

08-28-1998

Attorney Docket No.: EH-10151

Form PTO 1595

RECOF

01-31-92

100809403
PATENTS ONLY

U.S. Department of Commerce

Patent & Trademark Office

MPD 8-21-98

1. Name of Conveying Party(ies):

Sudhangshu Bose

Dinesh K. Gupta

Jeanine T. Marcin

Nicholas E. Ulion

Additional names of conveying parties attached? ☐ Yes ☒ No

2. Name and Address of Receiving Party(ies):

United Technologies Corporation

1 Financial Plaza

Hartford, CT 06101

3. Nature of Conveyance:

☒ Assignment☐ Merger☐ Security Agreement☐ Change of Name☐ Other

Execution Date(s): August 17, 1998, August 10, 1998 and

August 14, 1998

Additional names of conveying parties attached? ☐ Yes ☒ No

4. Application Number(s) or Patent Number(s):

If this document is being filed together with a new application, the execution date(s) of the application is (are):

A. Patent Application No(s): 09/096,501

B. Patent No(s):

5. Name & Address of Party to Whom Correspondence

Concerning Document Should be Mailed:

F. Tyler Morrison, III

Pratt & Whitney

Legal Department-Patent Section

400 Main Street, Mail Stop: 132-13

East Hartford, CT 06108

6. Total Number of Applications & Patents Involved: 1

7. Total Fee (37 CFR 3.41): \$40.00

☐ Enclosed☒ Authorized to be Charged to Deposit Account☐ Paid - See Original Transmittal

8. Deposit Account Number: 21-0279

Order Number: EH-10151

Attach a duplicate copy of this page if paying by deposit account.

DO NOT USE THIS SPACE

9. Statement and Signature: To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

08/25/1998 BHINES 00000049 210279 09096501

F. Tyler Morrison 40.00 CH

Name of person signing paper

Signature

Date

18 August 1998

Page 1 of 3

ASSIGNMENT

WHEREAS, Sudhangshu Bose, Dinesh K. Gupta, Jeanine T. Marcin and Nicholas E. Ulion residing at Manchester, South Windsor and Marlborough, Connecticut respectively have invented certain improvements in

THERMAL BARRIER COATING SYSTEM UTILIZING LOCALIZED BOND COAT AND ARTICLE HAVING THE SAME

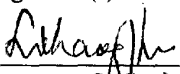
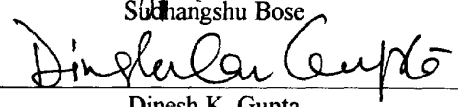
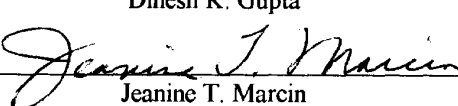

identified as Attorney Docket No.: EH-10151, and described in a patent application executed by the undersigned on the date(s) set after the signature(s) hereto, being owner(s) of all right, title and interest in and to said application and in and to any invention described therein, free from all prior assignments, agreements, licenses, mortgages, or other encumbrances whatsoever, and having full right to convey the entire interest both legal and equitable herein assigned; and

WHEREAS, UNITED TECHNOLOGIES CORPORATION (hereinafter, assignee), of Hartford, Connecticut, a corporation of the State of Delaware is desirous of acquiring the entire right, title and interest in and to said invention or inventions and any and all patents to be obtained therefor;

NOW, THEREFORE, in consideration of One Dollar (\$1.00) and other good and valuable consideration, the receipt of which is hereby acknowledged by the undersigned, the undersigned does (do) hereby sell, assign, transfer and set over unto said assignee, its successors and assigns, the entire right, title and interest in and to said invention or inventions, as described in the aforesaid application, in any form or embodiment thereof, and in and to the aforesaid application; and in and to any application filed in any foreign country based thereon, including the right to file said foreign applications under the provisions of the International Convention; also the entire right, title and interest in and to any and all patents or reissues or extensions thereof to be obtained in this or any foreign country upon said invention or inventions and any divisional, continuation, continuation-in-part or substitute applications which may be filed upon said invention or inventions in this or any foreign country; and the undersigned hereby authorize(s) and request(s) the issuing authority to issue any and all patents on said application or applications to said assignee or its successors and assigns.

The undersigned further agree(s), without any further payment or compensation by said assignee or its successors and assigns, to communicate to said assignee, its representatives or agents or its successors and assigns, any facts relating to said invention or inventions including evidence for interference purposes or for other legal proceedings whenever requested; testify in any interference or other legal proceedings, whenever requested; execute and deliver, on request, all lawful papers required to make any of the foregoing provisions effective; and generally do everything possible to aid said assignee, its successors or assigns and nominees to obtain and enforce proper patent protection for said invention or inventions in this or any foreign country.

IN TESTIMONY WHEREOF, the undersigned has (have) hereunto set their hand(s) and seal(s) on the date after their signature(s).

(L.S.)	 Sudhangshu Bose	<u>8/17/98</u> Date
(L.S.)	 Dinesh K. Gupta	<u>8/10/98</u> Date
(L.S.)	 Jeanine T. Marcin	<u>8/14/98</u> Date
(L.S.)	 Nicholas E. Ulion	<u>8/17/98</u> Date

State of CT

County of Hartford } ss: EAST Hartford

On this date 8/10-8/17/92¹⁵, before me personally appeared, **Sudhangshu Bose**, to me personally known, and known to me to be the person(s) who signed the foregoing assignment, and acknowledged the signing of same as his free act and deed.

Karen J. Turley

Notary Public

My Commission Expires: 10-30-2002

Commissioner of the Superior Court

SEAL

State of CT

County of Hartford } ss:

On this date Aug. 10, 1998, before me personally appeared, **Dinesh K. Gupta**, to me personally known, and known to me to be the person(s) who signed the foregoing assignment, and acknowledged the signing of same as his free act and deed.

Dean M. Woolley

Notary Public

My Commission Expires: 3/31/00

Commissioner of the Superior Court

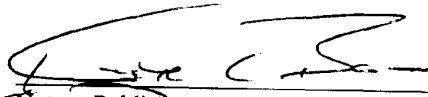
SEAL

State of CONNECTICUT

}ss: EAST HARTFORD

County of HARTFORD

On this date AUGUST 14 1998, before me personally appeared, **Jeanine T. Marcin**, to me personally known, and known to me to be the person(s) who signed the foregoing assignment, and acknowledged the signing of same as his free act and deed.



Notary Public

My Commission Expires: OCT 31, 2001

Commissioner of the Superior Court

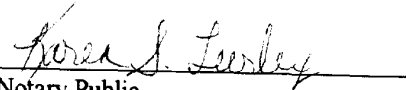
SEAL

State of Connecticut

}ss: East Hartford

County of Hartford

On this date 17th August 1998, before me personally appeared, **Nicholas E. Ulion**, to me personally known, and known to me to be the person(s) who signed the foregoing assignment, and acknowledged the signing of same as his free act and deed.



Notary Public

My Commission Expires: 10-30-2002

Commissioner of the Superior Court

SEAL