

08-27-1998

PATENT

In place of Form PTO-1595

DOCKET NUMBER: RA9-98-034



100803453

REGISTRATION FORM COVER SHEET - PATENTS

To the Assistant Commissioner for Patents: Please record the attached original document(s) or copy thereof.

MRD 8-19-98

1. Name of conveying party(ies):

Robert William Kruppa

2. Name and address of receiving party:

INTERNATIONAL BUSINESS MACHINES CORPORATION
Old Orchard Road
Armonk, New York 10504

jc566 U.S. PTO
09/136636
08/19/98

Additional name(s) & address(es) attached?

Yes No

3. Nature of conveyance: XXX Assignment Execution Date: 8/18/98

4. Application or patent number(s):

If this document is being filed together with a new application, the execution date of the application is _____

A. Patent Application No.(s): 09/136636

B. Patent No.(s):

5. Name and address of party to whom correspondence concerning document should be mailed:

John D. Flynn
INTERNATIONAL BUSINESS MACHINES CORP.
IP Legal Dept.
Dept. 972/B656
P. O. Box 12195
Research Triangle Park, NC 27709

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41): \$ 40.00

Authorized to be charged to deposit account

8. Deposit account number: 09-0464

(Duplicate copy of this sheet is attached)

DO NOT USE THIS SPACE

9. Statement and signature:

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

John D. Flynn, Reg. No. 35,137
Name of Person Signing

Signature

8/19/1998
Date

Total number of pages including cover sheet, attachments and document: 2

Mail document(s) to be recorded with required cover sheet information to:
Assistant Commissioner for Patents
Box Assignments
Washington, D. C. 20231

N:fdt.3

PATENT

REEL: 9402 FRAME: 0037

09/136636
0000000 09-0464
08/26/1998 TT0011 40.00 CH
01 FC:501

ASSIGNMENT

Whereas, we,

(1) Robert William Kruppa of Cary,
County of Wake and State of NC,

have invented certain improvements in

AN INTEGRATED MAGNETIC INK CHARACTER RECOGNITION SYSTEM AND METHOD THEREFOR


and executed a United States patent application therefor on the following date(s):

(1) 8/18/98

Whereas, INTERNATIONAL BUSINESS MACHINES CORPORATION, a corporation of New York, having a place of business at Armonk, New York 10504, (hereinafter called IBM), desires to acquire the entire right, title and interest in the application and invention, and to any United States and foreign patents to be obtained therefor;

Now therefore, for a valuable consideration, receipt whereof is hereby acknowledged, we, the above named, hereby acknowledge our prior existing obligation and hereby sell, assign, and transfer to IBM, its successors and assigns, the entire right, title and interest in the application and invention therein disclosed for the United States and foreign countries, and all rights of priority resulting from the filing of the United States application, and we request the Commissioner of Patents to issue any Letters Patent granted upon the invention set forth in the application to IBM, its successors and assigns; and we hereby agree that IBM may apply for foreign Letters Patent on the invention and we will execute all papers necessary in connection with the United States and foreign applications when called upon to do so by IBM.

Signed and sealed:

	<u>LOCATION</u>	<u>DATE</u>	<u>SIGNATURE</u>
(1)	<u>Raleigh, NC</u>	<u>8/18/98</u>	<u></u> Robert William Kruppa