

MRO 8.10.98

08-20-1998

SHEET

U.S. DEPARTMENT OF COMMERCE
Patent and Trademark Office

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To the Honorable Commissioner of



100800707

attached original documents or copy thereof.

1. Name of conveying party(ies):

Matthias Berger
Lothar Benedict Erhard Josef MoellerAdditional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other _____

Execution Date: 5/27/98 & 5/4/98

2. Name and address of receiving party(ies):

Name: Lucent Technologies Inc.

Internal Address: _____

Street Address: 600 Mountain Avenue

P.O. Box 636

City: Murray Hill State: NJ ZIP: 0636

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is:

5/14/98 &
5/4/98

A. Patent Application No.(s)

B. Patent No.(s)

09/13/861

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Docket Administrator

Internal Address: Room 3C512

Street Address: 600 Mountain Avenue

P.O. Box 636

City: Murray Hill State: NJ ZIP: 07974

6. Total number of applications and patents involved:

1

7. Total fee (37 CFR 3.41): \$ 40.00

☐ Enclosed☒ Authorized to be charged to deposit account

8. Deposit account number: 12-2325

(Attach duplicate copy of this page if paying by deposit account)

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Christopher N. Malvone

Name of Person Signing

Signature

8/7/98

Date

Total number of pages comprising cover sheet

1

ASSIGNMENT AND AGREEMENT

For value received, we, Matthias Berger, of Tinton Falls in the County of Monmouth and State of New Jersey, and Lothar BenedictErhard Josef Moeller, of Germany, hereby sell, assign and transfer to Lucent Technologies Inc., a corporation of the State of Delaware, having an office at 600 Mountain Avenue, Murray Hill, New Jersey, 07974-0636, U.S.A., and its successors, assigns and legal representatives, the entire right, title and interest, for the United States of America, in and to certain inventions related to **Method And Arrangement For Stabilizing Wavelength Of Multi-Channel Optical Transmission Systems** described in an application for Letters Patent of the United States, executed by us, and all the rights and privileges in said application and under any and all Letters Patent that may be granted in the United States for said inventions; and we also concurrently hereby sell, assign and transfer to Lucent Technologies Inc. the entire right, title and interest in and to said inventions for all countries foreign to the United States, including all rights of priority arising from the application aforesaid, and all the rights and privileges under any and all forms of protection, including Letters Patent, that may be granted in said countries foreign to the United States for said inventions.

We authorize Lucent Technologies Inc. to make application for such protection in its own name and maintain such protection in any and all countries foreign to the United States, and to invoke and claim for any application for patent or other form of protection for said inventions, without further authorization from us, any and all benefits, including the right of priority provided by any and all treaties, conventions, or agreements.

We hereby consent that a copy of this assignment shall be deemed a full legal and formal equivalent of any document which may be required in any country in proof of the right of Lucent Technologies Inc. to apply for patent or other form of protection for said inventions and to claim the aforesaid benefit of the right of priority.

We request that any and all patents for said inventions be issued to Lucent Technologies Inc. in the United States and in all countries foreign to the United States, or to such nominees as Lucent Technologies Inc. may designate.

M. Berger 2-1

We agree that, when requested, we shall, without charge to Lucent Technologies Inc. but at its expense, sign all papers, and do all acts which may be necessary, desirable or convenient in connection with said applications, patents, or other forms of protection.

Matthias Berger
Matthias Berger

Date: 5/27/98

United States of America)

State of New Jersey) ss.

County of Hudson)

On this 27th day of May, 19 98, before me personally came Matthias Berger, to me known to be the individual described in and who executed the foregoing instrument, and acknowledged execution of the same.

Lourdes Chesal
Notary Public

LOURDES CHESAL
Notary Public State of New Jersey
My Commission Expires 4/24/2001

M. Berger 2-1

Lothar Benedikt Erhard Josef Moeller

Date: 5/4/98

On this 4th day of May, 1998, before me personally came Lothar Benedikt Erhard Josef Moeller, to me known to be the individual described in and who executed the foregoing instrument, and acknowledged execution of the same.

[Signature]
Witness's Signature

Andrews L. L. L.
Witness's Printed Name and Address

Lucent Technologies
Communications Systems
1000 Mountain Avenue, Room 3C-512
Murray Hill, New Jersey 07974-0636

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600 Mountain Avenue (Room 3C-512)
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