

09-18-1998

FORM PTO-1595 (Modified)
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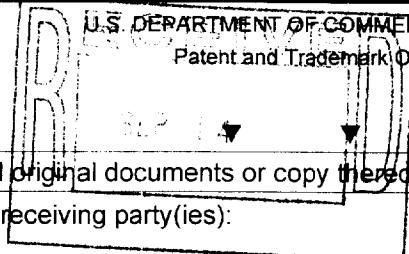


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COVER SHEET

ONLY

U.S. DEPARTMENT OF COMMERCE
Patent and Trademark Office



Tab settings

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

- 1. Richard A. Maki;
- 2. Michael J. Klemsz;
- 3. Scott R. McKercher; and
- 4. Antonio Celada

Additional names(s) of conveying party(ies) Yes No

2. Name and address of receiving party(ies):

Name: La Jolla Cancer Research Foundation

Address: 10901 Torrey Pines Road

City: La Jolla State/Prov.: CA

Country: U.S.A. ZIP: 92037

Additional name(s) & address(es) Yes No

3. Nature of conveyance:

- Assignment Merger
- Security Agreement Change of Name
- Other

Execution Date: 1. 2/23/90; 2.3. and 4. 2/26/90

4. Application number(s) or registration numbers(s):

If this document is being filed together with a new application, the execution date of the application is: _____

Patent Application No.	Filing date	B. Patent No.(s)
<u>09/025,483</u>	<u>February 18, 1998</u>	

Additional numbers Yes No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Cathryn Campbell

Registration No. 31,815

Address: 4370 La Jolla Village Drive, Suite 700

City: San Diego State/Prov.: CA

Country: U.S.A. ZIP: 92122

6. Total number of applications and patents involved: **1**

7. Total fee (37 CFR 3.41):.....\$ 40.00

Enclosed - Any excess or insufficiency should be credited or debited to deposit account

Authorized to be charged to deposit account

8. Deposit account number:

03-0370

40.00 OP

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Andrea L. Gashler, Reg. No. 41,029

Andrea L. Gashler

September 9, 1998

Name of Person Signing

Signature

Date

Total number of pages including cover sheet, attachments, and

5

09025483
00000271
09025483
FC:58

PATENT

REEL: 9449 FRAME: 0854

ASSIGNMENT

This Assignment is made by Richard A. Maki of San Diego, California, Michael J. Klemsz of San Diego, California, Scott R. McKercher of San Diego, California and Antonio Celada of Encinitas, California 92024 to LA JOLLA CANCER RESEARCH FOUNDATION, Assignee, having a place of business at 10901 Torrey Pines Road, La Jolla, California 92037.

WHEREAS, Assignor has invented a new and useful POLYPEPTIDES ENCODING TRANSCRIPTIONAL ACTIVATORS AND USES THEREOF for which an application for United States Letters Patent was filed on January 26, 1990 in the United States Patent and Trademark Office;

WHEREAS, Assignor believes himself to be the original inventor of the invention disclosed and claimed in said application for Letters Patent; and

WHEREAS, the parties desire to have a recordable instrument assigning the entire right, title and interest in and to said invention, said application and any Letters Patent that may be granted for said invention in the United States and throughout the world;

NOW, THEREFORE, in accordance with the obligations to assign the invention and other good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged, Assignor sells, assigns, and transfers to Assignee, the entire right, title, and interest in and to said invention, said application and any Letters Patent that may be granted for said invention in the United States and throughout the world, including the right to file foreign applications directly in the name of the Assignee and to claim for any such foreign applications any priority rights to which such applications are entitled under international conventions, treaties, or otherwise.

Assignor agrees that, upon request and without further compensation, but at no expense to Assignor, he and his legal representatives and assigns will do all lawful acts, including the execution of papers and the giving of testimony, that may be necessary or desirable for obtaining, sustaining, reissuing, or enforcing Letters Patent in the United States and throughout the world for said invention, and for perfecting, recording, or maintaining the title of Assignee, its successors and assigns, to said invention, said application, and any Letters Patent granted for said invention in the United States and throughout the world.

Assignor represents and warrants that he has not granted and will not grant to others any rights inconsistent with the rights granted herein.

Assignor authorizes and requests the Commissioner of Patents and Trademarks of the United States and of all foreign countries to issue any Letters Patent granted for said invention, whether on said application or on any subsequently filed division, continuation, continuation-in-part or reissue application, to Assignee, its successors and assigns, as the assignee of the entire interest in said invention.

IN WITNESS WHEREOF, Assignor has executed this Assignment on the date first above written.

Assignor: Richard A. Maki

Richard A. Maki

STATE OF CALIFORNIA)
COUNTY OF SAN DIEGO)

On this 23RD day of FEBRUARY, in the year 1990, before me personally appeared RICHARD MAKI personally known to me ~~or proved to me on the basis of satisfactory evidence~~ to be the person whose name is subscribed to this instrument, and acknowledged to me that he executed it.

IN WITNESS WHEREOF, I have hereunto set my hand and affixed my official seal the day and year in this certificate first above written.



Patricia A. Powell
Notary Public in and/or
said County and State

IN WITNESS WHEREOF, Assignor has executed this Assignment on the date first above written.

Assignor: Michael J. Klemsz

Michael J. Klemsz

STATE OF CALIFORNIA)
COUNTY OF SAN DIEGO)

On this 26TH day of FEBRUARY, in the year 1990, before me personally appeared MICHAEL J. KLEMSZ personally known to me ~~or proved to me on the basis of satisfactory evidence~~ to be the person whose name is subscribed to this instrument, and acknowledged to me that he executed it.

IN WITNESS WHEREOF, I have hereunto set my hand and affixed my official seal the day and year in this certificate first above written.



Patricia A. Powell
Notary Public in and/or
said County and State

IN WITNESS WHEREOF, Assignor has executed this Assignment on the date first above written.

Assignor: Scott R. McKercher

Scott R. McKercher

STATE OF CALIFORNIA)
COUNTY OF SAN DIEGO)

On this 26TH day of FEBRUARY, in the year 1990, before me personally appeared SCOTT R. MCKERCHER personally known to me ~~or proved to me on the basis of satisfactory evidence~~ to be the person whose name is subscribed to this instrument, and acknowledged to me that he executed it.

IN WITNESS WHEREOF, I have hereunto set my hand and affixed my official seal the day and year in this certificate first above written.



Patricia A. Powell
Notary Public in and/or
said County and State

IN WITNESS WHEREOF, Assignor has executed this Assignment on the date first above written.

Assignor: Antonio Celada

Antonio Celada

STATE OF CALIFORNIA)
COUNTY OF SAN DIEGO)

On this 26th day of FEBRUARY, in the year 1990, before me personally appeared ANTONIO CELADA personally known to me ~~or proved to me on the basis of satisfactory evidence~~ to be the person whose name is subscribed to this instrument, and acknowledged to me that he executed it.

IN WITNESS WHEREOF, I have hereunto set my hand and affixed my official seal the day and year in this certificate first above written.



Patricia A. Powell
Notary Public in and/or
said County and State

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:)	
Maki et al.)	Group Art Unit: 1941
)	
Serial No.: 09/025,483)	Examiner: N. Degen
)	
Filed: February 18, 1998)	Batch No.: I21
)	
For: METHODS OF USING)	I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner of Patents, BOX ASSIGNMENT, Washington, D.C. 20231, on September 9, 1998.
POLYPEPTIDES ENCODING)	
TRANSCRIPTIONAL ACTIVATORS)	

 BOX ASSIGNMENT
 Commissioner of Patents and Trademarks
 Washington, D.C. 20231

By Andrea L. Gashler
 Andrea L. Gashler, Reg. No. 41,029

 September 9, 1998
 Date of Signature

Sir:

COMMUNICATION

This Communication is submitted to request recordation of the enclosed Assignment under 37 C.F.R. § 3.28.

Enclosed are a Recordation Form Cover Sheet and an Assignment. A check in the amount of \$40.00 is also enclosed. Please charge any additional fees or credit any overpayment to Deposit Account No. 03-0370. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

Date: September 9, 1998

Andrea L. Gashler
 Andrea L. Gashler
 Registration No. 41,029
 Telephone: (619) 535-9001
 Facsimile: (619) 535-8949

CAMPBELL & FLORES LLP
 4370 La Jolla Village Drive
 Suite 700
 San Diego, California 92122

RECORDATION FORM COVER SHEET WITH
COPY OF ASSIGNMENT
Docket No.: P-LJ 3025
Serial No.: 09/025,483

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner of Patents, BOX ASSIGNMENT, Washington, D.C. 20231, on September 9, 1998.

By Andrea L. Gashler
Andrea L. Gashler, Reg. No. 41,029

September 9, 1998
Date of Signature