

08-17-1998

JULY 28, 1992



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NOTE: The following appendix did not appear in the Code of Federal Regulations

APPENDIX B
FORM PTO-1595
1-31-92

RECORDATION FORM COVER SHEET
PATENTS ONLY

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Patent and Trademark Office

To the Honorable Commissioner of Patents and Trademarks Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

HARLEY AL BEIER
ROBERT FREDERIC KERN
DAVID WAYNE MOORE
KAREN ALICIA RANSON
VERN LEE WATTS

(Docket No. S19-97-089)

2. Name and address of receiving party(ies):

Name: INTERNATIONAL BUSINESS
MACHINES CORPORATION
Internal Address: Armonk, New York 10504

Street Address: SAME AS ABOVE

Additional name(s) & address(es) attached?

yes ☒ no

Additional name(s) of conveying party(ies) attached? yes ☒ no

3. Nature of Conveyance

☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other

Execution Date: March 17, 1998, March 13, 1998, March 17, 1998, March 17, 1998, March 17, 1998 respectively.

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No.(s)

B. Patent No.(s)

09/034,867

Additional numbers attached? Yes ☒ No

5. Name and address of party to whom correspondence concerning documents should be mailed:

Name: Terrance A. Meador
Address: BAKER, MAXHAM, JESTER & MEADOR
750 "B" Street, Suite 3100
San Diego, California 92101

(103819-153849)

6. Total number of applications and patents involved: 1

7. Total Fee (37 CFR 3.41): \$ 40.00

☐ Enclosed

☒ Authorized to be charged to deposit account

8. Deposit Account Number: 09-0460

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and the attached copy is a true copy of the original document.

TERRANCE A. MEADOR

Name of Person Signing

Signature

Date

Total Number of pages including cover sheet, attachments and document: 5

OMB NO. 0651-0011 (EXP. 4/94)

Mail documents to be recorded with required cover sheet information to:

COMMISSIONER OF PATENTS AND TRADEMARKS
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PATENT
REEL: 9379 FRAME: 0772

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08/14/1998 TTM11

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ASSIGNMENT

INVENTORS
AND CITY

Whereas, We

- | | |
|--|--|
| (1) <u>HARLEY AL BEIER</u>
City of <u>San Martin</u> ,
County of <u>Santa Clara</u> ,
State of <u>California</u> , | (2) <u>ROBERT FREDERIC KERN</u>
City of <u>Southampton, Hampshire</u> ,
County of <u> </u> ,
Country of <u>United Kingdom</u> |
| (3) <u>DAVID WAYNE MOORE</u>
City of <u>Morgan Hills</u>
County of <u>Santa Clara</u>
State of <u>California</u> , | (4) <u>KAREN ALICIA RANSON</u>
City of <u>San Jose</u> ,
County of <u>Santa Clara</u>
State of <u>California</u> |
| (5) <u>VERN LEE WATTS</u>
City of <u>Los Altos</u> ,
County of <u>Santa Clara</u>
State of <u>California</u> , | |

have invented certain improvements in

TITLE

**CROSS-PRODUCT COORDINATION OF DISASTER RECOVERY
SUPPORTING INDEPENDENT DATA TRANSMISSION PROTOCOLS**

DATES THAT
INVENTORS
SIGNED THE
DECLARATION

and executed a Declaration and Power of Attorney for a United States patent application therefor on

- (1) March 17, 1998, (2) _____, 1998, (3) March 17, 1998,
(4) March 17, 1998, (5) March 17, 1998

Whereas, INTERNATIONAL BUSINESS MACHINES CORPORATION, a corporation of New York, having a place of business at Armonk, New York 10504, (hereinafter called IBM), desires to acquire the entire right, title and interest in the said application and invention, and to any United States and foreign patents to be obtained therefor;

Now therefore, for a valuable consideration, receipt whereof is hereby acknowledged, I, the above named, hereby sell, assign, and transfer to IBM, its successors and assigns, the entire right, title and interest in the said application and invention therein disclosed for the United States and foreign countries, and all rights of priority resulting from the filing of said United States application, and I request the Commissioner of Patents to issue any Letters Patent granted upon the inventions set forth in said application to IBM, its successors and assigns; and I hereby agree that IBM may apply for foreign Letters Patent on said invention and I will execute all papers necessary in connection with the United States and foreign applications when called upon to do so by IBM.

Signed

CITY
DATE

(1) at San Jose
on March 17, 1998,


HARLEY AL BEIER

SIGNATURE
INVENTOR

CITY (2) at _____ SIGNATURE
DATE on _____, 1998, ROBERT FREDERIC KERN INVENTOR

CITY (3) at San Jose _____ SIGNATURE
DATE on March 17, 1998, DAVID WAYNE MOORE INVENTOR

CITY (4) at San Jose _____ SIGNATURE
DATE on March 17, 1998, KAREN ALICIA RANSON INVENTOR

CITY (5) at San Jose _____ SIGNATURE
DATE on March 17, 1998, VERN LEE WATTS INVENTOR

ASSIGNMENT

INVENTORS
AND CITY

Whereas, We

- | | |
|--|---|
| (1) <u>HARLEY AL BEIER</u>
City of <u>San Martin</u> ,
County of <u>Santa Clara</u>
State of <u>California</u> , | (2) <u>ROBERT FREDERIC KERN</u>
City of <u>Southampton, Hampshire</u> ,
County of <u>_</u>
Country of <u>United Kingdom</u> |
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Signed

CITY
DATE

(1) at San Jose
on _____, 1998, _____
SIGNATURE
INVENTOR

HARLEY AL BEIER

CITY (2) at WINCHESTER, NH *Robert Frederic Kern* SIGNATURE
DATE on 13th MARCH, 1998, ROBERT FREDERIC KERN INVENTOR

CITY (3) at San Jose _____ SIGNATURE
DATE on _____, 1998, DAVID WAYNE MOORE INVENTOR

CITY (4) at San Jose _____ SIGNATURE
DATE on _____, 1998, KAREN ALICIA RANSON INVENTOR

CITY (5) at San Jose _____ SIGNATURE
DATE on _____, 1998, VERN LEE WATTS INVENTOR