

09-02-1998

Atty Ref/Docket No.: 120.013US1



To the Honorable Commissioner of Patents and Trademarks

100805292

s or copy thereof.

1. Name of conveying party(ies):

Christopher W. Bratteli, Jay N. Cohn, Stanley M. Finkelstein and
Dennis J. Morgan

2. Name and address of receiving party(ies):

Name: Hypertension Diagnostics, Inc.

Additional name(s) of conveying party(ies) attached? [] Yes [X] No

3. Nature of conveyance:

[X] Assignment

[] Merger

[] Security Agreement

[] Change of Name

[] Other

Street Address: 2915 Waters Road
Suite 108

City: Eagan State: MN ZIP: 55121-1562

Additional name(s) & address(es) attached? [] Yes [X] No

Execution Date: May 4, 1998 and April 30, 1998

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: _____

A. Patent Application No.(s)

B. Patent No.(s)

Serial No. 09/045,420, filed March 20, 1998

Additional numbers attached? [] Yes [X] No

5. Name and address of party to whom correspondence
concerning document should be mailed:

Name: Charles A. Lemaire

Address: Schwegman, Lundberg, Woessner & Kluth, P.A.
P.O. Box 2938
Minneapolis, MN 55402

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41): \$ 40.00 E

[] Enclosed

[X] Authorized to be charged to deposit account

8. Please charge any additional fees or credit any overpayments to our
Deposit account number: 19-0743

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Charles A. Lemaire/Reg. No. 36,198

Name of Person Signing

Charles A. Lemaire
Signature

19 August 1998
Date

Total number of pages including cover sheet: 5

OMB No. 0651-0011 (exp. 4/94)

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Mail documents to be recorded with required cover sheet information to:

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Box Assignments
Washington, D.C. 20231

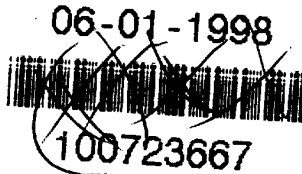
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PATENT
REEL: 9411 FRAME: 0642



IN THE UNITED STATES PATENT & TRADEMARK OFFICE

MRO 5-22-98



70070V

09/045420
03/20/98

Applicant: Christopher W. Bratteli et al.

Title: **APPARATUS AND METHOD FOR BLOOD PRESSURE PULSE WAVEFORM CONTOUR ANALYSIS**

Docket No.: 120.013US1

Filed: March 20, 1998

Examiner:

Serial No.: 09/045,420

Due Date: June 27, 1998

Group Art Unit: 3736

Attn: Box Missing Parts
Assistant Commissioner for Patents
Washington, D.C. 20231

We are transmitting herewith the attached:

- ☒ An Assignment of the invention to Hypertension Diagnostics, Inc. (4 pgs.) and Recordation Form C Sheet (1 pg.).
- ☒ A verified statement to establish small entity status under 37 C.F.R. 1.9 and 1.27.
- ☒ A signed Combined Declaration and Power of Attorney (3 pgs.).
- ☒ A check in the amount of \$65.00 to cover the Missing Parts Fee.
- ☒ A check in the amount of \$40.00 to cover the Assignment Recording Fee.
- ☒ Form PTO-1533 (1pg.)
- ☒ Communication Re: Missing Parts (1 pg.)
- ☒ A return postcard.
- ☒ Communication re: Request for Refund (1 pg.) .

Please charge any additional required fees or credit overpayment to Deposit Account No. 19-0743.

CERTIFICATE UNDER 37 CFR 1.8: The undersigned hereby certifies that this Transmittal Letter and the paper, as described above, are being deposited in the United States Postal Service as first class mail, in an envelope addressed to: Attn: Box Missing Parts, Assistant Commissioner for Patents, Washington, D.C. 20231, on this 20 day of May, 1998.

SCHWEGMAN, LUNDBERG, WOESSNER & KLUTZKE, A.
P.O. Box 2938, Minneapolis, MN 55402 (612-373-6900)

By: Charles A. Lemaire
Name: Charles A. Lemaire
Reg. No. 36,198
CAL:CMG:mjm

The PTO did not receive the following listed item(s) Recordation
Cover letter of assignment

05/29/1998 MFL0YD 00000023 09045420

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40.00 00

ASSIGNMENT

WHEREAS, WE, Christopher W. Bratteli, residing at 245 Stoneybrook Way N.E., Fridley, MN 55432, and Jay N. Cohn, residing at 4848 Russell Avenue S., Minneapolis, MN 55410, and Stanley M. Finkelstein, residing at 2524 Aquila Avenue South, St. Louis Park, MN 55426, and Dennis J. Morgan, residing at 3501 Xenia Ave. N., Crystal, MN 55422, made certain new and useful inventions and improvements for which We filed an application for Letters Patent of the United States on March 20, 1998, which application was assigned U.S. patent application serial number 09/045,420, and is entitled METHOD AND APPARATUS FOR BLOOD PRESSURE PULSE WAVEFORM CONTOUR ANALYSIS.

AND WHEREAS, Hypertension Diagnostics, Inc., a corporation organized and existing under and by virtue of the laws of the State of Minnesota, and having an office and place of business at 2915 Waters Road, Suite 108, Eagan, MN 55121-1562, (hereinafter "Assignee") is desirous of acquiring the entire right, title and interest in and to said inventions, improvements and application and in and to the Letters Patent to be obtained therefor;

NOW, THEREFORE, to all whom it may concern, be it known that for good and valuable consideration, the receipt and sufficiency whereof is hereby acknowledged, we have sold, assigned, and transferred, and by these presents do sell, assign and transfer unto said Assignee, its successors or assigns, the entire right, title and interest for all countries in and to all inventions and improvements disclosed in the aforesaid application, and in and to the said application, all divisions, continuations, or renewals thereof, all Letters Patent which may be granted therefrom, and all reissues or extensions of such patents, and in and to any and all applications which have been or shall be filed in any foreign countries for Letters Patent on the said inventions and improvements, including an assignment of all rights under the provisions of the International Convention, and all Letters Patent of foreign countries which may be granted therefrom; and we do hereby authorize and request the Commissioner of Patents and Trademarks to issue any and all United States Letters Patent for the aforesaid inventions and improvements to the said Assignee as the assignee of the entire right, title and interest in and to the same, for the use of the said Assignee, its successors and assigns.

AND, for the consideration aforesaid, we do hereby agree that we and our executors and legal representatives will make, execute and deliver any and all other instruments in writing including any and all further application papers, affidavits, assignments and other documents, and will communicate to said Assignee, its successors and representatives all facts known to us relating to said improvements and the history thereof and will testify in all legal proceedings and generally do all things which may be necessary or desirable more effectually to secure to and vest in said Assignee, its successors or assigns the entire right, title and interest in and to the said improvements, inventions, applications, Letters Patent, rights, titles, benefits, privileges and advantages hereby sold, assigned and conveyed, or intended so to be.

AND, furthermore we covenant and agree with said Assignee, its successors and assigns, that no assignment, grant, mortgage, license or other agreement affecting the rights and property herein conveyed has been made to others by me/us and that full right to convey the same as herein expressed is possessed by us.

IN TESTIMONY WHEREOF, I have hereunto set my hand this 4 day of May, 1998.

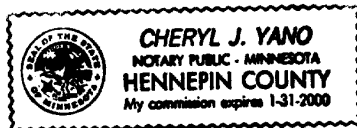

Christopher W. Bratteli

STATE OF Mn)
)ss.
COUNTY OF Hennepin)

On this 4 day of May, 1998 before me personally appeared Christopher W. Bratteli to me known and known to me to be the person described in and who executed the foregoing instrument, and he/she duly acknowledged to me that he/she executed the same for the uses and purposes therein set forth.

[SEAL]


Notary Public



IN TESTIMONY WHEREOF, I have hereunto set my hand this 4 day of May, 1998.



Jay N. Cohn

STATE OF Mn)

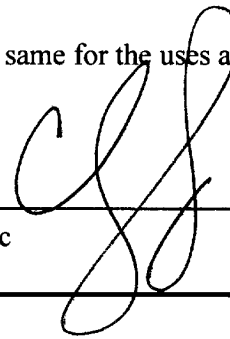
COUNTY OF Hennepin)^{ss.}

On this 4 day of May, 1998 before me personally appeared Jay N. Cohn to me known and known to me to be the person described in and who executed the foregoing instrument, and he/she duly acknowledged to me that he/she executed the same for the uses and purposes therein set forth.

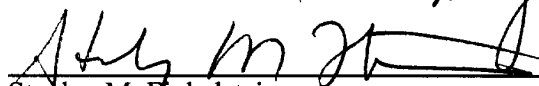
[SEAL]



Notary Public



IN TESTIMONY WHEREOF, I have hereunto set my hand this 4 day of May, 1998.



Stanley M. Finkelstein

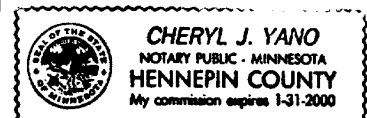
STATE OF Mn)

COUNTY OF Hennepin)^{ss.}

On this 4 day of May, 1998 before me personally appeared Stanley M. Finkelstein to me known and known to me to be the person described in and who executed the foregoing instrument, and he/she duly acknowledged to me that he/she executed the same for the uses and purposes therein set forth.

[SEAL]

Notary Public



IN TESTIMONY WHEREOF, I have hereunto set my hand this 30 day of April, 1998.

Dennis J. Morgan
Dennis J. Morgan

STATE OF Minnesota,

COUNTY OF Ramsey)ss.

On this 30 day of April, 1998 before me personally appeared Dennis J. Morgan to
me known and known to me to be the person described in and who executed the foregoing instrument,
and he/she duly acknowledged to me that he/she executed the same for the uses and purposes therein

set forth.
[SEAL] 

Sharon M. Rando
Notary Public