

09-17-1998

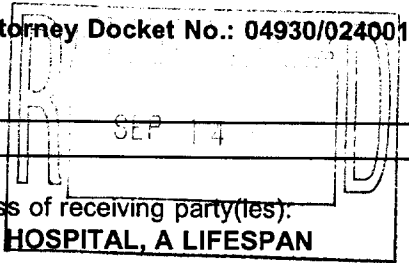
Attorney Docket No.: 04930/024001

COVER SHEET



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document.



Assistant Commissioner for Pat

med 9-14-98

1. Name of conveying party(ies):  
Ronald A. Faris

2. Name and address of receiving party(ies):  
RHODE ISLAND HOSPITAL, A LIFESPAN PARTNER  
593 Eddy Street  
Providence, Rhode Island 02903

Additional name(s) attached?  Yes  No

Additional names/addresses attached?  Yes  No

3. Nature of conveyance:  
 Assignment  
 Merger  
 Security Agreement  
 Change of Name  
 Other:

Execution Date: September 2, 1998

4. Application number(s) or patent number(s):

If this document is being filed with a new application, the execution date of the application is:

A. Patent Application No.(s):  
09/113,774, filed July 10, 1998

B. Patent No.(s):

Additional numbers attached?  Yes  No

5. Name/address of party to whom correspondence concerning document should be mailed:

Ingrid A. Beattie, Ph.D., J.D.  
Fish & Richardson P.C.  
225 Franklin Street  
Boston, MA 02110-2804

6. Total number of applications/patents involved: 1

7. Total fee (37 CFR 3.41): \$40

Enclosed  
 Authorized to charge deposit account

8. Deposit account number: 06-1050

If the fee above is being charged to deposit account, a duplicate copy of this cover sheet is attached. Please apply any additional charges, or any credits, to our Deposit Account No. 06-1050.

DO NOT USE THIS SPACE

9. Statement and signature: To the best of my knowledge and belief, the foregoing information is true and correct and the attached is the original document.

Ingrid A. Beattie, Reg. No. 42,306

*I A Beattie*

9/9/98

Name of Person Signing

Signature

Date

Total number of pages including cover sheet, attachments, and document: 2

09/16/1998 JMWTKJMS 00000192 09113774 4000 01 FC:561

Date of Deposit September 9, 1998

I hereby certify under 37 CFR 1.8(a) that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage on the date indicated above and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

*Kathleen M. O'Shea*

Kathleen M. O'Shea

PATENT

REEL: 9448 FRAME: 0847

ASSIGNMENT

For valuable consideration, I, RONALD A. FARIS, of Providence, Rhode Island hereby assign to RHODE ISLAND HOSPITAL, A LIFESPAN PARTNER, a Rhode Island corporation having a place of business at 593 Eddy Street, Providence, Rhode Island 02903, and its successors and assigns (collectively hereinafter called "the Assignee"), the entire right, title and interest throughout the world in the inventions and improvements which are subject of an application for United States Patent signed by me, entitled LIVER STEM CELL, filed July 10, 1998, and assigned U.S. Serial Number 09/113,774; this assignment including said application, any and all United States and foreign patents, utility models, and design registrations granted for any of said inventions or improvements, and the right to claim priority based on the filing date of said application under the International Convention for the Protection of Industrial Property, the Patent Cooperation Treaty, the European Patent Convention, and all other treaties of like purposes; and I authorize the Assignee to apply in all countries in my name or in its own name for patents, utility models, design registrations and like rights of exclusion, and for inventors' certificates for said inventions and improvements; and I agree for me and my respective heirs, legal representatives and assigns, without further compensation, to perform such lawful acts and to sign such further applications, assignments, Preliminary Statements and other lawful documents as the Assignee may reasonably request to effectuate fully this assignment.

IN WITNESS WHEREOF, I hereto set my hand and seal at \_\_\_\_\_,  
this 2nd day of September, 1998  
Ronald A. Faris L.S.

RONALD A. FARIS

STATE OF Rhode Island

COUNTY OF Providence :ss.

Before me this 2nd day of September, 1998, personally appeared RONALD A. FARIS known to me to be the person whose name is subscribed to the foregoing Assignment, and acknowledged that he executed the same as his free act and deed for the purposes therein contained.

Margaret McGill  
Notary Public

My Commission Expires:

[Notary's Seal Here]

**MARGARET M. MCGILL  
NOTARY PUBLIC  
COMMISSION EXPIRES 11/12/2001**