

09-16-1998

To the Honorable Commissioner  
documents or copy thereof.



ase record the attached original

100830262

1. Name of conveying party(ies):

- (1) Mitsubishi Chemical Corporation
- (2) Kabushiki Kaisha Kobe Seiko Sho
- (3) Idemitsu Kosan Company Limited
- (4) Idemitsu Oil Co., Ltd.
- (5) Nippon Brown Coal Liquefaction Co., Ltd.

Additional name(s) of conveying party(ies)  
attached? ☐ Yes ☒ No

3. Nature of Conveyance:

- ☒ Assignment ☐ Merger
- ☐ Security Agreement ☐ Change of Name
- ☐ Other

- (1) June 24, 1998
- (2) June 17, 1998
- (3) July 13, 1998
- (4) July 27, 1998

Execution Date: (5) June 17, 1998

2. Name and address of receiving  
party(ies):

Name: New Energy and Industrial Technology  
Development Organization

Address: 1-1, Higashi-Ikebukuro 3-chome  
Toshima-ku, Tokyo  
JAPAN

Additional name(s) & address(es) attached?  
☐ Yes ☒ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the  
application is:

A. Patent Application No.(s)

B. Patent No.(s)

5,130,013

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom  
correspondence concerning document  
should be mailed:

OBLON, SPIVAK, McCLELLAND,  
MAIER & NEUSTADT, P.C.  
Attorneys at Law  
Fourth Floor  
1755 Jefferson Davis Highway  
Arlington, Virginia 22202

50-528-0X FWC CIP

6. Total number of applications and  
patents involved: 1

7. Total fee (37 CFR 3.41):.....\$ 40.00

- ☒ Enclosed
- ☐ Authorized to be charged to deposit  
account

8. Deposit account number: 15-0030

(Attach duplicate copy of this page if paying  
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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy  
of the original document.

Marvin J. Spivak

Name of Person Signing  
Registration No. 24,913

Signature

Date

Total number of pages including cover sheet: 4

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PATENT

REEL: 9436 FRAME: 0786

## ASSIGNMENT

WHEREAS, MITSUBISHI CHEMICAL CORPORATION of 5-2, Marunouchi 2-chome, Chiyoda-ku, Tokyo, Japan; KABUSHIKI KAISHA KOBE SEIKO SHO , of 3-18, Wakihamacho 1-chome, Chuo-ku, Kobe-shi, Hyogo-ken, Japan; IDEMITSU KOSAN COMPANY LIMITED of 1-1, Marunouchi 3-chome, Chiyoda-ku, Tokyo, Japan; COSMO OIL CO., LTD. of 1-1, Shibaura 1-chome, Minato-ku, Tokyo, Japan; and NIPPON BROWN COAL LIQUEFACTION CO., LTD. of 8-2, Marunouchi 1-chome, Chiyoda-ku, Tokyo, Japan, corporations organized and existing under the laws of the Country of Japan (hereinafter referred to as "ASSIGNORS") are the exclusive joint owners, by assignment, of the Letters Patent of the United States of America No. 5,130,013, issued on July 14, 1992; and

WHEREAS, NEW ENERGY AND INDUSTRIAL TECHNOLOGY DEVELOPMENT ORGANIZATION of 1-1, Higashi-Ikebukuro 3-chome, Toshima-ku, Tokyo, Japan, a corporation organized and existing under the laws of the Country of Japan (hereinafter referred to as "ASSIGNEE"), is desirous of acquiring the entire right, title and interest in and to said Letters Patent;

NOW, THEREFORE, in consideration of the sum of FIVE DOLLARS (\$5.00) and other valuable consideration, the receipt of which is hereby acknowledged, ASSIGNORS by these presents do sell, assign, and transfer unto ASSIGNEE the entire right, title and interest for the territory of the United States of America, in and to the aforesaid Letters Patent and the invention described therein, and any reissue or reissues

of said Letters Patent to the full end of the term for which said Letters Patent have been granted or reissues may be granted, said invention and Letters Patent to be held and enjoyed by the ASSIGNEE, and for the use and behoof of said ASSIGNEE, and for the use and behoof of their successors, assigns or other legal representatives, and for claims for damage by reasons of infringement past and present, as fully and entirely as the same would have been held by us had this Assignment and sale not been made.

IN TESTIMONY WHEREOF, ASSIGNORS have caused these presents to be signed by their officer thereunto duly authorized.

MITSUBISHI CHEMICAL CORPORATION

Date: June 24, 1998

By: Akira Miura  
 Name: Akira MIURA  
 Title: President

KABUSHIKI KAISHA KOBE SEIKO SHO

Date: June 17, 1998

By: M. Kumamoto  
 Name: Masahiro KUMAMOTO  
 Title: President

IDEMITSU KOSAN COMPANY LIMITED

Date: July 13, 1998

By: A. Idemitsu  
 Name: Akira IDEMITSU  
 Title: President

COSMO OIL CO., LTD.

Date: July 27, 1998

By: K. Okabe  
Name: Keiichiro OKABE  
Title: President

NIPPON BROWN COAL  
LIQUEFACTION CO., LTD.

Date: June 17, 1998

By: M. Kumamoto  
Name: Masahiro KUMAMOTO  
Title: President