

09-14-1998

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BANNER & WITCOFF, LTD.

Docket No. 03797.59914

PATENT ASSIGNMENT RECORDATION FORM COVER SHEET

To the Honorable Commissioner of Patents and Trademark, Box Assignments, Washington, D.C. 20231.
Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Felix Gerard Torquil Ifor Andrew

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

2. Name and address of receiving party(ies):

Name: MICROSOFT CORPORAITION

Internal Address: One Microsoft Way

Street Address: Same as above

City: Redmond, State: WA Zip: 98052-6399

Additional name(s) & address(es) attached? ☐ Yes ☒ No

3. Nature of Conveyance:

☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other

Execution Date: August 31, 1998

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: _____

A. Patent Application No.(s):

B. Patent No.(s)

Additional numbers attached: ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Banner & Witcoff, Ltd.

Internal Address: 1001 G Street, N.W., Eleventh Floor.

Street Address: Same as above

City: Washington State: DC Zip: 20001

6. Total number of applications and patents involved: 1

7. Total fees (37 CFR 3.41): \$ 40.00

☒ Enclosed (Charge or credit any difference to deposit account)☐ Authorized to be charged to deposit account

8. Deposit account number: 19-0733

DO NOT USE THIS SPACE

9. Statement and signature

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

for Pamela I. Banner, Reg. No. 33,644
Name of Person Signing

Sam S. Payne
Signature Reg. No. 35,316

September 3, 1998
Date

Total number of pages including cover sheet, attachments and document: 2

PATENT
REEL: 9439 FRAME: 0677

ASSIGNMENT

WHEREAS, I, Felix Gerard Torquil Ifor Andrew (hereinafter referred to as ASSIGNOR), having post office address of 5221 37th Ave. N.E., Seattle WA 98105, am the inventor of an invention entitled "A Method and Apparatus for Determining Preferred Controls for an Upgradable Operating System" as described and claimed in the specification forming part of an application for United States letters patent executed herewith;

WHEREAS, Microsoft Corporation (hereinafter referred to as ASSIGNEE), a corporation of the State of Washington having a place of business at One Microsoft Way, Redmond, WA 98052, is desirous of acquiring the entire right, title and interest in and to the invention and in and to any letters patent that may be granted therefor in the United States and in any and all foreign countries;

NOW, THEREFORE, in exchange for good and valuable consideration, the receipt of which is hereby acknowledged, ASSIGNOR hereby sells, assigns and transfers unto said ASSIGNEE, the entire right, title and interest in and to said invention, said application and any and all letters patent which may be granted for said invention in the United States of America and its territorial possessions and in any and all foreign countries, and in any and all divisions, reissues and continuations, and continuation-in-part thereof, including the right to file foreign applications directly in the name of ASSIGNEE and to claim priority rights deriving from said United States application to which said foreign applications are entitled by virtue of international convention, treaty or otherwise, said invention, application and all letters patent on said invention to be held and enjoyed by ASSIGNEE and its successors and assigns for their use and benefit and of their successors and assigns as fully and entirely as the same would have been held and enjoyed by ASSIGNOR had this assignment, transfer and sale not been made.

ASSIGNOR hereby authorizes and requests the Commission of Patents and Trademarks to issue all letters patent on said invention and any continuation-in-part thereof to ASSIGNEE.

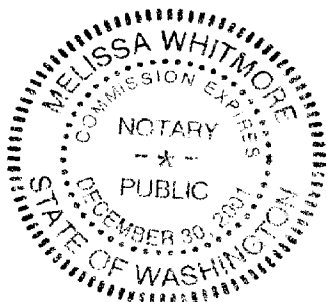
ASSIGNOR agrees to execute all instruments and documents required for the making and prosecution of applications for United States and foreign letters patent on said invention, for litigation regarding said letter patent, or for the purpose of protecting titled to said invention or letter patent therefor.

Date 31 Aug 98 Inventor: Felix GTI Andrew

STATE OF WA)
COUNTY OF King) ss

On this 31 day of August, 1997, before me a Notary Public in and for the County and State aforesaid, personally appeared Felix Andrew to me known and known to me to be the person of that name, who signed and sealed the foregoing instrument, and acknowledged the same to be his/her free act and deed.

(SEAL)



Melissa Whitmore
Notary Public

My Commission Expires: December 30, 2001