



08-24-1998



100797224

FORM PTO-1595 PATENT &amp; TRADEMARK OFFICE U.S. DEPARTMENT OF COMMERCE

1-31-92

PATENTS ONLY

Patent and Trademark Office

MRD 8-14-98

To the Assistant Commissioner for Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):  Efrem Lipkin  Additional name(s) of conveying party(ies) attached? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	2. Name and address of receiving party(ies):  Name: Sun Microsystems, Inc. Street Address: 901 San Antonio Road City: State: Zip: Palo Alto, CA 94303  Additional name(s) & address(es) attached?  <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No
3. Nature of conveyance:  <input checked="" type="checkbox"/> Assignment <input type="checkbox"/> Merger <input type="checkbox"/> Security Agreement <input type="checkbox"/> Change of Name <input type="checkbox"/> Other  Execution Date: July 27, 1998	
4. Application number(s) or patent number(s):  If this document is being filed together with a new application, the execution date of the application is:  A. Patent Application No.(s): 09/106,567 Additional numbers attached? <input type="checkbox"/> Yes <input type="checkbox"/> No B. Patent No.(s):	
5. Name and address of party to whom correspondence concerning document should be mailed:  Name: A. Richard Park Law Offices of Richard Park 2115 Princeton Street Palo Alto, CA 94306	6. Total number of applications and patents involved: <input type="checkbox"/> 1 7. Total fee (37 CFR 3.41) .....\$40.00 <input checked="" type="checkbox"/> Enclosed <input type="checkbox"/> Authorized to be charged to deposit account 8. Deposit account number: _____

## DO NOT USE THIS SPACE

9. Statement and signature.  
*To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.*

A. Richard Park  
Name of Person Signing

Signature

August 11, 1998  
Date

Total number of pages including cover sheet, attachments and document: [4]

08/19/1998 DALLEN 00000124 09106567

05 FC:581

40.00 DP

**JOINT TO CORPORATE  
ASSIGNMENT**

WHEREAS, the undersigned,

LIPKIN, Efrem  
1811 Ward Street  
Berkeley, CA 94703

GOLDSTEIN, Theodore C.  
875 La Para Avenue  
Palo Alto, CA 94306

hereinafter termed "Inventors", have invented certain new and useful improvements in

**Controlling Access to Services in Between Modular Applications**

and have executed a declaration or oath for an application for a United States patent disclosing and identifying the invention:

\_\_\_\_ On the \_\_\_\_ day of \_\_\_\_\_, 19\_\_\_\_; Or

  X   Said application having Application Number 09/106,567 and filed on the 18th day of June, 1998;  
and

WHEREAS, Sun Microsystems, Inc. a corporation of the State of Delaware, having a place of business at 901 San Antonio Road, Palo Alto, CA 94303, (hereinafter termed "Assignee"), is desirous of acquiring the entire right, title and interest in and to said application and the invention disclosed therein, and in and to all embodiments of the invention, heretofore conceived, made or discovered jointly or severally by said Inventors (all collectively hereinafter termed "said invention"), and in and to any and all patents, inventor's certificates and other forms of protection (hereinafter termed "patents") thereon granted in the United States and foreign countries.

NOW, THEREFORE, in consideration of good and valuable consideration acknowledged by said Inventors to have been received in full from said Assignee:

1. Said Inventors do hereby sell, assign, transfer and convey unto said Assignee the entire right, title and interest (a) in and to said application and said invention; (b) in and to all rights to apply for foreign patents on said invention pursuant to the International Convention for the Protection of Industrial Property or otherwise; (c) in and to any and all applications filed and any and all patents granted on said invention in the United States or any foreign country, including each and every application filed and each and every patent granted on any application which is a divisional, substitution, continuation, or continuation-in-part of any of said applications; and (d) in and to each and every reissue or extensions of any of said patents.

2. Said Inventors hereby jointly and severally covenant and agree to cooperate with said Assignee to enable said Assignee to enjoy to the fullest extent the right, title and interest herein conveyed in the United States and foreign countries. Such cooperation by said Inventors shall include prompt production of

1 of 3

Attorney Docket No. SUN-P3115-TJC

C:\MY DOCUMENTS\SUN MICROSYSTEMS\SUN-P3115-TJC\ASSIGN.JNT.DOC

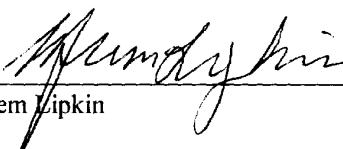
PATENT  
REEL: 9390 FRAME: 0783

pertinent facts and documents, giving of testimony, execution of petitions, oaths, specifications, declarations or other papers, and other assistance all to the extent deemed necessary or desirable by said Assignee (a) for perfecting in said Assignee the right, title and interest herein conveyed; (b) for prosecuting any of said applications; (c) for filing and prosecuting substitute, divisional, continuing or additional applications covering said invention; (d) for filing and prosecuting applications for reissuance of any said patents; (e) for interference or other priority proceedings involving said invention; and (f) for legal proceedings involving said invention and any applications therefor and any patents granted thereon, including without limitation reissues and reexaminations, opposition proceedings, cancellation proceedings, priority contests, public use proceedings, infringement actions and court actions; provided, however, that the expense incurred by said Inventors in providing such cooperation shall be paid for by said Assignee.

3. The terms and covenants of this assignment shall inure to the benefit of said Assignee, its successors, assigns and other legal representatives, and shall be binding upon said Inventors, their respective heirs, legal representatives and assigns.

4. Said Inventors hereby jointly and severally warrant and represent that they have not entered and will not enter into any assignment, contract, or understanding in conflict herewith.

IN WITNESS WHEREOF, said Inventors have executed and delivered this instrument to said Assignee as of the dates written below.

  
\_\_\_\_\_  
Efrem Lipkin

7/20/98  
\_\_\_\_\_  
Date

State of \_\_\_\_\_ )  
County of \_\_\_\_\_ )

On \_\_\_\_\_, before me, \_\_\_\_\_,  
personally appeared \_\_\_\_\_,  
☐ personally known to me or ☐ proved to me on the basis of  
satisfactory evidence, to be the person whose name is subscribed to  
the within instrument and acknowledged to me that he/she executed  
the same in his/her authorized capacity, and that by his/her signature  
on the instrument the person or the entity upon behalf of which the  
person acted, executed the instrument.

WITNESS my hand and official seal.

\_\_\_\_\_  
(Notary Public)

  
Theodore C. Goldstein

7/27/98  
Date

State of \_\_\_\_\_ )

County of \_\_\_\_\_ )

On \_\_\_\_\_, before me, \_\_\_\_\_,  
personally appeared \_\_\_\_\_,

☐ personally known to me or ☐ proved to me on the basis of  
satisfactory evidence, to be the person whose name is subscribed to  
the within instrument and acknowledged to me that he/she executed  
the same in his/her authorized capacity, and that by his/her signature  
on the instrument the person or the entity upon behalf of which the  
person acted, executed the instrument.

WITNESS my hand and official seal.

\_\_\_\_\_  
(Notary Public)

3 of 3

Attorney Docket No. SUN-P3115-TJC

C:\MY DOCUMENTS\SUN MICROSYSTEMS\SUN-P3115-TJC\ASSIGN.JNT.DOC

RECORDED: 08/14/1998

PATENT  
REEL: 9390 FRAME: 0785