

FORM PTO-1595 (Modified)
(Rev. 6-93)
OMB No. 0651-0011 (exp. 4/94)
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To the Honorable Commissioner of Patents

100805679

Attached original documents or copy thereof.

1. Name of conveying party(ies):

Enrique J. Lavernia

4-6-98

Additional names(s) of conveying party(ies) ☐ Yes ☒ No

3. Nature of conveyance:

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other _____

Execution Date: December 11, 1997

2. Name and address of receiving party(ies):

Name: The Regents of the University of Calif.

Internal Address: _____

Street Address: 300 Lakeside Drive, 22nd Floor

City: Oakland State: CA ZIP: 94612

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or registration numbers(s):

If this document is being filed together with a new application, the execution date of the application is: December 10, 1997

A. Patent Application No.(s)

08/988,881

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Daniel L. Dawes

Internal Address: _____

Street Address: 5252 Kenilworth Drive

City: Huntington Beach State: CA ZIP: 92649

6. Total number of applications and patents involved:

1

7. Total fee (37 CFR 3.41):.....\$ 40.00

☒ Enclosed☐ Authorized to be charged to deposit account

8. Deposit account number:

04-0259

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Lori M. Tillman

Name of Person Signing

Signature

August 18, 1998

Date

Total number of pages including cover sheet, attachments, and document:

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04-27-1998



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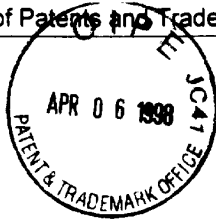
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To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):
Enrique J. Lavernia



MRD 4-6-98

Additional names(s) of conveying party(ies) ☐ Yes ☒ No

2. Name and address of receiving party(ies):

Name: **The Regents of the University of California**

Internal Address: _____

Street Address: **300 Lakeside Drive, 22nd Floor**

City: **Oakland** State: **CA** ZIP: **94612**

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Lori M. Tillman

Name of Person Signing

Lori M. Tillman

Signature

December 11, 1997

Date

Total number of pages including cover sheet, attachments, and document: **2**

ASSIGNMENT

UC Case No 96-370-2

For good and valuable consideration, the receipt of which is hereby acknowledged, ASSIGNOR(S),

1. Enrique Lavernia

hereby sells, assigns and transfers to ASSIGNEE, The Regents of the University of California, a California Corporation, having its statewide administrative offices located at 300 Lakeside Drive, 22nd Floor, Oakland, California 94612-3550, and the successors, assigns and legal representatives of the ASSIGNEE all of its right, title and interest for the United States and its territorial possessions and in all foreign countries in and to, any and all improvements which are disclosed in the invention entitled:

Method For Thermal Spraying Of Nanocrystalline Coatings And Materials For the Same

and which is found in

- (a) ☐ U.S. provisional application filed herewith and listing the above named persons as inventors
(b) ☒ U.S. patent application filed herewith and listing the above named persons as inventors
(c) ☐ U.S. application serial no. _____, filed on _____
(d) ☐ U.S. Patent No.: _____, issued _____

and any legal equivalent thereof in a foreign country, including the right to claim priority and, in and to, all Letters Patent to be obtained for said invention by the above application or any continuation, division, continuation-in-part, extension, conversion to 35 USC 111(a) or substitute thereof, and any reissue, reexamination or extension of said Letters Patent and all under all International Conventions for the Protection of Industrial Property,

ASSIGNOR(S) hereby covenants that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment;

ASSIGNOR(S) further covenants that the ASSIGNEE will, upon its request, be provided promptly with all pertinent facts and documents relating to said invention and said Letters Patent and legal equivalents as may be known and accessible to ASSIGNOR and will testify as to the same in any interference, litigation, or proceeding relating thereto and will promptly execute and deliver to ASSIGNEE or its legal representative any and all papers, instruments or affidavits required to apply for, obtain, maintain, issue or enforce said application, said invention and said Letters Patent and said equivalents thereof which may be necessary or desirable to carry out the purposes thereof. An attorney of record is authorized and requested by the execution of this assignment to insert into this assignment the filing date and serial number of said application when officially known.

AND the ASSIGNOR(S) requests the Commissioner of Patents and Trademarks to issue said Letters Patent of the United States and any reissue or extension thereof to the ASSIGNEE, The Regents of the University of California.

executed this

11 day of December 19 97

Signature of Inventor(s)

Enrique Lavernia

PATENT

REEL: 9410 FRAME: 0736



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Patent and Trademark Office**

ASSISTANT SECRETARY AND COMMISSIONER
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JULY 21, 1998

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DANIEL L. DAWES
5252 KENILWORTH DRIVE
HUNTINGTON BEACH, CA 92649



100695356A

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RECORDED: 04/06/1998

PATENT
REEL: 9410 FRAME: 0737