

09-02-1998

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U.S. DEPARTMENT OF COMMERCE  
Patent and Trademark Office

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To the Honorable Commissioner of P

100805789

ie attached original documents or copy thereof.

1. Name of conveying party(ies):

JANE BILECKY  
LARS ERIK ESKILDSEN  
PER BANG HANSEN  
CLIFFORD HEADLEY & WILLIAM ALFRED REED

8.27.98

Additional names(s) of conveying party(ies)

☐ Yes ☒ No

3. Nature of conveyance:

- ☒ Assignment ☐ Merger  
☐ Security Agreement ☐ Change of Name  
☐ Other \_\_\_\_\_

Execution Date: February 4, 5 & 12, 1997

2. Name and address of receiving party(ies):

Name: LUCENT TECHNOLOGIES INC.

Internal Address: \_\_\_\_\_

Street Address: 600 MOUNTAIN AVENUE

P.O. BOX 636

City: MURRAY HILL State: NJ ZIP: 07974

Additional name(s) & address(es)

☐ Yes ☒ No

4. Application number(s) or registration numbers(s):

If this document is being filed together with a new application, the execution date of the application is: \_\_\_\_\_

A. Patent Application No.(s)

08/741,495

B. Patent No.(s)

Additional numbers

☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: DOCKET ADMINISTRATOR

Internal Address: 3C-512

Street Address: 600 MOUNTAIN AVENUE

P.O. BOX 636

City: MURRAY HILL State: NJ ZIP: 07974

6. Total number of applications and patents involved:

1

7. Total fee (37 CFR 3.41):.....\$ 40.00

☐ Enclosed

☒ Authorized to be charged to deposit account

8. Deposit account number:

12-2325

(Attach duplicate copy of this page if paying by deposit account)

DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

GLEN E. BOOKS

Name of Person Signing

Signature

FEBRUARY 13, 1997

Date

Total number of pages including cover sheet, attachments, and

7

PATENT

REEL: 9411 FRAME: 0399

Clayton 4-5-9-1-7

## ASSIGNMENT AND AGREEMENT

For value received, we, Jane Bilecky, of Suwanee in the County of Gwinnett and State of Georgia, Lars Erik Eskildsen, of Fair Haven, in the County of Monmouth and State of New Jersey, Per Bang Hansen, of Bradley Beach in the County of Monmouth and State of New Jersey, Clifford Headley of North Plainfield in the County of Union and State of New Jersey, and William Alfred Reed, of Summit, in the County of Union and State of New Jersey hereby sell, assign and transfer to Lucent Technologies Inc., a corporation of the State of Delaware, having an office at 600 Mountain Avenue, P.O. Box 636, Murray Hill, New Jersey 07974-0636 U.S.A., and its successors, assigns and legal representatives, the entire right, title and interest, for the United States of America, in and to certain inventions related to OPTICAL FIBER CROSS CONNECT WITH ACTIVE ROUTING FOR WAVELENGTH MULTIPLEXING described in an application for Letters Patent of the United States, which application is being executed by me on the date indicated below, and all the rights and privileges in said application and under any and all Letters Patent that may be granted in the United States for said inventions; and we also concurrently hereby sell, assign and transfer to Lucent Technologies Inc. the entire right, title and interest in and to said inventions for all countries foreign to the United States, including all rights of priority arising from the application aforesaid, and all the rights and privileges under any and all forms of protection, including Letters Patent, that may be granted in said countries foreign to the United States for said inventions.

We authorize Lucent Technologies Inc. to make application for such protection in its own name and maintain such protection in any and all countries foreign to the United States, and to invoke and claim for any application for patent or other form of protection for said inventions, without further authorization from us, any and all benefits, including the right of priority provided by any and all treaties, conventions, or agreements.

We hereby consent that a copy of this assignment shall be deemed a full legal and formal equivalent of any document which may be required in any country in proof of the right of Lucent Technologies Inc. to apply for patent or other form of protection for said inventions and to claim the aforesaid benefit of the right of priority.

We request that any and all patents for said inventions be issued to Lucent Technologies Inc. in the United States and in all countries foreign to the United States, or to such nominees as Lucent Technologies Inc. may designate.

Clayton 4-5-9-1-7

We agree that, when requested, we shall, without charge to Lucent Technologies Inc. but at its expense, sign all papers, and do all acts which may be necessary, desirable or convenient in connection with said applications, patents, or other forms of protection.

Jane Bilecky Clayton  
Jane Bilecky Clayton

Date: 2/12/97

United States of America )

State of GEORGIA ) ss.:

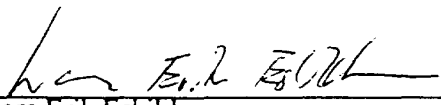
County of GWINNETT )

On this 12<sup>th</sup> day of February, 1997, before me personally came JANE BILECKY CLAYTON, to me known to be the individual described in and who executed the foregoing instrument, and acknowledged execution of the same.

Judy L. Dierker  
Notary Public

My Commission Expires May 4, 1998

Clayton 4-5-9-1-7

  
Lars Erik Eskildsen

Date: 2/5/97

United States of America )

State of New Jersey ) ss.:

County of Monmouth )

On this 5<sup>th</sup> day of February, 19 97, before me personally came LARS ERIK ESKILDSEN, to me known to be the individual described in and who executed the foregoing instrument, and acknowledged execution of the same.

  
Notary Public

Patricia L. Giebler  
My Commission Expires  
03-19-2001

Clayton 4-5-9-1-7

Per B. Hansen  
Per Bang Hansen

Date: 2/5.97

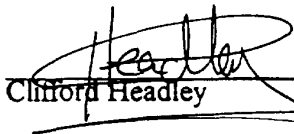
United States of America     )  
State of New Jersey     ) ss.:  
County of Monmouth     )

On this 5<sup>th</sup> day of February, 19 97, before me  
personally came PER BANG HANSEN, to me known to be the individual described in  
and who executed the foregoing instrument, and acknowledged execution of the same.

Patricia L. Giebler  
Notary Public

Patricia L. Giebler  
My Commission Expires  
03-19-2001

Clayton 4-5-9-1-7

  
Clifford Headley

Date: 2/4/97

United States of America )

State of New Jersey ) ss.:

County of Union )

On this 4th day of February, 1997, before me personally came CLIFFORD HEADLEY, to me known to be the individual described in and who executed the foregoing instrument, and acknowledged execution of the same.

  
Notary Public

NANCY C. MARCOVICI  
NOTARY PUBLIC OF NEW JERSEY, 1993  
Registered in Union County  
My Commission Expires July 16, 2001

Clayton 4-5-9-1-7

William Alfred Reed  
William Alfred Reed

Date: Feb. 4, 1997

United States of America )

State of New Jersey ) ss.:

County of Union )

On this 4th day of February, 1997, before me personally came WILLIAM ALFRED REED to me known to be the individual described in and who executed the foregoing instrument, and acknowledged execution of the same.

Nancy C. Marcovici  
Notary Public

Lucent Technologies Inc.  
600 Mountain Avenue (Room 3C-512)  
P.O. Box 636  
Murray Hill, New Jersey 07974-0636

NANCY C. MARCOVICI  
NOTARY PUBLIC OF NEW JERSEY, USA  
Registered in Union County  
My Commission Expires July 16, 2001