

09-10-1998

FORM PTO-1596
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U.S. DEPARTMENT OF COMMERCE
Patent and Trademark Office

To the Honorable Commissioner of Patents and Trademarks. Please record the attached original documents or copy thereof.

1. Name of conveying party(ies): Name 1: Francis E. Nano
Name 2: The University of Victoria

2. Name and address of receiving party(ies):

Name: Name 1: University of Victoria
Name 2: University of Victoria Innovation and Development CorporationAdditional name(s) of conveying party(ies) attached? ☐ yes ☒ no

3. Nature of Conveyance:

- ☒
- Assignment
- ☐
- Merger
-
- ☐
- Security Agreement
- ☐
- Change of Name
-
- ☐
- Other _____

Internal Address: _____

Street Address: P.O. Box 3075, R Hut, McKenzie Avenue,
Victoria BC V8W 3W2, Canada

City _____ State _____ ZIP _____

Additional name(s) & address(es) attached? ☐ Yes ☒ NoExecution Date: 1) April 19, 1998 2) April 15, 1998

4. Application number(s) or patent number(s): 08/990,823

If this document is being filed together with a new application, the execution date of the application is: _____

A. Patent Application No.(s)

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: David J. Earp, Ph.D.

Klarquist Sparkman Campbell Leigh & Whinston, LLP

Internal Address:
One World Trade Center, Suite 1600Street Address:
121 S.W. Salmon StreetCity Portland State Oregon ZIP 97204-29886. Total number of applications and patents involved: ☐ 17. Total fee (37 CFR 3.41):...\$ 40.00

- ☒
- Enclosed
-
- ☒
- Any deficiency/overpayment is authorized to be charged to deposit account

8. Deposit account number: 02-4550

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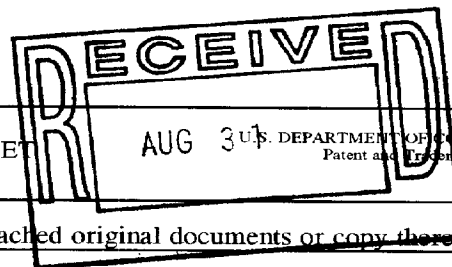
9. Statement and signature.

*To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.*David J. Earp, Ph.D.
Name of Person Signing

Signature

Total number of pages including cover sheet, attachments and document: ☐ 3

OMB No. 0651-0011 (exp. 4/94)



PATENT

REEL: 9432 FRAME: 0020

A S S I G N M E N T

This is an assignment of rights from Francis E. Nano to the University of Victoria, British Columbia, and from the University of Victoria to the University of Victoria Innovation and Development Corporation.

WHEREAS, Francis E. Nano has invented an invention entitled MYCOBACTERIUM TUBERCULOSIS DNA SEQUENCES ENCODING IMMUNOSTIMULATORY PEPTIDES for which U.S. Patent Application No. 08/990,823 was filed on December 15, 1997; and

WHEREAS, University of Victoria has certain rights in this invention and desires to assign its rights in the invention to the University of Victoria Innovation and Development Corporation;

NOW, THEREFORE, in consideration of good and valuable consideration, the receipt of which is hereby acknowledged:

1. Francis E. Nano, hereby sells, assigns, and transfers to the University of Victoria, the full and exclusive right, title, and interest in and to said invention, patent application and patent rights throughout the world, including patent priority rights, the right to file and prosecute International Applications under the Patent Cooperation Treaty, and the right to file and prosecute applications under the European Patent Convention; said invention, application and all resulting letters patent in the U.S., Canada or any other country, and all division, continuations, substitutes, reissues, reexaminations, and extensions thereof, to be held and enjoyed by the University of Victoria, for its own use and benefit, and for its successors and assigns to the full end of the term for which letters patent may be granted in the U.S. or any other country, as fully and entirely as the same would have been held by him had this assignment and sale not been made, and covenant that he has full right to do so, and agrees that he will communicate to the University of Victoria, or its successors or assigns, any facts known to him respecting said invention, and testify in any legal proceeding, sign all lawful papers, execute all divisional, continuing and reissue applications, make all rightful oaths, and do everything possible to aid the University of Victoria, its successors and assigns to obtain and enforce proper patent protection for said invention in this or any other country.

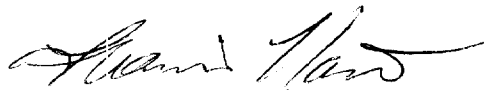
2. The University of Victoria hereby accepts the assignments of rights in this invention from Francis E. Nano.

3. The University of Victoria hereby assigns and transfers all rights in and to said invention, application, patent rights and letters patent, including those rights acquired from Francis E. Nano by this Assignment, to the University of Victoria Innovation and Development Corporation.

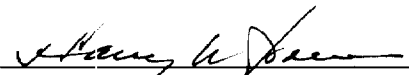
ASSIGNMENT - PAGE 1 OF 2

4. The University of Victoria Innovation and Development Corporation hereby accepts the assignment of rights in this invention from the University of Victoria.

Executed at VICTORIA, Canada, this 19TH day of AUGUST, 1998.

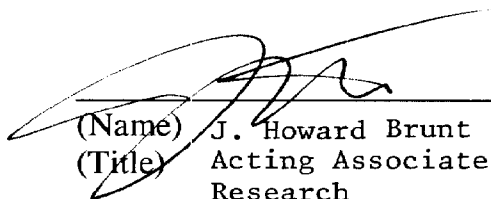


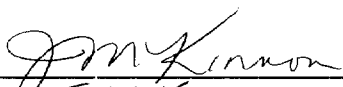
Francis E. Nano

Witnessed by 
Name (Print) HARRY K. DAVIS
Date 19 AUGUST, 1998

Executed at VICTORIA Canada, this 15TH day of APRIL, 1998.

UNIVERSITY OF VICTORIA

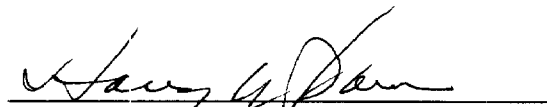

(Name) J. Howard Brunt
(Title) Acting Associate Vice President
Research

Witnessed by 
Name (Print) J. McKinnon
Date 15 APR 98

Executed at VICTORIA BC Canada, this 27TH day of MARCH, 1998.

The University of Victoria Innovation and Development Corporation hereby accepts the assignment of rights in this invention.

UNIVERSITY OF VICTORIA INNOVATION
AND DEVELOPMENT CORPORATION


Harry K. Davis, President

ASSIGNMENT - PAGE 2 OF 2