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REC

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0651 U.S. PTO  
09/146675



To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):  
Huimin Kong  
Lauren S. Higgins  
Michael A. Dalton  
Additional name(s) of conveying party(ies) attached? \_\_\_\_\_

2. Name and address of receiving party(ies):  
Name: New England Biolabs, Inc.  
Internal Address: \_\_\_\_\_  
Street Address: 32 Tozer Road

3. Nature of Conveyances:  
 Assignment                       Merger  
 Security Agreement               Change of Name  
 Other: \_\_\_\_\_  
Execution Date: 8/20/98

City: Beverly State: MA Zip: 01915  
Additional name(s) & address(es) attached? No

4. Application number(s) or patent number(s):  
If this document is being filing together with a new Application, the execution date of the application is: AUG 20, 1998  
A. Patent Application No.(s)  
B. Patent No.(s)  
Additional numbers attached? No

5. Name and address of party to whom correspondence concerning document should be mailed:  
Name: Gregory D. Williams  
General Counsel  
New England Biolabs, Inc.  
Street Address: 32 Tozer Road  
City: Beverly State: MA Zip: 01915

6. Total number of applications and patents involved: one  
7. Total fee (37 CFR 3.41):.....\$ 40.00  
 Enclosed  
 Authorized to be charged to deposit account  
8. Deposit Account Number: 14-0740  
(Attach duplicate copy of this page if paying by deposit account)

DO NOT USE THIS SPACE

9. Statement and signature.  
*To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.*  
  
Gregory D. Williams  
Name of Person Signing  
  
  
Signature  
  
8/26/98  
Date

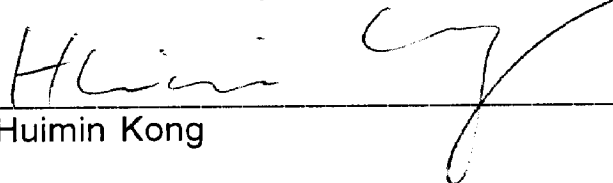
Total number of pages comprising cover sheet: one

# ASSIGNMENT

We, Huimin Kong, Lauren S. Higgins and Michael A. Dalton for good and valuable consideration from New England Biolabs, Inc., a Massachusetts Corporation, having its principal place of business in Beverly, Massachusetts, hereinafter called the Assignee, receipt of which is hereby acknowledged, do hereby sell, assign and transfer unto the Assignee, its successors and assigns, the entire right, title, and interest in, to and under an application for Letters Patent of the United States Serial No. \_\_\_\_\_, signed by me on uneven date herewith for improvements in METHOD FOR CLONING AND PRODUCING THE SgrAI RESTRICTION ENDONUCLEASE including all priority rights ensuing therefrom, and the inventions and any of them therein set forth and described, and any and all Letters Patent of the United States and of countries foreign thereto which may be granted thereon or therefor, together with the right to apply for such Letters Patent.

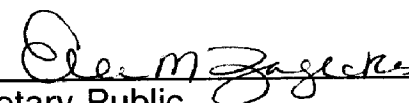
And for the above consideration I agree promptly upon request of the Assignee, its successors or assigns, to execute and deliver without further compensation any power of attorney, assignment, application, whether original, divisional, continuation or reissue, or other papers which may be necessary or desirable to secure to the Assignee, said application and all patent rights therein, in the United States and in any country foreign thereto.

IN WITNESS THEREOF, I, Huimin Kong, hereunder set my hand and seal this 20<sup>th</sup> day of August, 1998, and I, Lauren S. Higgins, hereunder set my hand and seal this 20<sup>th</sup> day of August, 1998, and I, Michael A. Dalton, hereunder set my hand and seal this 20<sup>th</sup> day of August, 1998.

  
Huimin Kong

Commonwealth (State) of Massachusetts  
County of Essex ss.

Before me personally appeared said Huimin Kong  
and acknowledged the foregoing instrument of assignment to be [her] his free act  
and deed this day of August, 1998.

  
Notary Public  
Ellen M. Zaglakis  
my Commission Expires 2/14/2001

Lauren S. Higgins  
Lauren S. Higgins

Commonwealth (State) of Massachusetts

County of Essex ss.

Before me personally appeared said Lauren S. Higgins  
and acknowledged the foregoing instrument of assignment to be [her] his free act  
and deed this <sup>20<sup>th</sup></sup> day of August, 1998.

M. A. Dalton  
Michael A. Dalton

Eileen M. Zaglakis  
Notary Public  
Eileen M. Zaglakis  
My Commission Expires 2/16/2001

Commonwealth (State) of Massachusetts

County of Essex ss.

Before me personally appeared said Michael A. Dalton  
and acknowledged the foregoing instrument of assignment to be [her] his free act  
and deed this <sup>20<sup>th</sup></sup> day of August, 1998.

Eileen M. Zaglakis  
Notary Public  
Eileen M. Zaglakis  
My Commission Expires 2/16/2001