

09-14-1998



100827431

To the Honorable Commissioner of Patents and Trademarks. Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

David C. Brady; Yi Ma; Pradip K. Roy

Additional name(s) of conveying party(ies) attached?

☐ Yes ☒ No

2. Name and address of receiving party(ies):

Name: Lucent Technologies Inc.

Internal Address:

Post Office Address: 600 Mountain Avenue
P.O. Box 636
Murray Hill, New Jersey 07974-0636Additional name(s) & address(es) attached? ☐ Yes ☒ No

3. Nature of conveyance:

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other _____

Execution Date: March 5, 1997

4. Application number(s) or patent number(s):

If this document is being filed with a new application, the execution date of the application is: March 5, 1997

A. Patent Application No.(s) _____

B. Patent No.(s) _____

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Docket Administrator
Lucent Technologies Inc.
Internal Address: Room 3C-512Street Address: 600 Mountain Avenue
P.O. Box 636
Murray Hill, New Jersey 07974-0636

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41) \$ 40.00

- ☐ Enclosed
☒ Authorized to charge deposit account

8. Deposit account number: 12-2325

Attach duplicate copy of this page if paying by deposit account.

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Charles W. Gaines, Reg. No. 36,804

Signature

Date

3-11-97

Total number of pages including cover sheet, attachments, and document: 5

ASSIGNMENT AND AGREEMENT

For value received, we, David C. Brady, resident of 2131 Willow Brick Road, Windermere, Florida 34786, in the County of Orange, and Yi Ma, resident of 2569 Runyon Circle, Orlando, Florida 32837 in the County of Orange, and Pradip K. Roy, resident of 7706 Hidden Ivey Court, Orlando, Florida 32819, in the County of Orange, hereby sell, assign and transfer to Lucent Technologies Inc., a corporation of the State of Delaware, having an office at 600 Mountain Avenue, Murray Hill, New Jersey 07974-0636, U.S.A., and its successors, assigns and legal representatives, the entire right, title and interest, for the United States of America, in and to certain inventions related to "SYSTEM AND METHOD FOR FORMING A HIGH QUALITY ULTRATHIN GATE OXIDE LAYER" described in an application for Letters Patent of the United States, executed by us of even date herewith, and all the rights and privileges in said application and under any and all Letters Patent that may be granted in the United States for said inventions; and we also concurrently hereby sell, assign and transfer to Lucent Technologies Inc. the entire right, title and interest in and to said inventions for all countries foreign to the United States, including all rights of priority arising from the application aforesaid, and all the rights and privileges under any and all forms of protection, including Letters Patent, that may be granted in said countries foreign to the United States for said inventions.

We authorize Lucent Technologies Inc. to make application for such protection in its own name and maintain such protection in any and all countries foreign to the United States, and to invoke and claim for any application for patent or other form of protection for said inventions, without further authorization from us, any and all benefits, including the right of priority provided by any and all treaties, conventions or agreements.

We hereby consent that a copy of this assignment shall be deemed a full legal and formal equivalent of any document which may be required in any country in proof of the right of Lucent Technologies Inc. to apply for patent or other form of protection for said inventions and to claim the aforesaid benefit of the right of priority.

We request that any and all patents for said inventions be issued to Lucent Technologies Inc. in the United States, or to such nominees as they may designate.

We agree that, when requested, we shall, without charge to Lucent Technologies Inc. but at its expense, sign all papers, take all rightful oaths, and do all acts which may be necessary, desirable or convenient in connection with said applications, patents, or other forms of protection.

David C. Brady
David C. Brady

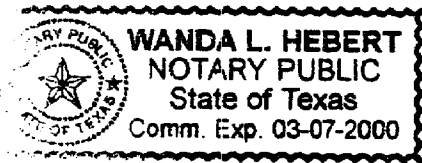
Date: 3/5/97

United States of America)

State of Texas) ss.:

County of Travis)

On this 5 day of March, 1997, before me personally came David C. Brady, to me known to be the individual described in and who executed the foregoing instrument, and acknowledged execution of the same.



Wanda Hebert
Notary Public


Yi Ma

Date: 2/12/97

United States of America)

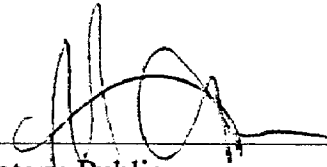
State of FL) ss.: 242-69-4970

County of ORANGE)

On this 12 day of FEB, 19 97, before me personally came Yi Ma, to me known to be the individual described in and who executed the foregoing instrument, and acknowledged execution of the same.



MARK G. THOMPSON
Comm. No. CC 446005
My Comm. Exp. Mar. 16, 1999
Bonded thru Pichard Ins. Agcy.


Notary Public

Pradip K Roy
Pradip K. Roy

Date: 2/12/97

United States of America)

State of FL) ss.: 125-44-1853

County of ORANGE)

On this 12 day of FEB, 1997, before me personally came Pradip K. Roy, to me known to be the individual described in and who executed the foregoing instrument, and acknowledged execution of the same.



MARK G. THOMPSON
Comm. No. CC 446005
My Comm. Exp. Mar. 16, 1999
Bonded thru Pichard Ins. Agcy.

[Signature]
Notary Public