

RE MRD 8-24-98

09-08-1998

OVER SHEET  
LYU.S. DEPARTMENT OF COMMERCE  
Patent and Trademark Office

To the Honorable Commissione

100821931

1 the attached original documents or copy thereof.

## 1. Name of conveying party(ies):

William T. Ball

Additional name(s) of conveying party(ies) attached? ☐ Yes ☐ No

## 3. Nature of conveyance:

- ☒ Assignment ☐ Merger  
☐ Security Agreement ☐ Change of Name  
☐ Other \_\_\_\_\_

Execution Date: January 27, 1998

## 2. Name and address of receiving party(ies)

Name: WCM Industries, Inc.

Internal Address: \_\_\_\_\_

Street Address: 2121 Waynoka RoadCity Colorado Springs State: CO Zip: 80915Additional name(s) & address(es) attached? ☐ Yes ☒ No

## 4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: \_\_\_\_\_

A. Patent Application No.(s)

Serial No. 09/028,297

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

## 5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Donald H. Zarley

Internal Address: \_\_\_\_\_

Street Address: Zarley, McKee, Thonke, et al.Suite 3200, 801 Grand AvenueCity: Des Moines State: IA Zip: 50309

09/04/1998 INVENT 00000100 09028297

## 6. Total number of applications and patents involved:

one

7. Total fee (37 CFR 3.41).....\$ 40.00☐ Enclosed☐ Authorized to be charged to deposit account

## 8. Deposit account number:

26-0084

(Attach duplicate copy of this page if paying by deposit account)

01 FC1581

40.00 OP

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## 9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Ruth E. Fisher

Name of Person Signing

Signature

August 19, 1998

Date

Total number of pages including cover sheet, attachments, and document

4

Mail documents to be recorded with required cover sheet information to  
Commissioner of Patents & Trademarks, Box Assignments  
Washington, D.C. 20231

PATENT  
REEL: 9420 FRAME: 0078

MLD 5-22-98

06-03-1998

RECC

U.S. DEPARTMENT OF COMMERCE  
Patent and Trademark Office

To the Honorable Commissioner of Patents and

100726662

original documents or copy thereof

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William T. Ball

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To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Ruth E. Fisher

Name of Person Signing

Signature

May 19, 1998

Date

Total number of pages including cover sheet, attachments, and document

14

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 Washington, D.C. 20231

PATENT  
 REEL: 9420 FRAME: 0079

A S S I G N M E N T

In consideration of One Dollar and other good and valuable considerations, the receipt and sufficiency whereof are hereby acknowledged, the undersigned hereby assigns to WCM Industries, Inc. a Colorado corporation, having an address of 212 Waynoka Road, Colorado Springs, Colorado 80915, its successors and assigns the entire right, title and interest in the invention or improvements of the undersigned disclosed in an application for Letters Patent of the United States, Serial No. 09/028,297, filed in the United States Patent and Trademark Office on the 24th day of February, 1998, entitled METHOD AND MEANS FOR INSTALLING OVERFLOW OUTLETS TO BATHTUBS AND THE LIKE and in said application and any and all other applications for United States Letters Patent, which the undersigned may file, either solely or jointly with others, on said invention or improvements, and in any and all Letters Patent, applications, and in any reissue or extension thereof.

The undersigned hereby authorizes and requests the Commissioner of Patents to issue said Letters Patent to said WCM Industries, Inc.


For said considerations the undersigned hereby agrees, upon the request, and at the expense of said assignee, its successors and assigns, to execute any and all divisional

continuation and substitute applications for said invention or improvements, and any necessary oath or affidavit relating thereto, and any application for the reissue or extension of any Letters Patent that may be granted upon said application, and for the said considerations the undersigned further agrees upon the request of said assignee, its successors or assigns, in the event of any application or Letters Patent assigned herein becoming involved in interference, to cooperate to the best of the ability of the undersigned with said assignee, its successors or assigns in the matters of preparing and executing the preliminary statement and giving and producing evidence in support thereof, the undersigned hereby agreeing to perform, upon request, any and all affirmative acts to obtain said Letters Patent of the United States and vest all rights therein hereby conveying in the said assignee, its successors and assigns whereby said Letters Patent will be held and enjoyed by the said assignee, its successors and assigns to the full end of the term for which said Letters Patent may be granted as fully and entirely as the same would have been held and enjoyed by the undersigned if this assignment and sale had not been made.

And for said considerations the undersigned hereby assigns to said assignee, its successors and assigns, the entire right, title and interest in said invention or

improvements for any and all foreign countries and agrees upon the request of assignee, its successors and assigns to execute any and all documents that undersigned shall be requested to execute in connection with any and all applications for foreign Letters Patent therefor, including the prosecution thereof, and to execute any and all documents necessary to vest title in said foreign applications and patents in assignee.

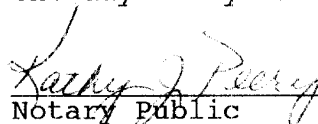
The attorneys of record are hereby authorized to insert the serial number and the filing date in the above blank spaces when received from the Patent Office.

  
WILLIAM T. BALL

STATE OF MISSOURI     )  
                                  ) ss.  
COUNTY OF JACKSON    )

On this 27th day of January, 1998, before me, a Notary Public in and for the County and State aforesaid, appeared WILLIAM T. BALL, to me personally known to be the person whose name is subscribed to the foregoing instrument, and acknowledged that he executed said instrument as his free and voluntary act for the uses and purposes therein expressed.

Witness my hand and seal the day and year last above given.

  
Notary Public

Commission Expires \_\_\_\_\_  
KATHY J. PEERY  
Notary Public-State of Missouri  
Commissioned in Jackson County  
My Commission Expires Nov. 5, 2000

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT: William D. Ball ART UNIT: 3751  
SERIAL NO: 09/028,297  
FILED: February 24, 1998  
TITLE: METHOD AND MEANS FOR INSTALLING OVERFLOW  
OUTLETS TO BATHTUBS AND THE LIKE

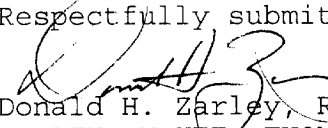
**LETTER**

U.S. PATENT AND TRADEMARK OFFICE  
Washington, D.C. 20231  
Attention: Sedley Pyne, Examiner  
Assignment Division  
Office of Public Records

Dear Sir:

In response to the communication of August 3, 1998, a copy of which is attached, we have prepared a new cover sheet and are enclosing a check for \$40 to cover the recording fee. We are also enclosing the original cover sheet showing the date it was received in the Patent Office. Please return the recorded assignment to the undersigned.

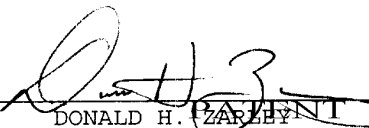
Respectfully submitted,

  
Donald H. Zarley, Reg. #18,543  
ZARLEY, MCKEE, THOMTE,  
VOORHEES & SEASE  
Attorneys of Record

801 Grand - Suite 3200  
Des Moines, IA 50309  
515-288-3667 - f -  
Dated: August 19, 1998

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**CERTIFICATE OF MAILING**

I hereby certify that the attached document was forwarded by First Class Mail with proper postage affixed to the US Patent and Trademark Office, Assignment Division, CG-4, 1213 Jefferson Davis Hwy, Suite 320, Washington, D.C. 20231, on this 24th day of August, 1998.

  
DONALD H. ZARLEY  
REEL: 9420 FRAME: 0083



**UNITED STATES DEPARTMENT OF COMMERCE**  
**Patent and Trademark Office**  
ASSISTANT SECRETARY AND COMMISSIONER  
OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

AUGUST 03, 1998

PTAS

ZARLEY, MCKEE, THOMTE ETAL.  
DONALD H. ZARLEY  
SUITE 3200  
801 GRAND AVENUE  
DES MOINES, IA 50309



\*100726662A\*

UNITED STATES PATENT AND TRADEMARK OFFICE  
NOTICE OF NON-RECORDATION OF DOCUMENT

DOCUMENT ID NO.: 100726662

THE ENCLOSED DOCUMENT HAS BEEN EXAMINED AND FOUND NON-RECORDABLE BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. THE REASON(S) FOR NON-RECORDATION ARE STATED BELOW. DOCUMENTS BEING RESUBMITTED FOR RECORDATION MUST BE ACCOMPANIED BY A NEW COVER SHEET REFLECTING THE CORRECT INFORMATION TO BE RECORDED AND THE DOCUMENT ID NUMBER REFERENCED ABOVE.

THE ORIGINAL DATE OF FILING OF THIS ASSIGNMENT DOCUMENT WILL BE MAINTAINED IF RESUBMITTED WITH THE APPROPRIATE CORRECTION(S) WITHIN 30 DAYS FROM THE DATE OF THIS NOTICE AS OUTLINED UNDER 37 CFR 3.51. THE RESUBMITTED DOCUMENT MUST INCLUDE A STAMP WITH THE OFFICIAL DATE OF RECEIPT UNDER 37 CFR 3. APPLICANTS MAY USE THE CERTIFIED PROCEDURES UNDER 37 CFR 1.8 OR 1.10 FOR RESUBMISSION OF THE RETURNED PAPERS, IF THEY DESIRE TO HAVE THE BENEFIT OF THE DATE OF DEPOSIT IN THE UNITED STATES POSTAL SERVICE.

SEND DOCUMENTS TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231. IF YOU HAVE ANY QUESTIONS REGARDING THIS NOTICE, YOU MAY CONTACT THE INDIVIDUAL WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723.

1. A FEE WAS NOT SUBMITTED WITH THIS DOCUMENT. AUTHORIZATION TO CHARGE WAS NOT GRANTED. THE REQUIRED FEE IS \$40 .

SEDLEY PYNE, PARALEGAL  
ASSIGNMENT DIVISION  
OFFICE OF PUBLIC RECORDS