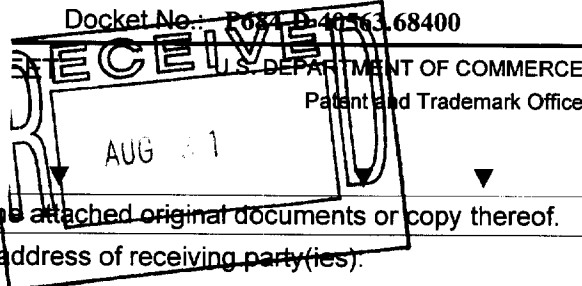


09-10-1998



100825204

Docket No.: P684-B-40563.68400



Tab settings

8-31-98

To the Honorable Commissioner of Patents and Trademarks. Please return the attached original documents or copy thereof.

1. Name of conveying party(ies):

**ROBERT SHERMAN
CHRISTOPHER S. STOCK**

2. Name and address of receiving party(ies):

Name: **BATES DISPLAY & PACKAGING CO.**

Internal Address:

Additional names(s) of conveying party(ies) ☐ Yes ☒ No

3. Nature of conveyance:

☒ Assignment

☐ Merger

☐ Security Agreement

☐ Change of Name

☐ Other

Street Address: **1468 DAVRIL CIRCLE**

City: **CORONA**

State: **CA**

ZIP: **91720**

Execution Date: **MARCH 5, 1998**

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or registration numbers(s):

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No.(s)

B. Patent No.(s)

09/034,872

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: **Daniel L. Dawes**

Internal Address:

Street Address: **5252 Kenilworth Drive**

City: **Huntington Beach** State: **CA** ZIP: **92649**

6. Total number of applications and patents involved: **1**

7. Total fee (37 CFR 3.41):.....\$ **40.00**

☒ Enclosed

☐ Authorized to be charged to deposit account

8. Deposit account number:

09034872

40.00 DP

DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Lori M. Tillman

Name of Person Signing

Signature

Date

Total number of pages including cover sheet, attachments, and document:

25

August 28, 1998

PATENT

REEL: 9431 FRAME: 0530

ASSIGNMENT

For good and valuable consideration, the receipt of which is hereby acknowledged, ASSIGNOR(S),

1. Robert Sherman

2. Christopher S. Stock

hereby sells, assigns and transfers to ASSIGNEE, Bates Display & Packaging Co., a _____ Corporation, having its principal place of business at 1468 Davril Circle, Corona, California 91720, and the successors, assigns and legal representatives of the ASSIGNEE all of its right, title and interest for the United States and its territorial possessions and in all foreign countries in and to, any and all improvements which are disclosed in the invention entitled:

LOW PROFILE RING DISPLAY CASE AND METHOD FOR DISPLAYING JEWELRY IN THE SAME

and which is found in

(a) _____ U.S. provisional application filed herewith and listing the above named persons as inventors

(b) _____ U.S. patent application filed herewith and listing the above named persons as inventors

(c) X U.S. application serial no. 09/034,872, filed on March 4, 1998

(d) _____ U.S. Patent No.: _____, issued _____

and any legal equivalent thereof in a foreign country, including the right to claim priority and, in and to, all Letters Patent to be obtained for said invention by the above application or any continuation, division, continuation-in-part, extension, conversion to 35 USC 111(a) or substitute thereof, and any reissue, reexamination or extension of said Letters Patent and all under all International Conventions for the Protection of Industrial Property;

ASSIGNOR(S) hereby covenants that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment;

ASSIGNOR(S) further covenants that the ASSIGNEE will, upon its request, be provided promptly with all pertinent facts and documents relating to said invention and said Letters Patent and legal equivalents as may be known and accessible to ASSIGNOR and will testify as to the same in any interference, litigation, or proceeding relating thereto and will promptly execute and deliver to ASSIGNEE or its legal representative any and all papers, instruments or affidavits required to apply for, obtain, maintain, issue or enforce said application, said invention and said Letters Patent and said equivalents thereof which may be necessary or desirable to carry out the purposes thereof. An attorney of record is authorized and requested by the execution of this assignment to insert into this assignment the filing date and serial number of said application when officially known.

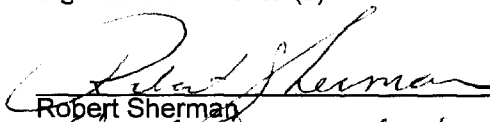
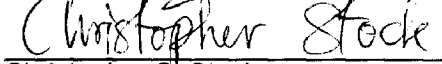
AND the ASSIGNOR(S) requests the Commissioner of Patents and Trademarks to issue said Letters Patent of the United States and any reissue or extension thereof to the ASSIGNEE, Bates Display & Packaging Co..

executed this

Signature of Inventor(s)

5 day of MARCH, 1998

5 day of MARCH, 1998


Robert Sherman

Christopher S. Stock