

MRO 7-27-98

FORM PTO-1508
(Rev. 6-93)

OMB No. 0651-0011 (exp. 4/94)

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RE

09-01-1998

SHEET

U.S. DEPARTMENT OF COMMERCE
Patent and Trademark Office

To the Honorable Commissioner of F

100804962

Attached original documents or copy thereof.

1. Name of conveying party(ies):

Atul Kumar Srivastava;
James William Sulhoff; Yan Sun and
John Lehrer ZyskindAdditional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

2. Name and address of receiving party(ies)

Name: Lucent Technologies Inc.

Internal Address: _____

Street Address: 600 Mountain Avenue

P. O. Box 636

City: Murray Hill State: NJ ZIP: 0636

07974-

Additional name(s) & address(es) attached? ☐ Yes ☒ No

3. Nature of conveyance:

☒ Assignment☐ Merger☐ Security Agreement☐ Change of Name☐ Other _____Execution Date: July 13, 1998; July 13, 1998;
July 13, 1998 and June 12, 1998

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: _____

A. Patent Application No.(s)

09/079938 ✓

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Docket Administrator

Internal Address: Room 3C-512

Street Address: 600 Mountain Avenue

P. O. Box 636

City: Murray Hill State: NJ ZIP: 0636

07974-

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41).....\$ 40.00

☐ Enclosed☒ Authorized to be charged to deposit account

8. Deposit account number: 12-2325

(Attach duplicate copy of this page if paying by deposit account)

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Jeffery J. Brosemer

Name of Person Signing

Signature

July 23, 1998

Date

Total number of pages including cover sheet, attachments, and document: 6

6

Re: Srivastava 9-14-8-24

Mail documents to be recorded with required cover sheet information to:
Commissioner of Patents & Trademarks, Box Assignments
Washington, D.C. 20231

PATENT

REEL: 9409 FRAME: 0038

ASSIGNMENT AND AGREEMENT

For value received, we, Atul Kumar Srivastava, of Eatontown in the County of Monmouth and State of New Jersey, James William Sulhoff, of Ocean in the County of Monmouth and State of New Jersey, Yan Sun, of Middletown in the County of Monmouth and State of New Jersey, and John Lehrer Zyskind, of Shrewsbury in the County of Monmouth and State of New Jersey, hereby sell, assign and transfer to Lucent Technologies Inc., a corporation of the State of Delaware, having an office at 600 Mountain Avenue, Murray Hill, New Jersey, 07974-0636, U.S.A., and its successors, assigns and legal representatives, the entire right, title and interest, for the United States of America, in and to certain inventions related to **Wide Band Optical Amplifier** described in an application for Letters Patent of the United States, Serial No. 09/079938, filed June 2, 1997, and all the rights and privileges in said application and under any and all Letters Patent that may be granted in the United States for said inventions; and we also concurrently hereby sell, assign and transfer to Lucent Technologies Inc. the entire right, title and interest in and to said inventions for all countries foreign to the United States, including all rights of priority arising from the application aforesaid, and all the rights and privileges under any and all forms of protection, including Letters Patent, that may be granted in said countries foreign to the United States for said inventions.

We authorize Lucent Technologies Inc. to make application for such protection in its own name and maintain such protection in any and all countries foreign to the United States, and to invoke and claim for any application for patent or other form of protection for said inventions, without further authorization from us, any and all benefits, including the right of priority provided by any and all treaties, conventions, or agreements.

We hereby consent that a copy of this assignment shall be deemed a full legal and formal equivalent of any document which may be required in any country in proof of the right of Lucent Technologies Inc. to apply for patent or other form of protection for said inventions and to claim the aforesaid benefit of the right of priority.

We request that any and all patents for said inventions be issued to Lucent Technologies Inc. in the United States and in all countries foreign to the United States, or to such nominees as Lucent Technologies Inc. may designate.

A.K. Srivastava 9-14-8-24

We agree that, when requested, we shall, without charge to Lucent Technologies Inc. but at its expense, sign all papers, and do all acts which may be necessary, desirable or convenient in connection with said applications, patents, or other forms of protection.

Atul Kumar Srivastava

Atul Kumar Srivastava

Date: 7/13/98

United States of America)

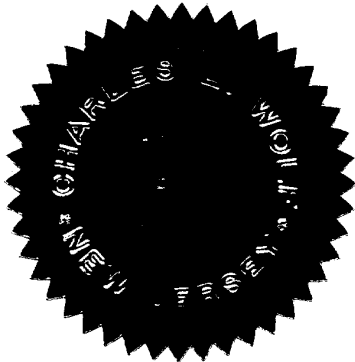
State of NEW JERSEY) ss.:

County of MONMOUTH)

On this 13TH day of JULY, 1998, before me personally came Atul Kumar Srivastava, to me known to be the individual described in and who executed the foregoing instrument, and acknowledged execution of the same.

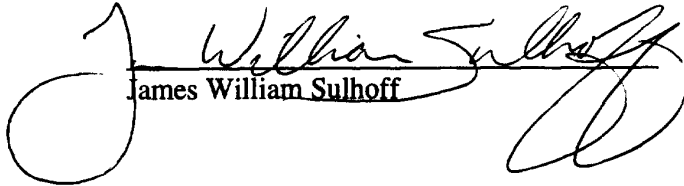
Charles L. Wolf

Notary Public



CHARLES L. WOLF
Notary Public of New Jersey
No. 2058705
My Commission Expires Apr. 25, 1999

A.K. Srivastava 9-14-8-24


James William Sulhoff

Date: 7/13/98

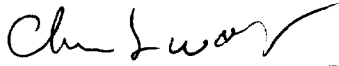
United States of America)

State of NEW JERSEY) ss.:

County of MONMOUTH)

On this 13TH day of JULY, 1998, before me personally came James William Sulhoff, to me known to be the individual described in and who executed the foregoing instrument, and acknowledged execution of the same.

CHARLES L. WOLF
Notary Public of New Jersey
No. 2086705
My Commission Expires Apr. 25 1999


Notary Public



A.K. Srivastava 9-14-8-24

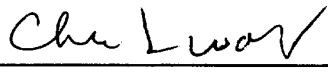


Yan Sun

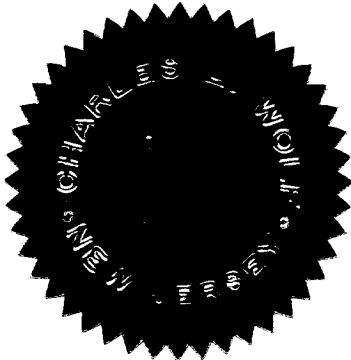
Date: 7/13/98

United States of America)
State of NEW JERSEY) ss.:
County of MONMOUTH)

On this 13th day of JULY, 19 98, before me
personally came Yan Sun, to me known to be the individual described in and who execut-
ed the foregoing instrument, and acknowledged execution of the same.

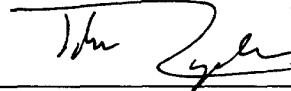


Notary Public



CHARLES L. WOLF
Notary Public of New Jersey
No. 2059705
My Commission Expires Apr. 25 19 99

A.K. Srivastava 9-14-8-24



John Lehrer Zyskind

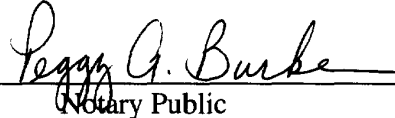
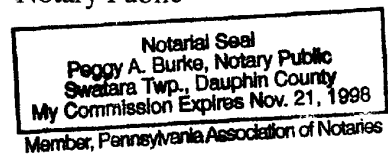
Date: 6/12/98

United States of America)

State of Pennsylvania) ss.:

County of Dauphin)

On this 12th day of June, 1998, before me personally came John Lehrer Zyskind, to me known to be the individual described in and who executed the foregoing instrument, and acknowledged execution of the same.


Notary Public

Lucent Technologies Inc.
600 Mountain Avenue (Room 3C-512)
P. O. Box 636
Murray Hill, New Jersey 07974-0636

RECORDATION FORM COVER SHEET
PATENTS ONLYU.S. DEPARTMENT OF COMMERCE
Patent and Trademark Office

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To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

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P. O. Box 636

City: Murray Hill State: NJ ZIP: 06366. Total number of applications and patents involved: 17. Total fee (37 CFR 3.41).....\$ 40.00☐ Enclosed☒ Authorized to be charged to deposit account8. Deposit account number: 12-2325

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Washington, D.C. 20231

PATENT

RECORDED: 07/27/1998

REEL: 9409 FRAME: 0044