

MRD
8-17-98

08-28-1998

Atty Ref/Docket No.: 103.008US1



To the Honorable Commissioner of Patents and Trademarks

100808607

copy thereof

1. Name of conveying party(ies):

Trevor C. McMorris and Michael J. Kelner

2. Name and address of receiving party(ies):

Name: The Regents of the University of California

Additional name(s) of conveying party(ies) attached? Yes No

Street Address: 1111 Franklin Street, 12th Floor

3. Nature of conveyance:

- Assignment Merger
- Security Agreement Change of Name
- Other _____

City: Oakland State: CA ZIP: 94607-5200

Additional name(s) & address(es) attached? Yes No

Execution Date: July 16, 1998, July 28, 1998

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: _____

A. Patent Application No.(s)

B. Patent No.(s)

Serial No. 09/026,633, filed February 20, 1998

Additional numbers attached? Yes No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Warren D. Woessner, Ph.D.

Address: Schwegman, Lundberg, Woessner & Kluth, P.A.
P.O. Box 2938
Minneapolis, MN 55402

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41): \$ 40.00

Enclosed

Authorized to be charged to deposit account

8. Please charge any additional fees or credit any overpayments to our

Deposit account number: 19-0743

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

137247888 KHORLING 00000005 09026633
Warren D. Woessner, Ph.D./Reg. No. 30,440

Name of Person Signing

40.00 DF

Signature

Date

Total number of pages including cover sheet: 7

OMB No. 0651-0011 (exp. 4/94)

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PATENT
REEL: 9399 FRAME: 0241

ASSIGNMENT

WHEREAS, WE, Trevor C. McMorris, residing at 8911 Nottingham Place, La Jolla, CA 92037, and Michael J. Kelner, residing at 5545 Coral Reef Avenue, La Jolla, CA 92037, made certain new and useful inventions and improvements for which We filed an application for Letters Patent of the United States on February 20, 1998, which application was assigned U.S. patent application serial number 09/026,633, and is entitled ANTITUMOR AGENTS.

AND WHEREAS, The Regents of the University of California, a corporation organized and existing under and by virtue of the laws of the State of California, and having an office and place of business at 1111 Franklin Street, 12th Floor, Oakland, CA 94607-5200, (hereinafter "Assignee") is desirous of acquiring the entire right, title and interest in and to said inventions, improvements and application and in and to the Letters Patent to be obtained therefor;

NOW, THEREFORE, to all whom it may concern, be it known that for good and valuable consideration, the receipt and sufficiency whereof is hereby acknowledged, we have sold, assigned, and transferred, and by these presents do sell, assign and transfer unto said Assignee, its successors or assigns, the entire right, title and interest for all countries in and to all inventions and improvements disclosed in the aforesaid application, and in and to the said application, all divisions, continuations, or renewals thereof, all Letters Patent which may be granted therefrom, and all reissues or extensions of such patents, and in and to any and all applications which have been or shall be filed in any foreign countries for Letters Patent on the said inventions and improvements, including an assignment of all rights under the provisions of the International Convention, and all Letters Patent of foreign countries which may be granted therefrom; and we do hereby authorize and request the Commissioner of Patents and Trademarks to issue any and all United States Letters Patent for the aforesaid inventions and improvements to the said Assignee as the assignee of the entire right, title and interest in and to the same, for the use of the said Assignee, its successors and assigns.

AND, for the consideration aforesaid, we do hereby agree that we and our executors and legal representatives will make, execute and deliver any and all other instruments in writing including any and all further application papers, affidavits, assignments and other documents, and will communicate to said Assignee, its successors and representatives all facts known to us relating to said improvements and the history thereof and will testify in all legal proceedings and generally do all things which may be necessary or desirable more effectually to secure to and vest in said Assignee, its successors or assigns the entire right, title and interest in and to the said improvements, inventions, applications, Letters Patent, rights, titles, benefits, privileges and advantages hereby sold, assigned and conveyed, or intended so to be.

AND, furthermore we covenant and agree with said Assignee, its successors and assigns, that no assignment, grant, mortgage, license or other agreement affecting the rights and property herein conveyed has been made to others by me/us and that full right to convey the same as herein expressed is possessed by us.

IN TESTIMONY WHEREOF, I have hereunto set my hand this 16th day of July, 1998.

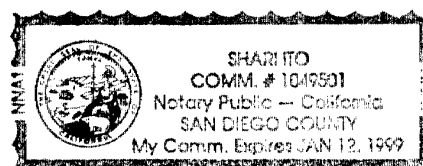
Trevor C. McMorris
Trevor C. McMorris

STATE OF (California)
)ss.
COUNTY OF San Diego)

On this 16th day of July, 1998 before me personally appeared Trevor C. McMorris to me known and known to me to be the person described in and who executed the foregoing instrument, and he/~~she~~ duly acknowledged to me that he/~~she~~ executed the same for the uses and purposes therein set forth.

[SEAL]

Shari Ito
Notary Public



IN TESTIMONY WHEREOF, I have hereunto set my hand this ____ day of _____, 1998.

Michael J. Kelner

STATE OF _____)
)ss.
COUNTY OF _____)

On this ____ day of _____, 1998 before me personally appeared Michael J. Kelner to me known and known to me to be the person described in and who executed the foregoing instrument, and he/she duly acknowledged to me that he/she executed the same for the uses and purposes therein set forth.

[SEAL]

Notary Public

ASSIGNMENT

WHEREAS, WE, Trevor C. McMorris, residing at 8911 Nottingham Place, La Jolla, CA 92037, and Michael J. Kelner, residing at 5545 Coral Reef Avenue, La Jolla, CA 92037, made certain new and useful inventions and improvements for which We filed an application for Letters Patent of the United States on February 20, 1998, which application was assigned U.S. patent application serial number 09/026,633, and is entitled ANTITUMOR AGENTS.

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NOW, THEREFORE, to all whom it may concern, be it known that for good and valuable consideration, the receipt and sufficiency whereof is hereby acknowledged we have sold, assigned, and transferred, and by these presents do sell, assign and transfer unto said Assignee, its successors or assigns, the entire right, title and interest for all countries in and to all inventions and improvements disclosed in the aforesaid application, and in and to the said application, all divisions, continuations, or renewals thereof, all Letters Patent which may be granted therefrom, and all reissues or extensions of such patents, and in and to any and all applications which have been or shall be filed in any foreign countries for Letters Patent on the said inventions and improvements, including an assignment of all rights under the provisions of the International Convention, and all Letters Patent of foreign countries which may be granted therefrom; and we do hereby authorize and request the Commissioner of Patents and Trademarks to issue any and all United States Letters Patent for the aforesaid inventions and improvements to the said Assignee as the assignee of the entire right, title and interest in and to the same, for the use of the said Assignee, its successors and assigns.

AND, for the consideration aforesaid, we do hereby agree that we and our executors and legal representatives will make, execute and deliver any and all other instruments in writing including any and all further application papers, affidavits, assignments and other documents, and will communicate to said Assignee, its successors and representatives all facts known to us relating to said improvements and the history thereof and will testify in all legal proceedings and generally do all things which may be necessary or desirable more effectually to secure to and vest in said Assignee, its successors or assigns the entire right, title and interest in and to the said improvements, inventions, applications, Letters Patent rights, titles, benefits, privileges and advantages hereby sold, assigned and conveyed, or intended so to be.

AND, furthermore we covenant and agree with said Assignee, its successors and assigns, that no assignment, grant, mortgage, license or other agreement affecting the rights and property herein conveyed has been made to others by me/us and that full right to convey the same as herein expressed is possessed by us.

IN TESTIMONY WHEREOF, I have hereunto set my hand this ____ day of _____, 1998.

Trevor C. McMorris

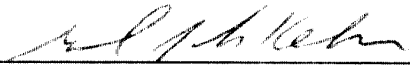
STATE OF _____)
)ss.
COUNTY OF _____)

On this ____ day of _____, 1998 before me personally appeared Trevor C. McMorris to me known and known to me to be the person described in and who executed the foregoing instrument, and he/she duly acknowledged to me that he/she executed the same for the uses and purposes therein set forth.

[SEAL]

Notary Public

IN TESTIMONY WHEREOF, I have hereunto set my hand this 28 day of July, 1998.



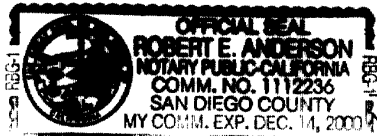
Michael J. Kelner


STATE OF CALIF)

COUNTY OF SAN DIEGO)^{SS.}

On this 28 day of July, 1998 before me personally appeared Michael J. Kelner to me known and known to me to be the person described in and who executed the foregoing instrument, and he/~~she~~ duly acknowledged to me that he/~~she~~ executed the same for the uses and purposes therein set forth.

[SEAL]





Notary Public