

MRD 8-26-98

FORM PTO-1594
1-31-92

09-08-1998



U.S. Department of Commerce
Patent and Trademark Office
Attorney Docket No. 06484.0020

To the Honorable Commissioner of Patents &
Please record the attached original documents or copy thereof.

100823434

ATTN. BOX ASSIGNMENTS

09/14/98 PTO
09/14/98
08/26/98

1. Name of conveying party(ies):

Ta-Lee YU

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

2. Name and address of receiving party(ies):

Name: WINBOND ELECTRONICS CORPORATION

Internal Address:

Street Address: No. 4, Creation Road III
Science-Based Industrial Park
Hsinchu, Taiwan, R.O.C.

City:

State:

Zip Code:

3. Nature of conveyance:

☒ Assignment ☐ Merger

☐ Security Agreement ☐ Change of Name

☐ Other

Execution Date: August 11, 1998

Additional name(s) & Address(es) attached?

☐ Yes ☒ No

4. Application number(s) or patent number(s): If this document is being filed together with a new application, the execution date of the application: August 11, 1998

A. Patent Application Number(s):

09/140540

B. Patent Number(s):

09/01/1998 PALLEN 00000004 09140540

02 FC:581

40.00 GP

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Mr. Ernest F. Chapman

Internal Address: FINNEGAN, HENDERSON, FARABOW, GARRETT
& DUNNER, L.L.P.

Street Address: 1300 I Street, N.W.

City: Washington

State: DC Zip: 20005-3315

6. Total number of applications and registrations involved:
1

7. Total fee (37 CFR 3.41): \$40

Enclosed (Please charge deficiency to deposit account)

Authorized to be charged to deposit account

8. Deposit account number: 06-0916

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Ernest F. Chapman

August 26, 1998

Signature

Date

Total number of pages including cover sheet, attachments and documents: 2

PATENT

REEL: 9418 FRAME: 0784

SOLE/JOINT INVENTION
(Worldwide Rights)

Attorney Docket No.: 06484.0020

ASSIGNMENT

WHEREAS I, the below named inventor, hereinafter referred to as Assignor, have made an invention entitled: **NON-VOLATILE MEMORY CELL WITH FIELD-ENHANCING FLOATING GATE AND METHOD FOR FORMING THE SAME** for which I executed an application for United States Letters Patent concurrently herewith; and

WHEREAS, Winbond Electronics Corporation, a corporation of Taiwan, the Republic of China, whose post office address is No. 4, Creation Road III, Science-Based Industrial Park, Hsinchu, Taiwan, R.O.C. (hereinafter referred to as Assignee), is desirous of securing the entire right, title, and interest in and to this invention in all countries throughout the world, and in and to the application for United States Letters Patent on this invention and the Letters Patent to be issued upon this application;

NOW THEREFORE, be it known that for and in consideration of the sum of One Dollar (\$1.00) in hand paid and other good and valuable consideration the receipt of which from assignee is hereby acknowledged, I, as assignor, have sold, assigned, transferred, and set over, and do hereby sell, assign, transfer, and set over unto the assignee, its lawful successors and assigns, my entire right, title, and interest in and to this invention and this application, and all divisions, and continuations thereof, and all Letters Patent of the United States which may be granted thereon, and all reissues thereof, and all rights to claim priority on the basis of the above provisional application (if any), as well as all rights to claim priority on the basis of this application, and all applications for Letters Patent which may hereafter be filed for this invention in any foreign country and all Letters Patent which may be granted on this invention in any foreign country, and all extensions, renewals, and reissues thereof; and I/We hereby authorize and request the Commissioner of Patents and Trademarks of the United States and any official of any foreign country whose duty it is to issue patents on applications as described above, to issue all Letters Patent for this invention to assignee, its successors and assigns, in accordance with the terms of this Assignment;

AND, I HEREBY covenant that I have the full right to convey the interest assigned by this Assignment, and I have not executed and will not execute any agreement in conflict with this Assignment;

AND, I HEREBY further covenant and agree that I will, without further consideration, communicate with assignee, its successors and assigns, any facts known to me respecting this invention, and testify in any legal proceeding, sign all lawful papers when called upon to do so, execute and deliver any and all papers that may be necessary or desirable to perfect the title to this invention in said assignee, its successors or assigns, execute all divisional, continuation, and reissue applications, make all rightful oaths and generally do everything possible to aid assignee, its successors and assigns, to obtain and enforce proper patent protection for this invention in the United States and any foreign country, it being understood that any expense incident to the execution of such papers shall be borne by the assignee, its successors and assigns.

AND, I HEREBY authorize and request the attorneys I have empowered in the Declaration and Power of Attorney in this application to insert here in parentheses (Application No. _____, filed _____) the filing date and application number of said application when known.

Ta-Lee Yu
FULL NAME OF SOLE OR FIRST INVENTOR

Ta-Lee Yu
INVENTOR'S SIGNATURE

Aug. 11 '98
DATE