FORM PTO-1595 (Modified) (Rev. 6-93)

09-08-1998

Docket No.: 328-024

U.S. DEPARTMENT OF COMMERCE

Patent and Trademark Office

OMB No. 0651-0011 (exp.4/94) Copyright 1996-97 LegalStar P08A/REV02 100824151 Tab settings -To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof. 1. Name of conveying party(ies): 2. Name and address of receiving party(ies): Hideki Ohmori Satoshi Kakuta Toshivuki Fukudome Hidenobu Oda Name: Maruzen Petrochemical Co., Ltd. Tomonori Hakozaki Address: 25-10 Hatchobori 2-chome Tomo Oikawa ☐ Yes 🖾 No Additional names(s) of conveying party(ies) 3. Nature of conveyance: ☐ Merger ☐ Security Agreement ☐ Change of Name City: Chuo-ku State/Prov.: Tokyo ☐ Other \_ Country: JAPAN \_\_\_\_ ZIP: Execution Date: August 12, 1998 Additional name(s) & address(es) ☐ Yes ⊠ No 4. Application number(s) or registration numbers(s): If this document is being filed together with a new application, the execution date of the application is: August 12, 1998 Patent Application No. Filing date B. Patent No.(s) 09/140926 Additional numbers ☐ Yes 🛛 No 5. Name and address of party to whom correspondence 6. Total number of applications and patents involved: concerning document should be mailed: 02/1998 MPEOPLES MENOPAIR SPANSEE Sq. 7. Total fee (37 CFR 3.41):....\$ 40.00 Registration No. 25,930 00 Op ☑ Enclosed - Any excess or insufficiency should be credited or debited to deposit account Address: 51 Cherry Street Authorized to be charged to deposit account 8. Deposit account number: City: Milford State/Prov.: CT 19-4512 Country: USA ZIP: **O6460** DO NOT USE THIS SPACE 9. Statement and signature. To the best of my knowledge and belief, the foregoing information is true and correct and attached copy is a true copy of the original document. August 27, 1998 Melvin I. Stoltz Date Name of Person Signing

Total number of pages including cover sheet, attachments

## **ASSIGNMENT**

WHEREAS, Hideki Ohmori, 17-11, Asahigosho, Ichihara-shi, Chiba-ken, Japan; Toshiyuki Fukudome, 1324-19, Kohrimoto, Ichihara-shi, Chiba-ken, Japan; Tomonori Hakozaki, 3387-5, Goi, Ichihara-shi, Chiba-ken, Japan; Tomo Oikawa, 6992, Goi, Ichihara-shi, Chiba-ken, Japan; Satoshi Kakuta, 3387-5, Goi, Ichihara-shi, Chiba-ken, Japan; Hidenobu Oda, 3387-5, Goi, Ichihara-shi, Chiba-ken, Japan (hereinafter referred to as ASSIGNOR), have made certain inventions or discoveries (or both) set forth in an application for Letters Patent of the United States of America entitled<sup>2</sup>

PROCESS FOR PRODUCTION OF N-VINYLLACTAM

which application was executed by us on the day of the date hereof and is identified by Melvin I. Stoltz File No. 328-024, and

WHEREAS, MARUZEN PETROCHEMICAL CO., LTD.
No. 25-10, Hatchobori 2-chome, Chuo-ku, Tokyo, Japan

(hereinafter referred to as ASSIGNEE), is desirous of acquiring the title, rights, benefits and privileges hereinafter recited.

NOW, THEREFORE, based upon the foregoing recitals and the good and valuable consideration formed thereby, the receipt and sufficiency of which we hereby acknowledge, we hereby without reservation:

- 1. Assign, transfer and convey to ASSIGNEE the entire right, title and interest in and to said inventions and discoveries, said application for Letters Patent of the United States of America, any and all other applications for Letters Patent on said inventions and discoveries in whatsoever countries, including all divisional, renewal, substitute, continuation and Convention applications based in whole or in part upon said inventions and discoveries, or upon said applications, and any and all Letters Patent and reissues and extensions of Letters Patent granted on said inventions and discoveries or upon said applications, and every priority right that is or may be predicated upon or arise from said inventions and discoveries, said applications for said Letters Patent;
- 2. Authorize ASSIGNEE to file patent applications in any or all countries on any or all of said inventions and discoveries in our name or in the name of ASSIGNEE or otherwise as ASSIGNEE may deem advisable, under the International Convention or otherwise;
- 3. Authorize and request the Commissioner of Patents and Trademarks of the United States of America and the empowered officials of all other governments to issue

PATENT REEL: 9420 FRAME: 0045 1 '

or transfer all said Letters Patent to ASSIGNEE; as ASSIGNEE of the entire right, title and interest therein or otherwise as ASSIGNEE may direct;

- 4. Warrant that we have not knowingly conveyed to others any right in said inventions and discoveries, applications or patents or any license to use the same or to make, use or sell anything embodying or utilizing any of said inventions and discoveries; and that we have good right to assign the same to ASSIGNEE without encumbrance;
- Bind our heirs, legal representatives and assigns as well as ourselves, to do, upon ASSIGNEE's request and at ASSIGNEE's expense, but without additional consideration to us or them, all acts reasonably serving to assure that the said inventions and discoveries, the said patent applications and the said Letters Patent shall be held and enjoyed by ASSIGNEE as fully and entirely as the same could have been held and enjoyed by us, our heirs, legal representatives and assigns if this assignment had not been made; and particularly to execute and deliver to ASSIGNEE all lawful application documents including petitions, specifications, and oaths, and all assignments, disclaimers, and lawful affidavits in form and substance as may be requested by ASSIGNEE; to communicate to ASSIGNEE all facts known to us relating to said inventions and discoveries or the history thereof; and to furnish ASSIGNEE with any and all documents, photographs, models, samples, and other physical exhibits in our control or in the control of our heirs, legal representatives or assigns and which may be useful for establishing the acts of our conceptions, disclosures, and reduction to practice of said inventions and discoveries. We also agree to provide any reasonable information or assistance to ASSIGNEE in any infringement actions ASSIGNEE shall decide to initiate in order to enforce the patent rights being transferred herein provided, however, all expenses incurred in such infringement actions shall be paid entirely by ASSIGNEE.

In testimony of which we have signed our names below, this <sup>4</sup> 12th day of August , 1998

Hideki Ohmori

Toshiyuki Fukudome

Toshiyuki Fukudome

Tomonori Hakozaki

Tomo Oikawa

Tomo Oikawa

Tomo Oikawa

Satoshi Kakuta

Hidenobu Oda

Hidenobu Oda

PATENT

REEL: 9420 FRAME: 0046