

08-20-1998

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Docket No. SALK2310



To the Honorable Commissioner for Patents

100800615

with the original documents or copy thereof.

1. Name of Conveying party(ies):

**Ronald M. Evans, as himself and as agent for  
Howard Hughes Medical Institute**

Additional name(s) of conveying party(ies)  
attached?  Yes  No

2. Name and address of receiving Party(ies)

Name: **THE SALK INSTITUTE FOR BIOLOGICAL STUDIES**

Internal Address: \_\_\_\_\_

Street Address: **10010 N. Torrey Pines Road**

City: **La Jolla** State: **CA** Zip: **92037**

Additional name(s) & address(es) attached?  
 Yes  No:

3. Nature of conveyance:

Assignment  Merger  
 Security Agreement  Change of name  
 Other \_\_\_\_\_

Execution Date: **August 7, 1998**

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: \_\_\_\_\_

A. Patent Application No.(s) **09/079,570** B. Patent No.(s) \_\_\_\_\_

Additional numbers attached?  Yes  No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: **Stephen E. Reiter, Esq.**  
**GRAY CARY WARE & FREIDENRICH LLP**

Street Address **4365 Executive Drive, Suite 1600**

City: **San Diego** State: **CA** Zip: **92121**

6. Total number of Applications and patents involved: **1**

7. Total fee (37 CFR 3.41) **\$40.00**

: Enclosed  
 : Authorized to charge the recordation fee or any underpayment to deposit account.

8  Deposit account Number: **07-1895**

DO NOT USE THIS SPACE

9. Statement and signature.

*To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.*

**Stephen E. Reiter**  
Name of Person Signing  
Registration No. 31,192

*[Signature]*  
Signature

**8/12/98**  
Date

Total number of pages including cover sheet, attachments, and document: **3**

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09080615 071895  
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08/19/1998  
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Assignor agrees that, upon request and without further compensation, but at no expense to Assignor, he and his legal representatives and assigns will do all lawful acts, including the execution of papers and the giving of testimony, that may be necessary or desirable for obtaining, sustaining, reissuing, or enforcing Letters Patent in the United States and throughout the world for said invention, and for perfecting, recording, or maintaining the title of Assignee, its successors and assigns, to said invention, said application, and any Letters Patent granted for said invention in the United States and throughout the world.

Assignor represents and warrants that he has not granted and will not grant to others any rights inconsistent with the rights granted herein.

Assignor authorizes and requests the Assistant Commissioner for Patents of the United States and of all foreign countries to issue any Letters Patent granted for said invention, whether on said application or on any subsequently filed division, continuation, continuation-in-part or reissue application, to Assignee, its successors and assigns, as the assignee of the entire interest in said invention.

IN WITNESS WHEREOF, Assignor has executed this Assignment on the date(s) provided below.

Assignor: RONALD M. EVANS

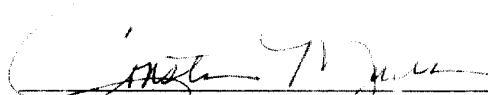
Date: 8/7/98

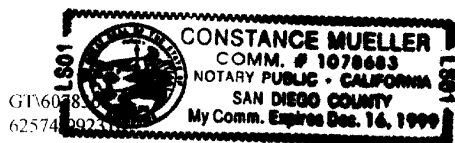
  
For Himself and as Agent for  
HOWARD HUGHES MEDICAL INSTITUTE

STATE OF CALIFORNIA     )  
  )ss.  
COUNTY OF SAN DIEGO    )

On August 7, 1998, before me, the undersigned Notary Public, personally appeared RONALD M. EVANS, personally known to me to be the person whose name is subscribed to the within instrument, and acknowledged to me that he executed the same in his capacity, and that by his signature on the instrument the person, or the entity upon behalf of which the person acted, executed the instrument.

WITNESS my hand and official seal.

  
(Signature of Notary)



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:  
Evans and Saez

Serial No.: 09/079,570

Filed: May 14, 1998

For: FORMULATIONS USEFUL FOR  
MODULATING EXPRESSION OF  
EXOGENOUS GENES IN  
MAMMALIAN SYSTEMS, AND  
PRODUCTS RELATED THERETO

) Group Art Unit: 1643

) Examiner: Unassigned

) CERTIFICATION UNDER 37 CFR 1.8

) I hereby certify that the documents referred to as  
) enclosed herein are being deposited with the United  
) States Postal Service as first class mail on this date

) August 12, 1998, addressed to: Assistant  
) Commissioner for Patents, Washington, D.C. 20231

) Stephen E. Reiter, Reg. No. 31,192

) (Name of person mailing paper)

)   
) Signature

) 8/12/98  
) Date

Box Assignment  
Assistant Commissioner for Patents  
Washington, D.C. 20231

**TRANSMITTAL SHEET**


Sir:

Transmitted herewith for the above-identified application please find two (2) Recordation Form Cover Sheets and two (2) executed Assignments.

The Commissioner is hereby authorized to charge the \$80.00 assignment recordation fee and any other fees that may be required by this paper to Deposit Account No. 07-1895. A duplicate copy of this Transmittal Letter is attached.

Respectfully submitted,

Date: 8/12/98

  
Stephen E. Reiter

Reg. No. 31,192

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GTN6091038.1  
62574-992310

RECORDED: 08/12/1998

PATENT  
REEL: 9385 FRAME: 0814