

08-28-1998

2 SHEET



TO THE ASSISTANT COMMISSIONER

100808851

are attached original documents or copy thereof.

1. Name of conveying party(ies): (If multiple assignors, list numerically)

Serotech, Inc.

Additional name(s) of conveying party(ies) attached?

() Yes (X) No

MRD 8-24-98

2. Name and address of receiving party(ies):

Name: KNOBBE, MARTENS, OLSON & BEAR, LLP

Internal Address: Sixteenth Floor

Street Address: 620 Newport Center Drive

City: Newport Beach State: CA ZIP: 92660

Additional name(s) of receiving party(ies) attached?

() Yes (X) No

3. Nature of conveyance:

- () Assignment
() Merger
() Security Agreement
() Change of Name
(x) Other: Security Interest

Execution Date: (If multiple assignors, list execution dates in numerical order corresponding to numbers indicated in 1 above)
August 7, 1998

4. Application number(s) or Patent number(s):

() Application(s) filed herewith Execution Date(s):

() Patent Application No.:

Filing Date:

(X) Patent No.: 5,532,998

Issue Date: July 2, 1996

Additional numbers attached? () Yes (X) No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: James B. Bear

KNOBBE, MARTENS, OLSON & BEAR, LLP

Customer No. 20,995

Internal Address: Sixteenth Floor

Street Address: 620 Newport Center Drive

City: Newport Beach State: CA ZIP: 92660

Attorney's Docket No.: WRLDLB.003GEN

7. Total fee (37 CFR 3.41): \$40

(X) Enclosed

(X) Authorized to be charged to deposit account if any additional fees are required, or to credit any overpayment

8. Deposit account number: 11-1410

Please charge this account for any additional fees which may be required, or credit any overpayment to this account.

6. Total number of applications and patents involved: 1

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct, and any attached copy is a true copy of the original document.

James B. Bear

Name of Person Signing

Signature

8/20/98

Date

25,221

Registration No.

Total number of pages including cover sheet, attachments and document: 2

Mail documents to be recorded with required cover sheet information to:

08/27/1998 NGUYEN 00000199 5532998

01 FC:581

40.00 OP

Assistant Commissioner for Patents

Box Assignments

Washington, D.C. 20231

JRC-7036:je
082098

KNOBBE, MARTENS, OLSON & BEAR, LLP
620 NEWPORT CENTER DR. 16TH FLOOR NEWPORT BEACH, CA 92660
(949) 760-0404 FAX (949) 760-9502

PATENT

REEL: 9405 FRAME: 0038

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**FINANCING STATEMENT — FOLLOW INSTRUCTIONS CAREFULLY**

This Financing Statement is presented for filing pursuant to the Uniform Commercial Code and will remain effective, with certain exceptions, for 5 years from date of filing.

A. NAME & TEL. # OF CONTACT AT FILER (optional) Danielle Walsh 949-721-2933	B. FILING OFFICE ACCT. # (optional)
C. RETURN COPY TO: (Name and Mailing Address) <div style="border: 1px solid black; padding: 5px; margin: 10px 0;"> Knobbe, Martens, Olson & Bear, LLP Attn: Danielle Walsh 620 Newport Center Drive, 16th Floor Newport Beach, CA 92660 </div>	
D. OPTIONAL DESIGNATION (if applicable) <input type="checkbox"/> LESSOR/LESSEE <input type="checkbox"/> CONSIGNOR/CONSIGNEE <input type="checkbox"/> NON-UCC FILING	

FILED
SACRAMENTO, CA
AUG 07, 1998 AT 0800

BILL JONES
SECRETARY OF STATE

1. DEBTOR'S EXACT FULL LEGAL NAME - insert only one debtor name (1a or 1b)

1a. ENTITY'S NAME Serotech, Inc.					
OR	1b. INDIVIDUAL'S LAST NAME				
1c. MAILING ADDRESS 3334 E. Coast Highway #320		CITY Corona del Mar	STATE CA	COUNTRY US	POSTAL CODE 92625
1d. S.S. OR TAX I.D.#	OPTIONAL ADD'L INFO RE ENTITY/DEBTOR	1e. TYPE OF ENTITY	1f. ENTITY'S STATE OR COUNTRY OF ORGANIZATION		
			1g. ENTITY'S ORGANIZATIONAL I.D.#, if any <input type="checkbox"/> NONE		

2. ADDITIONAL DEBTOR'S EXACT FULL LEGAL NAME - insert only one debtor name (2a or 2b)

2a. ENTITY'S NAME					
OR	2b. INDIVIDUAL'S LAST NAME				
2c. MAILING ADDRESS		CITY	STATE	COUNTRY	POSTAL CODE
2d. S.S. OR TAX I.D.#		OPTIONAL ADD'L INFO RE ENTITY/DEBTOR	2e. TYPE OF ENTITY	2f. ENTITY'S STATE OR COUNTRY OF ORGANIZATION	
			2g. ENTITY'S ORGANIZATIONAL I.D.#, if any <input type="checkbox"/> NONE		

3. SECURED PARTY'S (ORIGINAL S/P OR ITS TOTAL ASSIGNEE) EXACT FULL LEGAL NAME - insert only one secured party name (3a or 3b)

3a. ENTITY'S NAME Knobbe, Martens, Olson & Bear, LLP					
OR	3b. INDIVIDUAL'S LAST NAME				
3c. MAILING ADDRESS 620 Newport Center Drive, 16th Floor Newport Beach		CITY	STATE CA	COUNTRY US	POSTAL CODE 92660

4. This FINANCING STATEMENT covers the following types or items of property:

Issued Patent: Patent #5,532,998 Issued 7/2/96
Optical Spectroscopic Information Storage

Pending Patent: Australian Patent Number #AU 9649212
Optical Spectroscopic Information Storage

5. CHECK <input checked="" type="checkbox"/> This FINANCING STATEMENT is signed by the Secured Party instead of the Debtor to perfect a security interest	7. If filed in Florida (check one)
BOX (a) in collateral already subject to a security interest in another jurisdiction when it was brought into this state, or when the debtor's location was changed to this state, or (b) in accordance with other statutory provisions [additional data may be required]	<input type="checkbox"/> Documentary stamp tax paid <input type="checkbox"/> Documentary stamp tax not applicable
6. REQUIRED SIGNATURE(S) 	8. <input type="checkbox"/> This FINANCING STATEMENT is to be filed [for record] (or recorded) in the REAL ESTATE RECORDS Attach Addendum (if applicable)
	9. Check to REQUEST SEARCH CERTIFICATE(S) on Debtor(s) (ADDITIONAL FEE) (optional) <input type="checkbox"/> All Debtors <input type="checkbox"/> Debtor 1 <input type="checkbox"/> Debtor 2