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- License Change of Name
- Merger Other

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Mark if additional names of conveying parties attached

Execution Date
Month Day Year
4 29 1998

Name (line 1) James E. Nicholson

Name (line 2)

Second Party

Execution Date
Month Day Year
4 30 1998

Name (line 1) Scott G. Tromanhauser

Name (line 2)

Receiving Party

Mark if additional names of receiving parties attached

If document to be recorded is an assignment and the receiving party is not domiciled in the United States, an appointment of a domestic representative is attached. (Designation must be a separate document from Assignment.)

Name (line 1) Cortek Spine, Inc.

Name (line 2)

Address (line 1) 990 Washington Street

Address (line 2) Suite 214

Address (line 3) Dedham MA 02026
city State/Country Zip Code

Domestic Representative Name and Address

Enter for the first Receiving Party only.

Name

Address (line 1)

Address (line 2)

Address (line 3)

Address (line 4)

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PATENT
REEL: 9393 FRAME: 0752

Correspondent Name and Address Area Code and Telephone Number (202) 842-0431

Name Frederick C. Williams

Address (line 1) Williams & Associates

Address (line 2) 1401 New York Avenue, N.W.

Address (line 3) Twelfth Floor

Address (line 4) Washington, DC 20005

Pages Enter the total number of pages of the attached conveyance document including any attachments. # 2

Application Number(s) or Patent Number(s) () Mark if additional numbers attached

Enter either the Patent Application Number or the Patent Number (DO NOT ENTER BOTH numbers for the same property).

Patent Application Number(s)

09/072,777

Patent Number(s)

If this document is being filed together with a new Patent Application, enter the date the patent application was signed by the first named executing inventor. Month Day Year

Patent Cooperation Treat (PCT)

Enter PCT application number only if a U.S. Application Number has not been assigned.

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Statement and Signature

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document. Charges to deposit account are authorized, as indicated herein.

Frederick C. Williams
Name of Person Signing

Frederick C. Williams
Signature

Aug-14, 1998
Date

PATENTS ONLY

Conveying Party(ies) attached

() Mark if additional names of conveying parties

Enter additional Conveying Parties

Execution Date
Month Day Year
4 29 1998

Name (line 1) Dale E. Whipple

Execution Date
Month Day Year

Name (line 2)

Name (line 1)

Execution Date
Month Day Year

Name (line 2)

Name (line 1)

Name (line 2)

Receiving Party

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City

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Zip Code

Application Number(s) or Patent Number(s)

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Enter either the Patent Application Number or the Patent Number (DO NOT ENTER BOTH numbers for the same property).

Patent Application Number(s)

Patent Number(s)

09/072,777

PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of)
)
JAMES E. NICHOLSON, SCOTT G.) Group Art Unit:
TROMANHAUSER, M.D., and)
DALE E. WHIPPLE)
)
Serial No.: Not available) Examiner:
)
Filed:)
)
For: APPARATUS AND METHOD FOR)
SPINAL FUSION USING IMPLANTED)
DEVICES)
_____)

Hon. Assistant Commissioner for Patents
BOX PATENT APPLICATION
Washington, DC 20231

Sir:

ASSIGNMENT OF PATENT APPLICATION

We, James E. Nicholson, Scott G. Tromanhauser, M.D., and Dale E. Whipple, having made the invention described in Application for United States Patent to be filed in the near future entitled APPARATUS AND METHOD FOR SPINAL FUSION USING IMPLANTED DEVICES, in consideration of our ongoing business relationship with Cortek Spine, Inc. and of certain other agreements among us and between us and Cortek Spine, Inc., hereby assign our entire right, title, and interest in and to the invention identified above and in and to any patents wherever they may be granted as well as reissues and extensions of said patents to

CORTEK SPINE, INC.
14 Meadowdam Road
Lincoln, MA 01773

a Delaware Corporation.

We further agree to cooperate to the fullest extent in and to execute all papers necessary for the prosecution of all applications for patents with respect to this invention and in all

proceedings before or after issuance of patents relating to the validity or enforcement of any patents arising out of this invention.

James E. Nicholson
James E. Nicholson
Date: 4/29/98

WITNESS

Renneth Spector

Scott G. Tromanhauser
Scott G. Tromanhauser, M.D.
Date: 4/30/98

WITNESS

Jean Walker

Dale Whipple
Dale Whipple
Date: 4-29-98

WITNESS

Renneth Spector

4/29/98
JEW
4-29-98
4/30/98

ACCEPTED:

James E. Nicholson
CORTEK SPINE, INC.
By James E. Nicholson, President

Date: 4/29/98