

RE

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BMD-114 (CT-2394A)

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1-31-92

MRD 5-4-98



100800555

Patent and Trademark Office

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Mark D. Wittman and John F. Kadow

Additional name(s) of conveying party(ies) attached?

☐

Yes

☒

No

2. Names and address of receiving party(ies):

BRISTOL-MYERS SQUIBB COMPANY
Lawrenceville-Princeton Road
Princeton, NJ 08543-4000

3. Nature of conveyance:

☒

Assignment

☐

Other _____

Additional name(s) and address(es) attached?

☐

Yes

☒

No

Execution Date: 1/28/98 and 1/30/98

4. Application number(s) or Patent number(s):

If this document is being filed together with a new application, the execution date of the application is: _____

A. Patent Application No.(s)

09/017,344 filed February 2, 1998

B. Patent No.(s)

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Samuel J. DuBoff, Esq.Address: Bristol-Myers Squibb Co.Patent Department - 8515 Research Parkway, PO Box 5100Wallingford CT 06492-7660

City

State

Zip

6. Total number of applications and patents involved:

17. Total fee(37 CFR 3.41) involved: **\$40.00**☒

Authorized to be charged to deposit account

8. Deposit account number: **02.3850**

(Attached duplicate copy of this page if paying by deposit account)

DO NOT USE THIS SPACE

9. Statement and signature.

*To the best of my knowledge and belief, the foregoing information is true and correct and the attached is a true copy of the original document.*Samuel J. DuBoff, Esq.09/0173448/11/98

Name of Person Signing

Signature

Date

Total number of pages including cover sheet, attachments and document: 6

PATENT

REEL: 9384 FRAME: 0221

ASSIGNMENT

For good and valuable consideration, receipt of which is hereby acknowledged and intending to become legally bound thereby, we

Mark D. Wittman of 328 South Brooksvale Road
Cheshire, CT 06410

John F. Kadow of 9 Quarry Run
Wallingford, CT 06492

do hereby sell, assign and set over unto BRISTOL-MYERS SQUIBB COMPANY, a corporation organized and existing under the laws of the State of Delaware, having a place of business at Lawrenceville-Princeton Road, Princeton, New Jersey 08543-4000 (hereinafter called "BRISTOL"), the entire right, title and interest in the United States and in all foreign countries of the world in and to any and all of our inventions and discoveries in 6-HALO-OR NITRATE-SUBSTITUTED PACLITAXELS as described and/or claimed in our application for Letters Patent of the United States of America executed 1/28/98 and 1/30/98, by us, respectively, identified as Serial Number 09/017,344 filed on February 2, 1998, in and to the above identified patent application, in and to the right to file patent applications in the name of BRISTOL or in our names, at its election, on the aforesaid invention and discovery in the United States and in all foreign countries of the world, together with all rights of priority in the aforesaid foreign countries deriving from the above-identified United States patent application under the International Convention for the Protection of Industrial Property, under the Inter-American Convention relating to Inventions, Patents, Designs, and Industrial Models, and under any other international arrangement to which the United States of America now is or hereafter becomes a signatory, in and to any and all Letters Patent that issue on any of the aforesaid patent applications, and in and to any reissues, renewals, and extensions thereof of any of said Letters Patent, the same to be held and enjoyed by said BRISTOL, its successors, assigns, or other legal representatives to the full ends of the terms for which all LETTERS Patent therefor may be granted, as fully and entirely as the same would have been held and enjoyed by us if this assignment and sale had not been made.

And, we hereby Covenant and Agree that we will, at any time, upon the request and at the expense of BRISTOL execute and deliver any and all documents that may be necessary or desirable to perfect the title to the foregoing inventions and discoveries, patent applications, and Letters Patent and reissues, renewals, and extensions thereof in BRISTOL, its successors, assigns, or other legal representatives, including the execution and procurement of any and all further documents evidencing this assignment and sale as may be necessary or desirable for recording the same in the Patent Office of any country concerned, and that we will, at any time, upon the request and at the expense of BRISTOL, execute any additional or divisional applications for patents for said inventions and discoveries, or any part or parts thereof, and for applications for patents of confirmation, registration, and importation based on said Letters Patent and on Letters Patent issuing from said additional or divisional applications, reissues, renewals, and extensions of any Letters Patent to be granted therefor, and will make all rightful oaths and do all lawful acts requisite for procuring the same or for aiding therein, without further compensation, but at the expense of BRISTOL, its successors, assigns, or other legal representatives.

This document has been prepared in the English language at the request of the assignor.

We hereby authorize BRISTOL to insert in this instrument the serial or application number and the filing date of said application.

Executed this 28th day of January,
19 98.

Mark D. Wittman L.S.
Mark D. Wittman

Executed this 30th day of January,
19 98.

John F. Kadow L.S.
John F. Kadow

ASSIGNMENT

For good and valuable consideration, receipt of which is hereby acknowledged and intending to become legally bound thereby, we

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Cheshire, CT 06410

John F. Kadow of 9 Quarry Run
Wallingford, CT 06492

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Mark D. Wittman L.S.
Mark D. Wittman

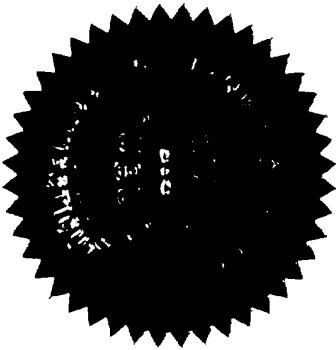
Executed this 30th day of January,
19 98.

John F. Kadow L.S.
John F. Kadow

Acknowledgement

STATE OF Connecticut)
) ss.Wallingford
COUNTY OF New Haven)

On the *28th* day of *January*, 1998, before me came Mark D. Wittman, to
me known to be the person of that name mentioned in, and who
executed the foregoing Assignment and acknowledged that he executed
it.



Janet C. Sudol
Notary Public

JANET C. SUDOL
NOTARY PUBLIC
MY COMMISSION EXPIRES *8/31/2002*

STATE OF Connecticut)
) ss.Wallingford
COUNTY OF New Haven)

On the *30th* day of *January*, 1998, before me came John F. Kadow, to
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executed the foregoing Assignment and acknowledged that he executed
it.



Janet C. Sudol
Notary Public

JANET C. SUDOL
NOTARY PUBLIC
MY COMMISSION EXPIRES *8/31/2002*