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Patent and Trademark Office

To the Honorable Commissioner of Patents and Trademarks

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1. Name of conveying party(ies):

Melinda Guzman-Harty  
Milo Duane Hilty  
Steven N. Anderson  
Joseph Schaller  
Terry Bruce Mazer  
Theresa Siu-Ling Wai Lee  
Lisa Ann Reaves  
Jin-Zhou Liu

Additional name(s) of conveying party(ies) attached?  yes  no

2. Name and address of receiving party(ies):

Name: ABBOTT LABORATORIES  
Internal Address: Patent and Trademark Department  
D377, AP6D  
Street Address: Abbott Laboratories  
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City: Abbott Park State: Illinois Zip: 60064-3500

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**A. Patent Application No.(s) and Filing Dates:**

08/690,724 Filing Date: 07/31/96

**B. Patent No.(s):**

Additional numbers attached?  yes  no

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: J. Michael Dixon  
Internal Address: Patent Department  
D108140 / DS-1  
Street Address: Ross Products Division  
Abbott Laboratories  
625 Cleveland Ave  
City: Columbus State: Ohio Zip: 43215-1724

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41): ..... \$ 40.00

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J. Michael Dixon

Name of Person Signing

Case No.: 5959.US.O1

Signature

08/26/98

Date

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MRD

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ER SHEET

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MRD 5-31-98

1. Name of conveying party(ies):  
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100 Abbott Park Road  
City: Abbott Park State: Illinois Zip: 60064-3500

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 Assignment  Merger  
 Security Agreement  Change of Name  
 Other  
Execution Date(s):

4. Application number(s) or patent number(s):  
If this document is being filed together with a new application, the execution date of the application is:  
A. Patent Application No.(s) and Filing Dates:  
08/690,724 Filing Date: 07/31/96

B. Patent No.(s):

Additional numbers attached?  yes  no

5. Name and address of party to whom correspondence concerning document should be mailed:  
Name: Thomas D. Brainard  
Internal Address: Patent Department  
D108140 / DS-1  
Street Address: Ross Products Division  
Abbott Laboratories  
625 Cleveland Ave  
City: Columbus State: Ohio Zip: 43215-1724

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41): ..... \$ 40.00  
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8. Deposit account number: 01-0025

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J. Michael Dixon [Signature] 5/26/98  
Name of Person Signing Signature Date  
Case No.: 5959.US.O1

Total number of pages, including cover sheet, attachments and document: 8

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PATENT

REEL: 9424 FRAME: 0586

Case: 5959.US.01

Serial No: 08/690.724

Filing Date: 07/31/96

**ASSIGNMENT**

For One Dollar (\$1) and other valuable consideration received from Abbott Laboratories, a corporation organized and existing under the laws of the State of Illinois and having an office and place of business at Abbott Park, County of Lake, State of Illinois, United States of America, MELINDA GUZMAN-HARTY of Gahanna, Ohio, MILO DUANE HILTY of Westerville, Ohio, STEVEN N. ANDERSON of Aurora, Illinois, JOSEPH SCHALLER of Columbus, Ohio, TERRY BRUCE MAZER of Reynoldsburg, Ohio, THERESA SIU-LING WAI LEE of Columbus, Ohio, LISA ANN REAVES of Columbus, Ohio and JIN-ZHOU LIU of Westerville, Ohio, of the United States of America; inventors and owners of an invention entitled **WATER-MISCIBLE ESTERS OF MONO- AND DIGLYCERIDES HAVING ANTIMICROBIAL ACTIVITY AND THEIR USE IN INHIBITING INFECTION**, which has been assigned Attorney's docket no. (5959.US.01) and the Serial No. and Filing Date set forth above, do hereby sell, assign and transfer unto Abbott Laboratories, the entire right, title and interest, for the United States of America and the Territories thereof and for all foreign countries and territories, in and to said invention (including the above-referenced patent application and any foreign equivalents thereof, any continuation, continuation-in-part, or division thereof, and any patent, reissue, extension or reexamination based thereon); and we do authorize and request the Commissioner of Patents and Trademarks to issue any letters patent issuing on said invention to Abbott Laboratories in accordance herewith; this assignment being under covenant that we have full power to make the same, and also that such assigned right is not encumbered by any grant, license or other right heretofore given, and that we will do any further lawful act desired by this assignee in the prosecution of such application, and any and all other applications, United States or foreign, which may be filed on said invention by us or on our behalf.

We hereby authorize and request the attorneys appointed by us in said application to record on this assignment the Serial No. and filing date of said application when such are ascertained.

Signed by us on the dates hereinafter set forth.

Melinda Guzman-Harty  
MELINDA GUZMAN-HARTY

Date: 10-14-96

State of OHIO                    }  
  } ss.  
County of Franklin            }

On Oct 14 1996 before me personally appeared MELINDA GUZMAN-HARTY known to be the person named in and who executed the foregoing instrument and acknowledged that she executed the same as her free act and deed.

Mary E O'Ryan  
Notary Public



MARY E. O'RYAN  
NOTARY PUBLIC, STATE OF OHIO  
MY COMMISSION EXPIRES JAN. 12, 2000

Milo Duane Hilty  
MILO DUANE HILTY

Date: 10/14/96

State of OHIO                    }  
  } ss.  
County of Franklin            }

On Oct. 14, 1996 before me personally appeared MILO DUANE HILTY known to be the person named in and who executed the foregoing instrument and acknowledged that he executed the same as his free act and deed.

Susan J. Heller  
Notary Public



SUSAN J. HELLER  
NOTARY PUBLIC, STATE OF OHIO  
MY COMMISSION EXPIRES FEBRUARY 27 2001

\_\_\_\_\_  
STEVEN N. ANDERSON

Date: \_\_\_\_\_

State of                            }  
  } ss.  
County of                        }

On \_\_\_\_\_ before me personally appeared STEVEN N. ANDERSON known to be the person named in and who executed the foregoing instrument and acknowledged that he executed the same as his free act and deed.

\_\_\_\_\_  
Notary Public

6

MILO DUANE HILTY

Date: \_\_\_\_\_

State of OHIO                    }  
  } ss.  
County of Franklin            }

On \_\_\_\_\_ before me personally appeared MILO DUANE HILTY known to be the person named in and who executed the foregoing instrument and acknowledged that he executed the same as his free act and deed.

\_\_\_\_\_  
Notary Public

Steven N. Anderson  
STEVEN N. ANDERSON

Date: 10-15-1996

State of ILLINOIS            }  
  } ss.  
County of LAKE                }

On 10/15/96 before me personally appeared STEVEN N. ANDERSON known to be the person named in and who executed the foregoing instrument and acknowledged that he executed the same as his free act and deed.

Melody M. Thiele  
Notary Public



Joseph Paul Schaller  
JOSEPH SCHALLER

Date: 10-15-96

State of OHIO                    }  
  } ss.  
County of Franklin            }

On 10-15-96 before me personally appeared JOSEPH SCHALLER known to be the person named in and who executed the foregoing instrument and acknowledged that he executed the same as his free act and deed.

Linda Ann Balthaser  
Notary Public



LINDA ANN BALTHASER  
NOTARY PUBLIC, STATE OF OHIO  
MY COMMISSION EXPIRES AUG. 26, 2000

Terrance Bruce Mazer  
TERRY BRUCE MAZER

Date: 10/16/96

State of OHIO                    }  
  } ss.  
County of Franklin            }

On Oct. 16, 1996 before me personally appeared TERRY BRUCE MAZER known to be the person named in and who executed the foregoing instrument and acknowledged that he executed the same as his free act and deed.

Susan J. Heller  
Notary Public



SUSAN J. HELLER  
NOTARY PUBLIC, STATE OF OHIO  
MY COMMISSION EXPIRES FEBRUARY 27 2001

Theresa Siu-Ling Wai Lee  
THERESA SIU-LING WAI LEE

Date: 10-14-96

State of OHIO                    }  
  } ss.  
County of Franklin            }

On Oct 14 1996 before me personally appeared THERESA SIU-LING WAI LEE known to be the person named in and who executed the foregoing instrument and acknowledged that she executed the same as her free act and deed.

Mary E. O'Ryan  
Notary Public



MARY E. O'RYAN  
NOTARY PUBLIC, STATE OF OHIO  
MY COMMISSION EXPIRES JAN. 12, 2000

Lisa Ann Reaves  
LISA ANN REAVES

Date: 10/15/96

State of OHIO                    }  
  } ss.  
County of Franklin            }

On Oct. 15, 1996 before me personally appeared LISA ANN REAVES known to be the person named in and who executed the foregoing instrument and acknowledged that she executed the same as her free act and deed.

Susan J. Heller  
Notary Public



SUSAN J. HELLER  
NOTARY PUBLIC, STATE OF OHIO  
MY COMMISSION EXPIRES FEBRUARY 27 2001

*Jin Zhou Liu*

JIN-ZHOU LIU

Date: 10/14/96

State of OHIO                    }  
  } ss.  
County of Franklin            }

On Oct. 14, 1996 before me personally appeared JIN-ZHOU LIU known to be the person named in and who executed the foregoing instrument and acknowledged that he executed the same as his free act and deed.

*Susan J. Heller*  
Notary Public



SUSAN J. HELLER  
NOTARY PUBLIC, STATE OF OHIO  
MY COMMISSION EXPIRES FEBRUARY 27 2001