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DOCKET: SA998077

US Department of Commerce  
Patent & Trademark Office

09/13/98  
09/21/98

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Raymond Eugene Garcia  
John Richard Paveza

Additional name(s) of conveying  
party(ies) attached \_\_\_Yes XX No

2. Name and address of receiving party(ies):

Name: International Business  
Machines Corporation

Street Address: New Orchard Road  
City: Armonk State: NY ZIP: 10504

Additional name(s) & address(es) attached?  
\_\_\_Yes XX No

3. Nature of conveyance:

XX Assignment

Execution Date: 8/20/98; 8/20/98

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: 8/20/98; 8/20/98

A. Patent Application No.(s)

B. Patent No.(s)

Additional numbers attached? \_\_\_Yes XX No

5. Name and address of party to whom  
correspondence concerning document  
should be mailed:

Name: IBM Corporation  
Internal  
Address: Intellectual Property Law  
Street  
Address: 5600 Cottle Road (L2PA/0142)  
San Jose, CA 95193

6. Total Number of applications and patents  
involved: One

7. Total fee (37 CFR 3.41).....\$ 40.00  
\_\_\_ Enclosed  
XX Authorized to be charged to  
deposit account

8. Deposit Account Number: 09-0466

08/20/1998 TTMH11 00000112 090466 09137670

01 FC:581 40.00 CH

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Morgan A. Krall

Date

Total number of pages including cover sheet, attachments, and document: / 2 /

PATENT  
REEL: 9400 FRAME: 0596

ASSIGNMENT

Docket No. SA998077

Whereas, we

INVENTOR  
AND CITY

(1) Raymond Eugene Garcia  
County of Santa Clara  
and (2) John Richard Paveza  
County of Santa Clara

of San Jose,  
and State of California ,  
of Morgan Hill,  
and State of California,

have invented certain improvements in

TITLE

Method and System for Taking Advantage of a Pre-Stage of Data Between a Host Processor and a Memory System

DATES THAT  
INVENTORS  
SIGNED THE  
DECLARATION

and executed, respectively, a United States patent application therefor on

(1) 8/20, 1998, (2) 8/20, 1998.

Whereas, INTERNATIONAL BUSINESS MACHINES CORPORATION, a corporation of New York, having a place of business at Armonk, New York 10504, (hereinafter called IBM), desires to acquire the entire right, title and interest in the said application and invention, and to any United States and foreign patents to be obtained therefor;

Now therefore, for a valuable consideration, receipt whereof is hereby acknowledged, we, the above named, hereby sell, assign, and transfer to IBM, its successors and assigns, the entire right, title and interest in the said application and invention therein disclosed for the United States and foreign countries, and all rights of priority resulting from the filing of said United States application, and we request the Commissioner of Patents to issue any Letters Patent granted upon the inventions set forth in said application to IBM, its successors and assigns; and we hereby agree that IBM may apply for foreign Letters Patent on said invention and we will execute all papers necessary in connection with the United States and foreign applications when called upon to do so by IBM.

Signed and sealed

CITY  
DATE

(1) at San Jose  
on 8/20, 1998,

Raymond Eugene Garcia SIGNATURE  
Raymond Eugene Garcia INVENTOR

CITY  
DATE

and (2) at SAN JOSE  
on 8/20, 1998,

John Richard Paveza SIGNATURE  
John Richard Paveza INVENTOR