

09-08-1998



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AUG 27 1998

To the Honorable Commissioner of Patents and Trademarks:
Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

1. TAO YANG
2. LEON O. CHUA

Additional name(s) of conveying party(ies)
attached? ☐ Yes ☒ No

3. Nature of conveyance:

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other

Execution Date: 1. AUGUST 13, 1998
2. AUGUST 17, 1998

2. Name and address of receiving party(ies):

Name: THE REGENTS OF THE UNIVERSITY OF CALIFORNIA

Street Address: 1111 Franklin Street, Fifth Floor

City: Oakland State: CA ZIP: 94607-5200

Additional name(s) & address(es)
attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s): 09/103,044

If this document is being filed together with a new application, the execution date of the application is: **August 24, 1998**

- A. Patent Application No.(s) B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence
concerning document should be mailed:

Name: **ALLSTON L. JONES**
PETERS VERNY JONES & BIKSA, L.L.P.

Street Address: 385 Sherman Avenue, Suite 6

City: Palo Alto State: CA ZIP: 94306-1840

6. Total number of applications and patents involved: [1]

7. Total fee (37 CFR 3.41): \$ 40.00

☒ Authorized to be charged to deposit account

8. Deposit account number:

16-1331

(Attach duplicate copy of this page if paying by deposit account)

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9. Statement of Signature

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

ALLSTON L. JONES (Reg. 27,906)
Name of Person Signing

Signature

August 24, 1998
Date

Total number of pages comprising cover sheet: [1]

09/02/1998 JSNBAZZ 00000059 09103044

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ASSIGNMENT

U.C. Case No. B97-080-1

Attorney Docket No. 485.11-1 (ALJ)

For good and valuable consideration, the receipt of which is hereby acknowledged, ASSIGNOR(S),

1. TAO YANG

2. LEON O. CHUA

hereby sell(s), assign(s) and transfer(s) to ASSIGNEE, The Regents of the University of California, Berkeley, a California Corporation, having its statewide administrative offices located 1111 Franklin Street, Fifth Floor, Oakland, CA 94607-5200, and the successor, assigns and legal representatives of the ASSIGNEE all of its/their right, title and interest for the United States and its territorial possessions and in all foreign countries in and to, any and all improvements which are disclosed in the invention entitled:

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and which is found in

(a) _____ U.S. patent application executed on _____, entitled as above and listing the above named person(s) as inventor(s).

(b) X U.S. application serial no. 09/103,044, filed on June 23, 1998

(c) _____ U.S. Patent No.: _____, issued _____ and any legal equivalent thereof in a foreign country, including the right to claim priority and, in and to, all Letters Patent to be obtained for said invention by the above application or any continuation, division, continuation-in-part, extension or substitute thereof, and any reissue, reexamination or extension of said Letters Patent and all rights under all International Conventions for the Protection of Industrial Property;

ASSIGNOR(S) hereby covenant(s) that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this agreement;

ASSIGNOR(S) further covenant(s) that ASSIGNEE, will, upon its request, be provided promptly with all pertinent facts and documents relating to said invention and said Letters Patent and legal equivalents as may be known and accessible to ASSIGNOR and will testify as to the same in any interference, litigation, or proceeding relating thereto and will promptly execute and deliver to ASSIGNEE or its legal representative any and all papers, instruments or affidavits required to apply for, obtain, maintain, issue or enforce said application, said invention and said Letters Patent and said equivalents thereof which may be necessary or desirable to carry out the purposes thereof. An attorney of record is authorized and requested by the execution of this assignment to insert into this assignment the filing date and serial number of said application when officially known.

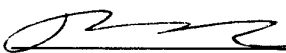
AND the ASSIGNOR(S) request(s) the Commissioner of Patents and Trademarks to issue said Letters Patent of the United States and any reissue or extension thereof to the ASSIGNEE, The Regents of the University of California.

executed this


13 day of August, 1998

17 day of August, 1998

Signature of Inventor(s)



TAO YANG



LEON O. CHUA