

23 Rec'd PCT/PTO 10 NOV 1997

08/913,650  
5H-40

Attorney's Docket No. U 010701-4

**PATENT**

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Box Assignments  
Commissioner of Patents and Trademarks  
Washington, D.C. 20231

09-15-1998



100828718

NOTE: "Documents and cover sheets to be recorded should be addressed to Commissioner of Patents and Trademarks, Box Assignments, Washington, D.C. 20231, unless they are filed together with new applications or with a petition under § 3.81(b)." 37 CFR 3.27.

**ASSIGNMENT (DOCUMENT) COVER SHEET (37 CFR 3.31)**

NOTE: "A cover sheet may not refer to both patents and trademarks." 37 CFR 3.31(b).

Attached please find an assignment (document) for recordal.

5610  
12/15

11-10-97

08 913650

**CERTIFICATION 37 CFR 1.8(a) and 1.10**

I hereby certify that this correspondence is, on the date shown below, being:

**MAILING**

☒ deposited with the United States Postal Service in an envelope addressed to the Commissioner of Patents and Trademarks, Washington, D.C. 20231.

37 CFR 1.8(a)

☐ with sufficient postage as first class mail.

37 CFR 1.10

☒ as "Express Mail Post Office to Addressee"  
Mailing Label No. E1528037287US

**TRANSMISSION**

☐ transmitted by facsimile to the Patent and Trademark Office

Signature

CONNIE YANNOTTI

(type or print name of person certifying)

Date: November 10, 1997

(Assignment (Document) Cover Sheet [16-6] —page 1 of 6)

12/10/1997 PVOLPE 00000032 08913650  
01 FC:581 40.00 OP

E15.2.8.03.7 2.87 US

**PATENT**  
**REEL: 9441 FRAME: 0598**

**IDENTIFICATION OF APPLICATION(S) AND/OR PATENT(S)  
FOR ASSIGNMENT (DOCUMENT) RECORDAL  
(37 CFR 3.21 and 37 CFR 3.31(a)(4) )**

**NOTE:** "An assignment relating to a patent must identify the patent by the patent number. An assignment relating to a national patent application must identify the national patent application by the application number (consisting of the series code and the serial number, e.g., 07/123,456) or the serial number and the filing date. An assignment relating to an international patent application which designates the United States of America must identify the international application by the international application number (e.g., PCT/US90/01234)." 37 CFR 3.21.

**NOTE:** § 3.21 does not apply to documents other than assignment. Notice of June 24, 1992 (1140 O.G. 63-72 at 67).

1. This assignment is for the following patent application and/or issued patent:

National application: SN: 08 / 913,650 filed on September 18, 1997

Provisional application: / filed on

International application: PCT/ US96 / 03988 Filed: March 20, 1996

Patent No: Issued:

(complete if applicable) which was previously assigned and recorded

Date \_\_\_\_\_

Reel \_\_\_\_\_

Frame \_\_\_\_\_

(also complete the following, if applicable)

- ☐ and also for the applications and/or patents  
shown on the attached list of FURTHER  
APPLICATION(S) and/or PATENT(S) BEING ASSIGNED

Number of pages added \_\_\_\_\_

**NOTE:** "Where there is a listing of properties contained within a document, any listing may be copied and attached to the cover sheet to reduce the amount of typing necessary. A notation of this attachment can be made in lieu of entering every property identification number on the cover sheet. Notice of June 24, 1992 (1140 O.G. 63-72 at 67).

**TOTAL NUMBER OF APPLICATIONS AND/OR PATENTS  
AND TOTAL FEE (37 CFR 3.28(a)(6) )**

2. A. The total number of applications and/or patents identified in this cover sheet is

1

B. The total fee is (37 CFR 1.21(h)):

1 × \$40.00 = \$ 40.00  
Total number of applications  
and/or patents

(Assignment (Document) Cover Sheet [15-6]—page 2

PATENT  
REEL: 9441 FRAME: 0599

C. Payment of fee is made by:

☒ the attached check for \$ 40.00

☐ Please charge Account \_\_\_\_\_

the sum of \$ \_\_\_\_\_

A duplicate of this cover sheet is attached.

Please charge Account 12-0425 for any fee deficiency or credit to account any overpayment.

**NAME OF PARTY(IES) CONVEYING INTEREST  
(37 CFR 3.31(a)(1))**

NOTE: "The term 'party' as used in this rule [§ 3.31] means the person whose name appears on the documents to be recorded, that person's attorney or registered agent, or a corporate officer where a corporation's name appears on the documents." Notice of June 24, 1992 (1140 O.G. 63-72, at 65).

3. The party(ies) conveying interest is (are):

Name 1: Avi DASCALU

Name 2: Yoram ORON

Name 3:

**NAME AND ADDRESS OF PARTY(IES) RECEIVING  
INTEREST (37 CFR 3.31(a)(2))**

4. The rights are being conveyed to:

Name: RAMOT UNIVERSITY AUTHORITY

Address: FOR APPLIED RESEARCH AND  
INDUSTRIES DEVELOPMENT LTD.

Address: 32 Haim Levanon St.,  
Ramat-Aviv 69975, Israel

**DESCRIPTION OF INTEREST CONVEYED OR  
TRANSACTION RECORDED (37 CFR 3.31(a)(3) )**

5. The accompanying document intends to accomplish:

- ☒ an assignment.
- ☐ a security agreement.
- ☐ a merger.
- ☐ a license.
- ☐ a change of name.
- ☐ a change of address.
- ☐ other:

**NAME AND ADDRESS OF PARTY TO WHOM  
CORRESPONDENCE SHOULD BE MAILED (37 CFR 3.31(a)(5) )**

6. Please address correspondence to:

Name:                   JOSEPH H. HANDELMAN                    
Address:                   c/o LADAS & PARRY                    
                  26 WEST 61st STREET                    
Telephone No.: ( NEW YORK, N.Y. 10023  
Reg. No. 26179 (212) 708-1880

**DATE ASSIGNMENT (DOCUMENT) EXECUTED  
(37 CFR 3.31(a)(7) )**

7. The attached assignment (document) was executed on October 30, 1997  
(date)

**LANGUAGE OF ASSIGNMENT (DOCUMENT) TO BE RECORDED**

*NOTE: "The Office will accept and record non-English language documents only if accompanied by a verified English translation signed by the individual making the translation." 37 CFR 3.26.*

8. The attached document:

- ☒ is in the English language.
- ☐ is not in the English language and a verified English translation signed by the individual making the translation is attached.

(Assignment (Document) Cover Sheet [16-6]—page 4 of 6)

# ORIGINAL DOCUMENT OR TRUE COPY SUBMITTED

NOTE: "Either the original document or a true copy of the original document may be submitted for recording. Only one side of each page shall be used. The paper used should be flexible, strong, white, non-shiny, durable, and preferably no larger than 21.6 x 33.1 cm. (8 1/2 x 14 inches) with a 2.5 cm. (one-inch) margin on all sides." 37 CFR 3.24.

9. Submitted herewith is:

- ☒ the original document.  
☐ a true copy of the original document.

NOTE: "If the original [assignment] document is two-sided or the wrong size, the practitioner can comply with the requirement [set out in 37 C.F.R. § 3.24] by providing a true copy of the original document using only one side of each page on the correct size paper." Notice of June 24, 1992, 1140 O.G. 63-76, at 67.

## ASSIGNMENT (DOCUMENT) TO RECORD CHANGE OF ADDRESS

(check item, if applicable)

10. ☐ Because the purpose of the attached documents is to record a change of address of the assignee, the particulars of the previously recorded assignments for each application and/or patent are shown.

## ASSIGNMENT (DOCUMENT) TO RECORD CHANGE OF NAME

(check item, if applicable)

11. ☐ Because the purpose of the attached documents is to record a change of name of the assignee, the particulars of the previously recorded assignments for each application and/or patent are shown.

## CHANGE OF PATENT MAINTENANCE FEE ADDRESS

(check item, if applicable)

12. ☐ A change of address to which correspondence is to be sent regarding patent maintenance fees is being sent to the Office separately.

(Assignment (Document) Cover Sheet [16-6]—page 5 of 6)

**STATEMENT (37 CFR 3.31(a)(9) ) AND  
SIGNATURE (37 CFR 3.31(a)(10) )**

13. To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

NOTE: "The term 'party' as used in this rule (§ 3.31) means the person whose name appears on the documents to be recorded, that person's attorney or registered agent, or a corporate officer where a corporation's name appears on the document." Notice of June 24, 1992, 1140 O.G. 63-76, at 65.

Date: November 10, 1997

\_\_\_\_\_  
Name of party submitting document

\_\_\_\_\_  
Signature of party submitting document

*(complete the following, if the party submitting the  
document is applicant's attorney)*

\_\_\_\_\_  
SIGNATURE OF ATTORNEY

Reg. No.

Tel. No. (     )

\_\_\_\_\_  
(type or print name of Attorney)

JOSEPH H. HANDELMAN

c/o LADAS & PARRY

26 WEST 61st STREET

P.O. Address

NEW YORK, N.Y. 10023

Reg. No. 26179 (212) 708-1880

**TOTAL NUMBER OF PAGES BEING SUBMITTED**

14. The total number of pages being submitted, including cover sheet attachment(s), and documents are:

SEVEN (7)

Total number of pages submitted

## ASSIGNMENT

Docket No. U 010701-4

WHEREAS, the below named inventor, ASSIGNOR has made a certain new and useful invention in : \_\_\_\_\_  
COMPOSITIONS FOR THE TREATMENT OF DANDRUFF

for which

(check one) ☐ an application is being filed concurrently herewith,

☒ an application for a Patent of the United States was filed on March 20, 1996

☒ and given Application Serial No. PCT/US96/03988

and WHEREAS, RAMOT UNIVERSITY AUTHORITY FOR APPLIED RESEARCH AND INDUSTRIAL DEVELOPMENT LTD.  
having a place of business at 32 Haim Levanon St. , Ramat-Aviv 69975, Israel

\_\_\_\_\_, ASSIGNEE  
is desirous of obtaining the entire right, title and interest in, to and under the said invention and the said application:

NOW, THEREFORE, in consideration of the sum of One Dollar (\$1.00) to me in hand paid, and other good and valuable consideration, the receipt of which is hereby acknowledged, the said ASSIGNOR, has sold, assigned, transferred and set over, and by these presents does hereby sell, assign, transfer and set over, unto the said ASSIGNEE, its successors, legal representatives and assigns, the entire right, title and interest in, to and under the said invention, and the said United States application and all divisions, renewals and continuations thereof, and all Patents of the United States which may be granted thereon and all reissues and extensions thereof; and all applications for industrial property protection, including, without limitation, all applications for patents, utility models, and designs which may hereafter be filed for said invention in any country or countries foreign to the United States, together with the right to file such applications and the right to claim for the same the priority rights derived from said United States application under the Patent Laws of the United States, the International Convention for the Protection of Industrial Property, or any other international agreement or the domestic laws of the country in which any such application is filed, as may be applicable; and all forms of industrial property protection, including, without limitation, patents, utility models, inventor's certificates and designs which may be granted for said invention in any country or countries foreign to the United States and all extensions, renewals and reissues thereof;

ASSIGNOR authorizes and requests the Commissioner of Patents and Trademarks of the United States, and any Official of any country or countries foreign to the United States, whose duty it is to issue patents or other evidence or forms of industrial property protection on applications as aforesaid, to issue the same to the said ASSIGNEE, its successors, legal representatives and assigns, in accordance with the terms of this instrument.

AND ASSIGNOR covenants and agrees that he has full right to convey the entire interest herein assigned, and has not executed, and will not execute, any agreement in conflict herewith.

AND ASSIGNOR further covenants and agrees that he will communicate to the said ASSIGNEE, its successors, legal representatives and assigns, any facts known respecting said invention, and testify in any legal proceeding, sign all lawful papers, execute all divisional, continuing, reissue and foreign applications, make all rightful oaths, and generally do everything possible to aid the said ASSIGNEE, its successors, legal representatives and assigns, to obtain and enforce proper protection for said invention in all countries.

Full name of sole or first inventor Avi DASCALU  
Inventor's signature [Signature] Date October 30, 1997  
Residence Tel-Aviv, Israel Citizenship Israeli  
Post Office Address 20 Mosenzon St., Tel-Aviv 62965, Israel

Full name of second joint inventor, if any Yoram ORON  
Second Inventor's signature [Signature] Date October 30, 1997  
Residence Ramat-Aviv, Israel Citizenship Israeli  
Post Office Address 11 Rabbina St., Ramat-Aviv, Tel-Aviv 69395, Israel

Full name of third joint inventor, if any \_\_\_\_\_  
Inventor's signature \_\_\_\_\_ Date \_\_\_\_\_  
Residence \_\_\_\_\_ Citizenship \_\_\_\_\_  
Post Office Address \_\_\_\_\_

03.7 2.8 7 US

RECORDED: 11/10/1997

PATENT  
REEL: 9441 FRAME: 0604