

09-10-1998

Attorney Docket No. 018912-000110

FORM PTO-1595
(Rev. 6-93)U.S. DEPARTMENT OF COMMERCE
Patent and Trademark Office

100825568

To the Honorable Asst. Commissioner for Patents. Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Patricia McPeak
Rukmini Cheruvanky
Reddy Sastry V. CherukuriAdditional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

2. Name and address of receiving party(ies):

Name: The RiceX Company

Internal Address:

Street Address: 1241 Hawk's Flight Court

City: El Dorado Hills State: CA ZIP: 95762

3. Nature of conveyance:

☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other:

Execution Date: August 27, 1998

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s).

If this document is being filed together with a new application, the execution date of the application is: August 27, 1998

A. Patent Application No.(s) N/A

B. Patent No.(s) N/A

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Joseph R. Snyder
TOWNSEND and TOWNSEND and CREW LLP
Two Embarcadero Center, 8th Floor
San Francisco, California 94111-3834
(415) 576-0200

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41):..... \$ 40.00

☐ Enclosed ☒ Charge Fees to Deposit Account
☒ Charge any additional fees associated with this paper or during the pendency of this application, or credit any overpayment, to deposit account

8. Deposit account number: 20-1430

09/09/1998 JSNABAZZ 00000195 201430 09143429

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9. Statement and signature.

*To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.*Joseph R. Snyder
Name of Person Signing

Signature

August 28, 1998
Date

Atty. Reg. No. 39,381

Total number of pages including cover sheet, attachments, and document: 4

10. Change Correspondence Address to that of Part 5? ☐ Yes ☒ No

OMB No. 0651-0011 (exp. 4/94)

Mail documents to be recorded with required cover sheet information to:

Do not detach this portion
Asst. Commissioner for Patents
Box Assignments
Washington, D.C. 20231

Attorney Docket No. 018912-000110

ASSIGNMENT OF PATENT APPLICATION

JOINT

WHEREAS, Patricia McPeak of El Dorado Hills, California, Rukmini Cheruvanky of Folsom, California, and Reddy Sastry V. Cherukuri of El Dorado Hills, California, hereinafter referred to as "Assignors," are the inventors of the invention described and set forth in the below identified application for United States Letters Patent:

Title of the Invention: **A METHOD FOR TREATING DIABETES, HYPERGLYCEMIA AND HYPOGLYCEMIA**

Date(s) of execution of Declaration: August 27, 1998

Filing date: 8/28/98 Application No.: _____; and

WHEREAS, The RiceX Company, a Delaware corporation, located at 1241 Hawk's Flight Court, El Dorado Hills, California, 95762, hereinafter referred to as "Assignee," is desirous of acquiring an interest in the invention and application and in any Letters Patent and Registrations which may be granted on the same;

For good and valuable consideration, receipt of which is hereby acknowledged by Assignors, Assignors have assigned, and by these presents do assign to Assignee all right, title and interest in and to the invention and application and to all foreign counterparts (including patent, utility model and industrial designs), and in and to any Letters Patent and Registrations which may hereafter be granted on the same in the United States and all countries throughout the world, and to claim the priority from the application as provided by the Paris Convention. The right, title and interest is to be held and enjoyed by Assignee and Assignee's successors and assigns as fully and exclusively as it would have been held and enjoyed by Assignors had this assignment not been made, for the full term of any Letters Patent and Registrations which may be granted thereon, or of any division, renewal, continuation in whole or in part, substitution, conversion, reissue, prolongation or extension thereof.

Assignors further agree that they will, without charge to Assignee, but at Assignee's expense, (a) cooperate with Assignee in the prosecution of U.S. Patent applications and foreign counterparts on the invention and any improvements, (b) execute, verify, acknowledge and deliver all such further papers, including patent applications and instruments of transfer and (c) perform such other acts as Assignee lawfully may request to obtain or maintain Letters Patent and Registrations for the invention and improvements in any and all countries, and to vest title thereto in Assignee, or Assignee's successors and assigns.

IN TESTIMONY WHEREOF, Assignors have signed their names on the dates indicated.

Date: August 27, 1998

Patricia McPeak
Patricia McPeak

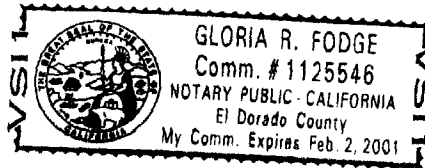
STATE OF California

COUNTY OF El Dorado

On Aug. 27, 1998, before me, _____ (here insert name and title of the officer), personally appeared Patricia McPeak, personally known to me (or proved to me on the basis of satisfactory evidence) to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, execute the instrument.

WITNESS my hand and official seal.

Signature Gloria R. Fodge (Seal)



Date: 8/27/98

Rukmini Cheruvanky
Rukmini Cheruvanky

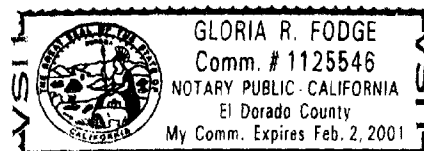
STATE OF California

COUNTY OF El Dorado

On Aug. 27, 1998, before me, Gloria Fodge, Notary (here insert name and title of the officer), personally appeared Rukmini Cheruvanky, personally known to me (or proved to me on the basis of satisfactory evidence) to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, execute the instrument.

WITNESS my hand and official seal.

Signature Gloria R. Fodge (Seal)



Date: August 27, 1998Reddy Sastry V Cherukuri

Reddy Sastry V. Cherukuri

STATE OF

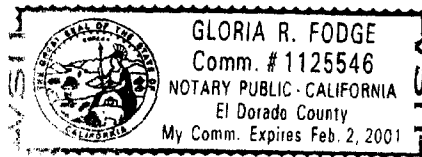
California

COUNTY OF

El Dorado

On August 27, 1998, before me, Gloria Fodge, Notary (here insert name and title of the officer), personally appeared Reddy Sastry V. Cherukuri, personally known to me (or proved to me on the basis of satisfactory evidence) to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, execute the instrument.

WITNESS my hand and official seal.

Signature Gloria R. Fodge (Seal)

ASSIGN.MKG 9/96

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