

09-08-1998

Q051452

Form PTO-1595  
1-31-92



U.S DEPARTMENT OF COMMERCE  
Patent and Trademark Office

ET

100824203

To the Honorable Commissioner of Patents and Trademarks. Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):  
Masato KAWATA

*MCD  
8-27-98*

Additional name(s) of conveying party(ies) attached?  
 yes  no

2. Name and address of receiving party(ies)?  
NEC CORPORATION  
7-1, Shiba 5-chome  
Minato-ku, Tokyo, Japan

*JCS41 U.S. PTO  
09/14/98*

3. Nature of Conveyance: [C]  
 Assignment  Merger  
 Security Agreement  Change of Names  
 Other \_\_\_\_\_

Additional name(s) & address(es) attached?  
 yes  no

Execution Date: August 17, 1998

4. Application number(s) or patent number(s):  
If this document is being filed together with a new application, the execution date of the application is: August 17, 1998

A. Patent Application No.(s)  
*09 14 1324*

B. Patent No.(s)  
*40E*

Additional numbers attached?  Yes  No

5. Name and address of party to whom correspondence concerning document should be mailed:  
J. Frank Osha, Esq.  
SUGHRUE, MION, ZINN, MACPEAK & SEAS, PLLC  
2100 Pennsylvania Avenue, N.W.  
Suite 800  
Washington, D.C. 20037-3213

6. Total number of applications and registration involved:  
1

7. Total Fee (37 CFR 3.41): \$40.00  
 Enclosed. Please charge any underpayment in connection with this Assignment to Deposit Account No. 19-4880.  
 Authorized to be charged to Deposit Account

8. Deposit Account No.  
19-4880

DO NOT WRITE IN THIS SPACE

9. Statement and Signature.  
To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

*J. Frank Osha*  
\_\_\_\_\_  
J. Frank Osha Reg. No. 24,625

\_\_\_\_\_  
August 27, 1998  
Date

TOTAL NUMBER OF PAGES COMPRISING COVER SHEET, ATTACHMENTS AND DOCUMENT: 2

OMB No. 0651-0011 (exp. 4/94)

Do not detach this portion

Mail documents to be recorded with required cover sheet information to:  
Commissioner of Patents and Trademarks  
Box Assignments  
Washington, D.C. 20231

09/03/1998 TTON11 00000104 09141324

01 FC:581

40.00 OP

PATENT  
REEL: 9422 FRAME: 0220

P13090-A

For Non-U.S. Clients

# Assignment

Whereas, I/We,           Masato Kawata           of  
          Tokyo, Japan          

hereinafter called assignor(s), have invented certain improvements in  
Nonvolatile Semiconductor Memory Device and Method of Manufacturing the Same  
and executed an application for Letters Patent of the United States of America therefor on  
August 17, 19 98; and

Whereas,           NEC CORPORATION            
          7-1, Shiba 5-chome, Minato-ku,            
          Tokyo, Japan            
(assignee), desires to acquire the entire right, title, and interest in the application and invention, and to  
any United States patents to be obtained therefor;

Now therefore, for valuable consideration, receipt whereof is hereby acknowledged,

I/We, the above named assignor(s), hereby sell, assign and transfer to the above named assignee, its  
successors and assigns, the entire right, title and interest in the application and the invention disclosed  
therein for the United States of America, including the right to claim priority under 35 U.S.C. §119, and  
I/we request the Commissioner of Patents to issue any Letters Patent granted upon the invention set forth  
in the application to the assignee, its successors and assigns; and I/we will execute without further  
consideration all papers deemed necessary by the assignee in connection with the United States application  
when called upon to do so by the assignee.

I/We hereby authorize and request my attorneys SUGHRUE, MION, ZINN, MACPEAK & SEAS of  
2100 Pennsylvania Avenue, N.W., Washington, D.C. 20037-3202 to insert here in parentheses (Application  
number \_\_\_\_\_, filed \_\_\_\_\_) the filing date and application  
number of said application when known.

Date: Aug. 17, 1998 s/           Masato Kawata            
          Masato Kawata          

Date: s/ \_\_\_\_\_

Date: s/ \_\_\_\_\_

Date: s/ \_\_\_\_\_

Date: s/ \_\_\_\_\_

(Legalization not required for recording but is prima facie evidence of execution under 35 U.S.C. §261)

Witness

          Akira Harano          

          [Signature]