credited or debited to deposit account

Authorized to be charged to deposit account

8. Deposit account number

05-0150

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Laura A. Majerus

Name of Person Signing

Laura A. Majerus

Signature

July 30, 1998

Date

Total number of pages including cover sheet, attachments, and

PATENT **12**

REF ID: A6378 ERAM 0505

ASSIGNMENT

Attorney Docket No. 23668.00142(P3054)

- (1-11) Insert Name(s) of Inventor(s)
 - (4) Daniel P. Gilliland
 - (5) Jasmine Ocequeda
 - (6) Jay D. Hastings
 - (7) Karin Ellison
 - (8) Shelley F. Pavela
 - (9) Kenneth J. Poje
 - (10) Gary E. Linnert
 - (11) John L. Barker
- (1) Deborah E. Gandel
- (2) Katherine V.G. Dickinson
- (3) Christine A. Miller

For good and valuable consideration receipt of which is hereby acknowledged, the undersigned agree(s) to assign, and hereby do(es) assign, transfer and set over to:

- (9) Insert name of Assignee (9) Sun Microsystems, Incorporated
- (10) Insert state of incorporation of Assignee (10) Delaware
- (11) Insert address of Assignee (11) of 901 San Antonio Road, MS PAL01-521, Palo Alto, CA 94303 (hereinafter designated as the Assignee) the entire worldwide right, title and interest in the invention known as
- (12) Insert Identification of Invention, such as Title, Case Number or Foreign Application Number (12) Method And Apparatus For Electronic Software Distribution (Case No. 23668.00142(P3054)) (Serial No. unassigned) for which the undersigned has (have) executed an application for patent in United States of America
- (13) Insert Date of Signing of Application (13) on June 29, 1998, June 30, 1998 and July 2, 1998

1) The undersigned agree(s) to execute all papers necessary in connection with the application and any continuing or divisional applications thereof and also to execute separate assignments in connection with such applications as the Assignee may deem necessary or expedient.

2) The undersigned agree(s) to execute all papers necessary in connection with any interference which may be declared concerning this application or continuation or division thereof and to cooperate with the Assignee in every way possible in obtaining evidence and going forward with such interference.

3) The undersigned agree(s) to execute all papers and documents and perform any act which may be necessary in connection with claims or provisions of the International Convention for Protection of Industrial Property or similar agreements.

4) The undersigned agree(s) to perform all affirmative acts which may be necessary to obtain a grant of a valid United States patent to the Assignee.

5) The undersigned hereby authorize(s) and request(s) the Commissioner of Patents and the duly constituted authorities of foreign countries to issue any and all Letters Patents resulting from said application or any division or divisions or continuing or reissue applications thereof to the said Assignee, its successors and assigns, as Assignee of the entire right, title and interest, and hereby covenants that he has (they have) full right to convey the entire interest herein assigned, and that he has (they have) not executed and will not execute, any agreement in conflict herewith.

- 6) The undersigned hereby grant(s)
 - David L. Fehrman Reg. No. 28,600; David L. Henty Reg. No. 31,323; William J. Robinson Reg. No. 29,430; Stuart L. Merkadeau Reg. No. 33,262; David B. Abel Reg. No. 32,394; Hisako Muramatsu Reg. No. 34,955; Brian M. Berliner Reg. No. 34,549; David J. Meyer Reg. No. 33,425; Vincent J. Belusko Reg. No. 30,820; Minda Schechter Reg. No. 38,296; Victor De Gyarfas, Reg. No. 40,583; Dennis R. Gallagher, Reg. No. 42,563; Jonathan L. Pettit, Reg. No. 40,770; Wayne Smith, Reg. No. 42,160; Lawrence W. Granatelli Reg. No. 32,228; Laura A. Majerus Reg. No. 33,417; Joseph K. Hollinger Reg. No. 40,649; Jonathan T. Kaplan Reg. No. 38,935; Marc A. Sockol Reg. No. 40,823; Ian Cartier, Reg. No. 38,406; Linda Beach Reg. No. 36,448; Kenneth Olsen Reg. 26,493; Matthew C. Rainey, Reg. No. 32,291; Erwin J. Basinski Reg. No. 34,773; Timothy J. Crean Reg. No. 37,116; Phillip J. McKay Reg. No. 38,966; Robert S. Hauser Reg. No. 37,847; Patrick J.S. Inouye Reg. No. 40,297; Joseph T. Fitzgerald Reg. No. 33,881; Alexander E. Silverman Reg. No. 37,940.

the power to insert on this assignment any further identification which may be necessary or desirable in order to comply with the rules of the United States Patent and Trademark Office for recordation of this document.

Date June 29, 1998	Name of Inventor(s) [Signature]
Date June 29, 1998	Name of Inventor(s) [Signature]
Date 6/30/98	Name of Inventor(s) [Signature]
Date 6/30/98	Name of Inventor(s) [Signature]
Date June 30, 1998	Name of Inventor(s) [Signature]
Date June 30, 1998	Name of Inventor(s) [Signature]
Date 6/30/98	Name of Inventor(s) [Signature]
Date 6/30/98	Name of Inventor(s) [Signature]
Date 6/30/98	Name of Inventor(s) [Signature]
Date 7/2/98	Name of Inventor(s) [Signature]

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

CERTIFICATE OF MAILING

I hereby certify that this paper (along with any paper referred to as being attached or enclosed) is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to Box Assignments, Assistant Commissioner for Patents, Washington, D.C. 20231, on

Date: 8-6-98 By Marion Dick
Marion Dick

In Re Application of
DEBORAH E. GANDEL ET AL.
Serial No. 09/107,245
Filing Date: June 30, 1998
For: METHOD AND APPARATUS FOR
ELETRONIC SOFTWARE DISTRIBUTION

Examiner: Unassigned

Group Art Unit: 2731

Box Assignments
Assistant Commissioner for Patents
Washington, D.C. 20231

LETTER

Sir:

Please find enclosed herewith for filing the following items for the above-referenced application

- 1) Signed Assignment
- 2) Recordation Cover Sheet
- 3) Acknowledgment Postcard
- 4) Check for \$40.00

If for any reason an insufficient fee has been paid, the Commissioner is hereby authorized to charge any deficiency in payment of required fees associated with this communication to Deposit Account **05-0150**.

Date: August 6, 1998

Respectfully submitted,

Graham & James LLP
600 Hansen Way
Palo Alto, CA 94304-1043
Tel: (650) 856-6500
Fax: (650) 856-3619

By: Laura A. Majerus
Laura A. Majerus
Registration No. 33,417
Attorney for Applicant(s)

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