

D \$

08-28-1998

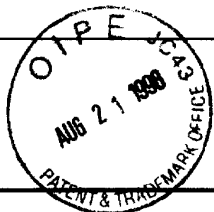
Express Mail No.: TB 686 626 259 US



100809455

Attorney Docket Number

1830-719-999



TO THE HONORABLE COMMISSIONER OF PATENTS AND TRADEMARKS  
Box Assignment  
Washington, DC 20231

Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

**Joseph G. McCarthy and  
John S. Crombie**

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

2. Name and address of receiving party(ies):

Name: **New York University**

Address: **70 Washington Square South**

**New York, NY 10012**

3. Nature of conveyance:

☒ Assignment

☐ Merger

☐ Security Agreement

☐ Change of Name

☐ Other

Name: **Howmedica, Inc.**

Address: **235 East 42nd Street**

**New York, NY 10017**

Execution Date: **August 18, 1998 and  
May 19, 1998**

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: \_\_\_\_\_

A. Patent Application No.(s) **08/546,208**

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

**PENNIE & EDMONDS LLP  
1155 Avenue of the Americas  
New York, NY 10036**

6. Number of applications

and patents involved: **One**

7. Total fee (37 CFR 3.41):.....\$ **40.00**

Please charge to the deposit account listed in Section 8.

8. Deposit account number:

**16-1150**

DO NOT USE THIS SPACE

9. Statement and signature.

*To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.*

**Gidon D. Stern**

**27,469**

Name of Person Signing

Reg. No.

Signature

**August 21, 1998**

Date

Total number of pages including cover sheet:

**Three**

Mail documents to be recorded with required cover sheet information to:

Commissioner of Patents & Trademarks, Box Assignment

Washington, D.C. 20231

08/26/1998 TTOM11 00000247 161150 00546208

01 FC:581

40.00 CH

PATENT  
REEL: 9406 FRAME: 0339

PENY4-734893.1

## ASSIGNMENT

For valuable consideration, the receipt and adequacy of which is hereby acknowledged, I, Joseph G. McCarthy, citizen of the United States of America residing at 150 Brayton Street, Englewood, New Jersey 07631, a co-inventor with John S. Crombie in an application for Letters Patent of the United States, filed the 20th day of October, 1995, entitled BONE ADJUSTING DEVICE, hereby sell, assign and transfer unto NEW YORK UNIVERSITY, a corporation organized and existing under the laws of the State of New York and having a place of business at 70 Washington Square South, New York, New York 10012, my entire right, title and interest in and to said application for Letter Patent, and my entire right, title, and interest in and to all the inventions, whether joint or sole, disclosed in said application for Letters Patent, and in and to all applications filed for Letters Patent for any of said inventions in any country, and in and to all patents granted on the foregoing applications, and I hereby agree, whenever requested to communicate to said assignees, their successors and assigns, any facts known to me respecting said inventions, to testify in any legal proceeding, and to execute all applications or papers necessary to obtain and maintain proper patent protection on said inventions in all countries.

Signed and witnessed this 18<sup>th</sup> day of August, 1998 at New York, NY  
(City, State)

Joseph G. McCarthy  
Joseph G. McCarthy

In the presence of:

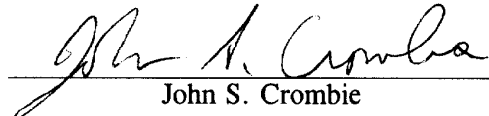
Marilyn Ostraw  
(Witness as to Joseph G. McCarthy)

MARILYN OSTRAW  
(Typed or Printed Name of Witness)

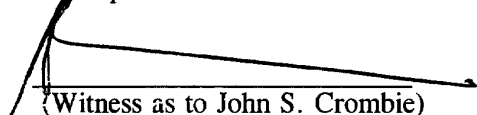
## ASSIGNMENT

For valuable consideration, the receipt and adequacy of which is hereby acknowledged, I, John S. Crombie, citizen of the United States of America residing at 29 Walnut Terrace, East Hanover, New Jersey 07936, a co-inventor with Joseph G. McCarthy in an application for Letters Patent of the United States, filed the 20th day of October, 1995, entitled BONE ADJUSTING DEVICE, hereby sell, assign and transfer unto **HOWMEDICA INC.**, a corporation organized and existing under the laws of the State of Delaware and having a place of business at 235 East 42nd Street, New York, New York, my entire right, title and interest in and to said application for Letters Patent, and my entire right, title, and interest in and to all the inventions, whether joint or sole, disclosed in said application for Letters Patent, and in and to all applications filed for Letters Patent for any of said inventions in any country, and in and to all patents granted on the foregoing applications, and I hereby agree, whenever requested to communicate to said assignees, their successors and assigns, any facts known to me respecting said inventions, to testify in any legal proceeding, and to execute all applications or papers necessary to obtain and maintain proper patent protection on said inventions in all countries.

Signed and witnessed this 19 day of MAY, 1998 at RUTHERFORD, N.J.  
(City, State)

  
John S. Crombie

In the presence of:

  
(Witness as to John S. Crombie)

Mary Sherban

(Typed or Printed Name of Witness)

**MARY SHERBAN**  
**NOTARY PUBLIC OF NEW JERSEY**  
**MY COMMISSION EXPIRES AUG. 29, 2000**