

09-08-1998



Form PTO-1592 (modified)

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03659.06577

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copies thereof:

**1. Name of Conveying Party or Parties:**  
Case Western Reserve University

**2. Name and address of receiving party or parties:**

Ohio University  
102 Research and Technology Center  
Athens, Ohio 45701

Additional Names of conveying party or parties attached? ☒ Yes ☐ No

**3. Nature of Conveyance:**

- ☒ Assignment ☐ Merger  
☐ Security Agreement ☐ Change of Name  
☐ Other \_\_\_\_\_

Additional Name(s) and address(es) attached? ☐ Yes ☒ No

Execution Date: June 22, 1998

**4. Application Number(s) or Patent Number(s):**

If this document is being filed together with a new application, the execution date of the application is: \_\_\_\_\_

**A. Patent Application Number(s):**

08/721,094

**B. Patent Number(s):**

Additional Name(s) and address(es) attached? ☐ Yes ☒ No

**5. Name and address of party to whom correspondence concerning the document should be mailed:**

Banner & Witcoff, Ltd.  
1001 G Street, N.W., Suite 1100  
Washington, D.C. 20001-4597

**6. Total Number of Applications and patents involved:**

**7. Total fee (37 CFR 3.41) ..... \$ 40.00**

Enclosed

- ☐ Authorized to be charged to deposit account\*  
☒ Please charge or credit our deposit account for any additional or refunded fees associated with recording this assignment

**8. Deposit Account No.:**

19-0733 (in the event additional fees are required)

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**9. Statement and Signature:**

*To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.*

Sarah A. Kagan, Reg. No. 32,141

Name of Person Signing

Signature

September 1, 1998

Date

Total Number of Pages comprising Cover Sheet: 3

## ASSIGNMENT

WHEREAS, Case Western Reserve University of 10900 Euclid Avenue, Cleveland, Ohio 44106, is the sole assignee of an application for Letters Patent in the United States, which was filed on September 27, 1996, and accorded U.S. Serial No. 08/721,094 entitled "COMPACTED NUCLEIC ACIDS AND THEIR DELIVERY TO CELLS" by virtue of an assignment from the inventors dated November 21, 1996, which was recorded January 13, 1997, at reel 8303 and frame 0228; and

WHEREAS, Ohio University, a corporation duly organized under the laws of the State of Ohio having a place of business in Athens, Ohio, is desirous of acquiring an undivided fifty percent (50 %) title and interest in and to the aforesaid application and in and to any Letters Patent of the United States or any foreign country which may be granted therefor;

NOW THEREFORE for good and valuable consideration, Case Western Reserve University does sell, assign, and transfer unto Ohio University, its successors, legal representatives and assigns, an undivided fifty percent (50 %) exclusive right to the invention as described in said application, and an undivided fifty percent (50 %) right, title and interest in and to any and all Letters Patent which may be granted therefor in the United States and its possessions, and in any and all foreign countries, and in and to any and all divisions, reissues, continuations and extensions thereof;

AND IT HEREBY authorizes and requests the Commissioner of Patents and Trademarks or any other proper officer or agency of any country to identify said assignee as one of the assignees on all said Letters Patent;

AND IT HEREBY warrants and covenants that it has full right to convey the entire interest herein assigned and that it has not executed and will not execute any instrument or assignment in conflict herewith;

AND IT HEREBY agrees to communicate to said assignee or its representatives any facts known to it respecting said invention, to execute all divisional, continuation, reissue and foreign applications, sign all lawful documents and make all rightful oaths relating to said invention, and to testify in any judicial or administrative proceeding and generally do everything possible to aid said assignee to obtain and enforce said Letters Patent in the United States or any foreign country when requested so to do by said assignee.

IN WITNESS WHEREOF, it has hereunto set its hand and seal.

Date: 6/22/98

Richard A. Zdanis  
Case Western Reserve University  
By: Richard A. Zdanis  
Title: Provost and University Vice-President

) SS

(SEAL)

Carol P. B. Muhl, Esq.  
Notary Public

My Commission Expires: CARL P. B. MAHLER II, Atty.  
Notary Public, State of Ohio  
My comm. has no explr. date  
Section 147.03 R.C.

PATENT  
REEL: 9422 FRAME: 0121