

09-16-1998

EET

OMB No. 0651-0011 (exp. 4/94)  
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100829537

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Heinz Willebrand

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:

☒ Assignment

☐ Merger

☐ Security Agreement

☐ Change of Name

☐ Other

Execution Date: December 22, 1997

2. Name and address of receiving party(ies)

Name: Eagle Optoelectronics, LLC

Internal Address:

Street Address: Marine Street Science Center  
Box 456

City: Boulder State: CO ZIP: 80309

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No.(s)

08/868,980

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of Party to whom correspondence concerning document should be mailed:

Name: John R. Ley, LLC

Internal Address:

09/15/1998 DNGUYEN 00000017 08868980

01 FC:581

Street Address: 5299 DTC Blvd., Suite 610

City: Englewood State: CO ZIP: 80111-3327

09/15/1998 DNGUYEN 00000016 08868980

01 FC:581

40.00 OP

6. Total number of applications and patents

involved: 1

7. Total fee (37 CFR 3.41).....\$40.00

☒ Enclosed

☐ Authorized to be charged to deposit account

8. Deposit account number:

(Attach duplicate copy of this page if paying by deposit account)

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

John R. Ley, Reg. No. 27,453

Name of Person Signing

Signature

Date

9/4/98

Total number of pages including cover sheet, attachments, and document:

## ASSIGNMENT

WHEREAS, I, Heinz Willebrand, having a residence address of 7083 Fairways Drive, Longmont, Colorado 80503, along with Christian Volker Radehaus and Jon Robert Sauer, have made a certain new and useful invention relating to an **"Optical Wavelength Tracking Receiver,"** for which an application for Letters Patent of the United States was filed on **June 4, 1997**, and was assigned **Serial No. 08/868,980**, said application being identified by Docket No. 123.301 in the law firm of John R. Ley, LLC, 5299 DTC Boulevard, Suite 610, Englewood, Colorado 80111-3327; and

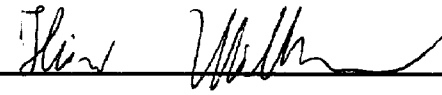
WHEREAS, Eagle Optoelectronics, LLC, a limited liability company of the State of Colorado, having a place of business at Marine Street Science Center, Box 456, Boulder, Colorado 80309, (hereinafter the "Assignee"), is desirous of acquiring my entire undivided interest in and to said invention, said application and the Letters Patent to be obtained therefor, apart from the interests of Radehaus and Sauer:

NOW THEREFORE, for and in consideration of the sum of One and no/100ths Dollar (\$1.00), my relationship and potential obligations to the Assignee, and other good and valuable consideration to me in hand paid, the receipt and sufficiency of which are hereby acknowledged, I have sold, assigned, and set over and by these presents do hereby sell, assign and set over unto the said Assignee, and said Assignee's legal representatives, successors and assigns, my entire undivided right, title and interest in and to said invention, said application, international and foreign national and regional applications corresponding thereto, and the Letters Patent, both foreign and domestic, that may or shall issue thereon; and I do hereby authorize and request the Commissioner of Patents to issue said Letters Patent agreeably with the terms of this Assignment.

UPON SAID CONSIDERATION, I hereby covenant and agree with the said Assignee that I will not execute any writing or do any act whatsoever conflicting with these presents, and that I will, at any time upon request, without further or additional

consideration, but at the expense of the said Assignee, execute such additional assignments and other writings and do such additional acts as said Assignee may deem necessary or desirable to perfect the Assignee's enjoyment of this grant, and render all necessary assistance in making application for and obtaining original, divisional, renewal, reissued, reexamined or extended Letters Patent of the United States, or of any and all foreign countries, on said invention, and in enforcing any rights or choses in action accruing as a result of such applications or patents, by giving testimony in any proceedings or transactions involving such applications or patents, and by executing preliminary statements and other affidavits and declarations, it being understood that the foregoing covenant and agreement shall bind, and inure to the benefit of, the successors, assigns and legal representatives of the parties hereto.

IN WITNESS WHEREOF, I have hereunto set my hand and affixed my seal this 22 day of December, 1997.

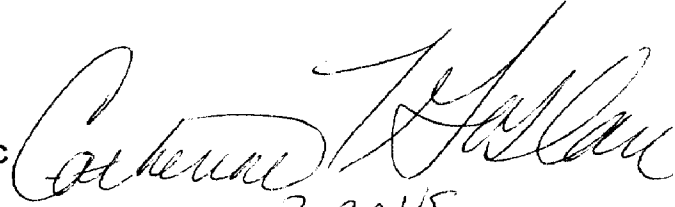
  
Heinz Willebrand

STATE OF COLORADO )  
 ) ss.  
COUNTY OF )

On this 22 day of December, 1997, before a Notary Public in and for said county, appeared Heinz Willebrand, who is personally known to me to be the same person whose name is subscribed to the foregoing instrument, and acknowledged that he signed, sealed and delivered the same instrument as a free and voluntary act for the uses and purposes therein set forth.

My commission expires  
02/10/2001

Witness my hand and official seal.

(SEAL) Notary Public   
VECTRA BANK