

08-24-1998

COVER SHEET

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of an original document.



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Assistant Commissioner for Patents

82-19-98  
MRO

1. Name of conveying party(ies):  
**Lester PACKER; Oren TIROSH; Chandan K. SEN;  
Sashwati ROY**

2. Name and address of receiving party(ies):  
**The Regents of the University of California  
300 Lakeside Drive, 22nd Floor  
Oakland, California 94612-3550**

Additional name(s) attached?  Yes  No

Additional names/addresses attached?  Yes  No

3. Nature of conveyance:  
 Assignment  
 Merger  
 Security Agreement  
 Change of Name  
 Other:

Execution Date: **3/20/98**

4. Application number(s) or patent number(s):  
If this document is being filed with a new application, the execution date of the application is:  
A. Patent Application No.(s): **09/037,782**  
B. Patent No.(s):

Additional numbers attached?  Yes  No

5. Name/address of party to whom correspondence concerning document should be mailed:

**Grant D. Green  
Fish & Richardson P.C.  
2200 Sand Hill Road, Suite 100  
Menlo Park, CA 94025**

6. Total number of applications/patents involved: **1**

7. Total fee (37 CFR 3.41): **\$40**  
 Enclosed  
 Authorized to charge deposit account

8. Deposit account number: **06-1050**  
If the fee above is being charged to deposit account, a duplicate copy of this cover sheet is attached. Please apply any additional charges, or any credits, to our Deposit Account No. 06-1050.

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9. Statement and signature: *To the best of my knowledge and belief, the foregoing information is true and correct and the attached is a true copy of the original document.*

**Grant D. Green**  
Name of Person Signing

Signature

**8/14/98**  
Date

Total number of pages including cover sheet, attachments, and document: **6**

Date of Deposit August 14, 1998  
I hereby certify under 37 CFR 1.8(a) that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage on the date indicated above and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

PATENT  
RECEIVED SEP 14 1998

09272/008001-01

ASSIGNMENT

For good and valuable consideration, the receipt of which is hereby acknowledged,  
ASSIGNOR(S),

1. Lester PACKER
2. Oren TIROSH
3. Chandan K. SEN
4. Sashwati ROY

hereby sells, assigns, and transfers to ASSIGNEE, The Regents of the University of California, a California Corporation, having its statewide administrative offices located at 300 Lakeside Drive, 22nd Floor, Oakland, California 94612-3550, and the successors, assigns and legal representatives of the ASSIGNEE all of its right, title and interest for the United States and its territorial possessions and in all foreign countries in and to, any and all improvements in the invention entitled:

**LIPOIC ACID ANALOGS**

and which is found in

- (a)  U.S. patent application filed herewith,  
entitled as above and listing the above named persons as inventors
- (b)  U.S. patent application Serial No. 09/037,782
- (c)  Filed on March 10, 1998
- (d)  U.S. Patent No.: \_\_\_\_\_, issued \_\_\_\_\_.

and any legal equivalent thereof in a foreign country, including the right to claim priority and, in and to, all Letters Patent to be obtained for said invention by the above application or in any continuation, division, continuation-in-part, extension or substitute thereof, and any reissue, reexamination or extension of said Letters Patent and all rights under all International Conventions for the Protection of Industrial Property;

ASSIGNOR(S) hereby covenants that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment;

ASSIGNOR(S) further covenants that ASSIGNEE will, upon its request, be provided promptly with all pertinent facts and documents relating to said invention and said Letters Patent and legal equivalents as may be known and accessible to ASSIGNOR and will testify as to the same in any interference, litigation, or proceeding relating thereto and will promptly execute and deliver to

PATENT

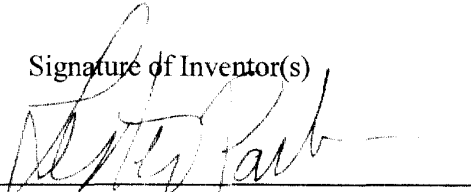
ASSIGNEE or its legal representative any and all papers, instruments or affidavits required to apply for, obtain, maintain, issue or enforce said application, said invention and said Letters Patent and said equivalents thereof which may be necessary or desirable to carry out the purposes thereof. An attorney of record is authorized and requested by the execution of this assignment to insert into this assignment the filing date and serial number of said application when officially known.

AND the ASSIGNOR(S) requests the Commissioner of Patents and Trademarks to issue said Letters Patent of the United States and any reissue or extension thereof to the ASSIGNEE, The Regents of the University of California.

executed this

20 day of March, 1998

Signature of Inventor(s)

  
\_\_\_\_\_  
Lester PACKER

\_\_\_ day of \_\_\_\_\_, 199\_\_

\_\_\_\_\_  
Oren TIROSH

\_\_\_ day of \_\_\_\_\_, 199\_\_

\_\_\_\_\_  
Chandan K. SEN

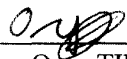
\_\_\_ day of \_\_\_\_\_, 199\_\_

\_\_\_\_\_  
Sashwati ROY

86942.PAL1

ASSIGNEE or its legal representative any and all papers, instruments or affidavits required to apply for, obtain, maintain, issue or enforce said application, said invention and said Letters Patent and said equivalents thereof which may be necessary or desirable to carry out the purposes thereof. An attorney of record is authorized and requested by the execution of this assignment to insert into this assignment the filing date and serial number of said application when officially known.

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executed this	Signature of Inventor(s)
___ day of _____, 199__	_____ Lester PACKER
<u>20</u> day of <u>March</u> , 199 <u>8</u>	_____  Oren TIROSH
___ day of _____, 199__	_____ Chandan K. SEN
___ day of _____, 199__	_____ Sashwati ROY

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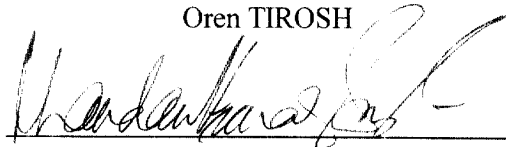
\_\_\_ day of \_\_\_\_\_, 199\_\_

\_\_\_\_\_  
Lester PACKER

\_\_\_ day of \_\_\_\_\_, 199\_\_

\_\_\_\_\_  
Oren TIROSH

20 day of March, 1998

  
\_\_\_\_\_  
Chandan K. SEN

\_\_\_ day of \_\_\_\_\_, 199\_\_

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___ day of _____, 199__	_____ Chandan K. SEN
<u>20</u> day of <u>March</u> , 199 <u>8</u>	<u>Sashwati Roy</u> Sashwati ROY

86942.PAL1