

Form PTO-1595 (Modified)

09-01-1998

U.S. DEPARTMENT OF COMMERCE
Patent and Trademark OfficeMRD
8-20-98

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To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party:

Jeffrey A. Schuster
Christopher J. FlaimAdditional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

2. Name and address of receiving party(ies):

Name:

Aradigm Corporation

Internal Address:

Street Address: 26219 Eden Landing Road

City: Hayward State: CA ZIP: 94545

Additional name(s) & address(es) attached? ☐ Yes ☒ No

3. Nature of conveyance:

☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other:

Execution Date: July 24, 1998; July 29, 1998

4. Application numbers(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No.(s)

09/107,306

B. Patent No.(s)

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Karl Bozicevic
Street Address: BOZICEVIC & REED LLP
285 Hamilton Avenue, Suite 200
Palo Alto, CA 94301

Telephone: (650) 327-3400

Fax: (650) 327-3231

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41): \$40.00

☒ Enclosed☐ Authorized to be charged to deposit account

8. Deposit Account No. 18-0580

The Commissioner is authorized to charge any underpayment or credit any overpayment to Deposit Account No. 18-0580 with regards to this correspondence.

DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Karl Bozicevic, Reg. No. 28,807

Name of Person Signing

Signature

Date

Total number of pages including cover sheet 3

ASSIGNMENT

JOINT

THIS ASSIGNMENT, by Jeffrey A. Schuster and Christopher J. Flaim (hereinafter referred to as the assignors), residing at 7188 Sayre, Oakland, California 94611; and 3708 Almond Blossom Court, Modesto, California 95356, respectively, witnesseth:

WHEREAS, the said assignors have invented certain new and useful improvements in **TEMPERATURE CONTROLLING DEVICE FOR AEROSOL DRUG DELIVERY** set forth in an application for Letters Patent of the United States, bearing Serial No. 09/107,306 and filed on June 30, 1998; and

WHEREAS, Aradigm Corporation having its principal place of business at 26219 Eden Landing Road, Hayward, California 94545 (hereinafter referred to as the assignee) is desirous of acquiring the entire right, title and interest in and to said inventions and said application for Letters Patent of the United States, and in and to any Letters Patent or Patents, United States or foreign, to be obtained therefor and thereon:

NOW THEREFORE, in consideration of One Dollar (\$1.00) and other good and sufficient considerations, the receipt of which is hereby acknowledged, the said assignors have sold, assigned, transferred and set over, and by these presents do sell, assign, transfer and set over, unto the assignee, its successors, legal representatives and assigns, the entire right, title and interest in and to the above-mentioned inventions, application for Letters Patent, and any and all Letters Patent or Patents in the United States of America and all foreign countries which may be granted therefor and thereon, and in and to any and all divisions, continuations, and continuations-in-part of said application, or reissues or extensions of said Letters Patent or Patents, and all rights under the International Convention for the Protection of Industrial Property, the same to be held and enjoyed by the said assignee, for its own use and behoof and the use and behoof of its successors, legal representatives and assigns, to the full end of the term or terms for which Letters Patent or Patents may be granted, as fully and entirely as the same would have been held and enjoyed by the assignors, had this sale and assignment not been made.

AND for the same consideration, the said assignors hereby covenant and agree to and with the said assignee, its successors, legal representatives and assigns, that, at the time of execution and delivery of these presents, the said assignors are the sole and lawful owners of the entire right, title and interest in and to the said inventions and the application for Letters Patent above-mentioned, and that the same are unencumbered and that the said assignors have good and full right and lawful authority to sell and convey the same in the manner herein set forth.

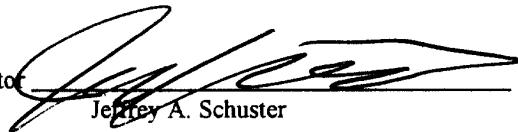
AND for the same consideration, the said assignors hereby covenant and agree to and with the said assignee, its successors, legal representatives and assigns, that the said assignors will, whenever counsel of the said assignee, or the counsel of its successors, legal representatives and assigns, shall advise that any proceeding

in connection with said inventions, or said application for Letters Patent, or any proceeding in connection with Letters Patent for said inventions in any country, including interference proceedings, is lawful and desirable, or that any division, continuation or continuation-in-part of any application for Letters Patent or any reissue or extension of any Letters Patent, to be obtained thereon, is lawful and desirable, sign all papers and documents, take all lawful oaths, and do all acts necessary or required to be done for the procurement, maintenance, enforcement and defense of Letters Patent for said inventions, without charge to said assignee, its successors, legal representatives and assigns, but at the cost and expense of the said assignee, its successors, legal representatives and assigns.

AND the said assignors hereby request the Commissioner of Patents to issue said Letters Patent of the United States to the said assignee as the assignee of said inventions and the Letters Patent to be issued thereon for the sole use and behoof of the said assignee, its successors, legal representatives and assigns.

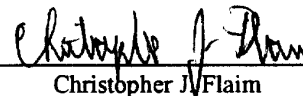
Date 24 JULY 98

Name of Inventor


Jeffrey A. Schuster

Date 29 July 98

Name of Inventor


Christopher J. Flaim

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