

09-15-1998

Attorney Docket No.: 00246/217001

9-4-98

Assistant Commissioner for Patents

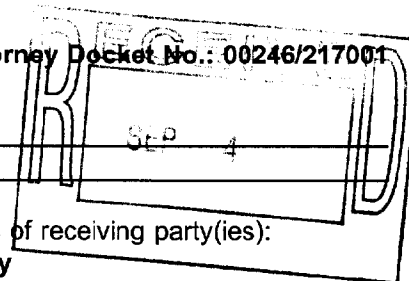


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ER SHEET

Y

1 document.



1. Name of conveying party(ies):
David N. Garboczi

Additional name(s) attached? ☐ Yes ☒ No

3. Nature of conveyance:
☒ Assignment
☐ Merger
☐ Security Agreement
☐ Change of Name
☐ Other:

2. Name and address of receiving party(ies):
Harvard University
University Place, 4th Floor South
124 Mt. Auburn Street
Cambridge, MA 02138

Additional names/addresses attached? ☐ Yes ☒ NoExecution Date: **December 10, 1997**

4. Application number(s) or patent number(s):
 If this document is being filed with a new application, the execution date of the application is:
 A. Patent Application No.(s):
08/920,413

B. Patent No.(s):

Additional numbers attached? ☐ Yes ☒ No

5. Name/address of party to whom correspondence concerning document should be mailed:

Y. Rocky Tsao
Fish & Richardson P.C.
225 Franklin Street
Boston, MA 02110-2804

6. Total number of applications/patents involved: **1**

7. Total fee (37 CFR 3.41): **\$40**

☒ Enclosed
☐ Authorized to charge deposit account

8. Deposit account number: **06-1050**
 If the fee above is being charged to deposit account, a duplicate copy of this cover sheet is attached. Please apply any additional charges, or any credits, to our Deposit Account No. 06-1050.

DO NOT USE THIS SPACE

9. Statement and signature: *To the best of my knowledge and belief, the foregoing information is true and correct and the attached is the original document.*

Y. Rocky Tsao Reg. No. 34,053

Name of Person Signing

Y. Rocky Tsao

Signature

8-31-98

Date

Total number of pages including cover sheet, attachments, and document: **2**

09/15/1998 TTOM11 00000148 08920413

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40.00 DP

Date of Deposit September 1, 1998
 I hereby certify under 37 CFR 1.8(a) that this correspondence is being deposited with the United States Postal Service as **first class mail** with sufficient postage on the date indicated above and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

Diane M. Saturno
Diane M. Saturno
PATENT

REEL: 9441 FRAME: 0449

ASSIGNMENT

For valuable consideration, I, David N. Garboczi, of Gaithersburg, MD hereby assign to Harvard University, a Massachusetts corporation having a place of business at University Place, 4th Floor South 124 Mt. Auburn Street, Cambridge, MA 02138, and its successors and assigns (collectively hereinafter called "the Assignee"), the entire right, title and interest throughout the world in the inventions and improvements which are subject of an application for United States Patent signed by me, entitled MUTANT MHC CLASS I MOLECULES, filed August 29, 1997, and assigned U.S. Serial Number 08/920,413, and I authorize and request the attorneys appointed in said application to hereafter complete this assignment by inserting above the filing date and serial number of said application when known; this assignment including said application, any and all United States and foreign patents, utility models, and design registrations granted for any of said inventions or improvements, and the right to claim priority based on the filing date of said application under the International Convention for the Protection of Industrial Property, the Patent Cooperation Treaty, the European Patent Convention, and all other treaties of like purposes; and I authorize the Assignee to apply in all countries in my name or in its own name for patents, utility models, design registrations and like rights of exclusion, and for inventors' certificates for said inventions and improvements; and I agree for me and my respective heirs, legal representatives and assigns, without further compensation, to perform such lawful acts and to sign such further applications, assignments, Preliminary Statements and other lawful documents as the Assignee may reasonably request to effectuate fully this assignment.

IN WITNESS WHEREOF, I hereto set my hand and seal at Bethesda, MD,
this 10 day of December, 1997,
David N. Garboczi L.S.

David N. Garboczi

STATE OF Maryland:
COUNTY OF Montgomery: ss.

Before me this 10 day of December, 1997, personally appeared

David N. Garboczi known to me to be the person whose name is subscribed to the foregoing Assignment, and acknowledged that he executed the same as his free act and deed for the purposes therein contained.

Tom S. Underwood
Notary Public

My Commission Expires:

10/24/00

[Notary's Seal Here]